

1 Host Species Restriction of Middle East Respiratory Syndrome Coronavirus through its Receptor

2 Dipeptidyl Peptidase 4

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## 23 Abstract

24 Middle East Respiratory Syndrome coronavirus (MERS-CoV) emerged in 2012. Recently the MERS-  
25 CoV receptor dipeptidyl peptidase 4 (DPP4) was identified and the specific interaction of the receptor-  
26 binding domain (RBD) of MERS-CoV spike protein and DPP4 was determined by crystallography.  
27 Animal studies identified rhesus macaques but not hamsters, ferrets or mice to be susceptible for MERS-  
28 CoV. Here we investigated the role of DPP4 in this observed species tropism. Cell lines of human and  
29 non-human primate origin were permissive of MERS-CoV, whereas hamster, ferret or mouse cell lines  
30 were not, despite presence of DPP4. Expression of human DPP4 in non-susceptible BHK and ferret cells  
31 enabled MERS-CoV replication, whereas expression of hamster or ferret DPP4 did not. Modeling the  
32 binding energies of MERS-CoV spike protein RBD to DPP4 of human (susceptible) or hamster (non-  
33 susceptible) identified five amino acid residues involved in the DPP4-RBD interaction. Expression of  
34 hamster DPP4 containing the five human DPP4 amino acids rendered BHK cells susceptible to MERS-  
35 CoV, whereas expression of human DPP4 containing the five hamster DPP4 amino acids did not. Using  
36 the same approach, the potential of MERS-CoV to utilize the DPP4s of common Middle Eastern livestock  
37 was investigated. Modeling of the DPP4 MERS-CoV RBD interaction predicted the ability of MERS-  
38 CoV to bind the DPP4s of camel, goat, cow and sheep. Expression of the DPP4s of these species on BHK  
39 cells supported MERS-CoV replication. This suggests, together with the abundant DPP4 presence in the  
40 respiratory tract, that these species might be able to function as a MERS-CoV intermediate reservoir.

41

42 Importance

43 The ongoing outbreak of Middle East Respiratory Syndrome coronavirus (MERS-CoV) has caused 184  
44 laboratory confirmed cases to date, with 80 fatalities. Although bats and dromedary camels have been  
45 identified as potential MERS-CoV hosts, the virus has so far not been isolated from any species other  
46 than humans. The inability of MERS-CoV to infect commonly used animal models such as hamster, mice  
47 and ferrets, indicates the presence of a species barrier. We show that the MERS-CoV receptor DPP4 plays  
48 a pivotal role in the observed species tropism of MERS-CoV and subsequently identified the amino acids  
49 in DPP4 responsible for this restriction. Using a combined modeling and experimental approach we  
50 predict that based on the ability of MERS-CoV to utilize the DPP4 of common Middle East livestock  
51 species, such as camels, goats, sheep and cows, these form a potential MERS-CoV intermediate host  
52 reservoir species.

53

54 Introduction

55

56 The Middle East respiratory syndrome coronavirus (MERS-CoV) was first identified in 2012 in a patient  
57 from Saudi-Arabia (1). To date, 496 laboratory confirmed cases have been reported in eight different  
58 countries, with an estimated 20-25% case fatality rate (2). MERS-CoV is a positive strand RNA virus  
59 belonging to the C lineage within the *Betacoronavirus* genus and is genetically closely related to  
60 coronavirus sequences obtained from insectivorous bats originating from Europe, Asia, Africa and the  
61 Middle East (1, 3-5). The detection of MERS-CoV neutralizing antibodies as well as the recovery of viral  
62 sequences and virus in dromedary camels across the countries of the Middle East suggests the potential  
63 involvement of an intermediate reservoir in the emergence of MERS-CoV in humans (6-10).  
64 Phylogenetic analysis of MERS-CoV genomes obtained from 43 human cases in Saudi Arabia suggests  
65 the occurrence of multiple zoonotic spill-over events (11, 12).  
66 Similarly to Severe Acute Respiratory Syndrome coronavirus (SARS-CoV), another *Betacoronavirus*  
67 which caused the SARS pandemic, MERS-CoV appears to primarily target the lower respiratory tract  
68 causing acute respiratory distress in severe human cases (2, 13, 14). However, in contrast to SARS-CoV,  
69 which uses angiotensin converting enzyme 2 (ACE2) as its cellular host receptor (15), MERS-CoV  
70 utilizes dipeptidyl peptidase 4 (DPP4, also known as CD26) (16). Interaction of the receptor binding  
71 domain (RBD) of the MERS-CoV spike protein with DPP4 initiates attachment to the host cell and  
72 subsequent virus internalization. The RBD was mapped to be a 231 amino acid region in the S1 subunit of  
73 the spike protein (17). DPP4 is a type II transmembrane glycoprotein, involved in cleavage of dipeptides  
74 and degradation of incretins (18). DPP4 is widely expressed in different tissues, such as lungs and kidney  
75 and the cells of the immune system, although a detailed description of DPP4 expression in the human  
76 respiratory tract and kidney is currently not available. DPP4 is relatively conserved between mammalian  
77 species, allowing the MERS-CoV spike protein to bind to both bat and human DPP4 (16, 18).  
78 *In vitro* studies using a variety of different primary and immortalized cell lines reported a broad tropism  
79 of MERS-CoV (19-22). Most cell lines with a human-, bat-, non-human primate- or swine-origin were

80 found to be susceptible to infection with MERS-CoV. In contrast, cell lines originating from mice,  
81 hamsters, dogs and cats were not susceptible to MERS-CoV infection (16, 19). *In vitro* data on the  
82 species tropism of MERS-CoV appears to correlate with the *in vivo* host restriction of MERS-CoV;  
83 rhesus macaques can be experimentally infected with MERS-CoV, whereas inoculation of other  
84 commonly used animal models such as the Syrian hamster, mouse or ferret did not result in efficient viral  
85 replication (23-27). Recent studies suggest that DPP4 plays an important role in the non-susceptibility of  
86 the mouse and ferret to MERS-CoV (28-30).

87 Here, we investigated the host species restriction of MERS-CoV and the role of the DPP4 receptor in this  
88 observed species tropism. Differences in DPP4 between MERS-CoV permissive and non-permissive  
89 species were identified to be responsible for the ability of DPP4 to function as the MERS-CoV receptor.

90

#### 91 Materials and methods

92

#### 93 Biosafety statement

94 All infectious work with MERS-CoV was performed in a high containment facility at the Rocky  
95 Mountain Laboratories (RML), Division of Intramural Research (DIR), National Institute of Allergy and  
96 Infectious Diseases (NIAID), National Institutes of Health (NIH). The work was approved by the RML  
97 Institutional Biosafety Committee (IBC) at biosafety level 3 (BSL3).

98

#### 99 Ethics statement

100 Fresh animal tissues were obtained from local slaughter facilities (cow, goat and sheep), from an in-house  
101 tissue repository (rhesus macaque and mouse) or collected under a tissue sampling protocol (hamster and  
102 ferret) approved by the Institutional Animal Care and Use Committee of the Rocky Mountain  
103 Laboratories, and the collection was performed following the guidelines of the Association for  
104 Assessment and Accreditation of Laboratory Animal Care, International (AAALAC) by certified staff in  
105 an AAALAC approved facility.

106

107 Cells and virus

108 Huh-7 (human carcinoma), Vero (African green monkey kidney), BHK (baby hamster kidney), 3T3

109 (mouse embryonic fibroblast) and MEF C57B16 (mouse embryonic fibroblast) cells were maintained in

110 Dulbecco's modified Eagle's media (DMEM) supplemented with 10% fetal bovin serum (FBS), 2 mM L-

111 Glutamine, 50 U/ml penicillin and 50 µg/ml of streptomycin (culture DMEM). Primary ferret kidney cells

112 were generated as follows: within 30 minutes of tissue collection, the fibrous capsule, adjacent medulla

113 and any fat, blood cloths and connective tissue were dissected from the ferret kidney which was

114 subsequently cut into small pieces. The tissue sample was washed with ice-cold Hank's balanced salt

115 solution (HBSS) containing 10 mM EGTA until the supernatant was clear and further cut into 1 mm<sup>3</sup>

116 pieces. Hereafter, the tissue sample was incubated at 37°C for 20 minutes whilst rolling in 25 ml of warm

117 non-supplemented DMEM/F12 GlutaMAX media containing 1 mg/ml collagenase (Worthington) and

118 passed through a 100 µm sieve, a 70 µm sieve and a 40 µm sieve. Supernatant was centrifuged at 400 g

119 for 5 min at 4 °C and washed 3 times with HBSS. The pellet was then resuspended in DMEM/F12

120 GlutaMAX media supplemented with 10% FBS, 50 U/ml penicillin, 50 µg/ml of streptomycin, 2.5 µg/ml

121 Fungizone and 5 µg/ml human transferrin (Sigma Aldrich) and cells were seeded at a density of 5 x 10<sup>4</sup>

122 cells/cm<sup>2</sup>. The ferret primary kidney cell line was maintained in DMEM/F12 + GlutaMAX supplemented

123 with 10% FBS, 50 U/ml penicillin, 50 µg/ml of streptomycin, 2.5 µg/ml Fungizone and 50 µg/ml human

124 transferrin. All cell lines were maintained at 37°C in 5% CO<sub>2</sub>. All reagents were purchased from Gibco,

125 unless otherwise specified. MERS-CoV (strain HCoV-EMC/2012) was propagated on VeroE6 cells

126 using DMEM supplemented with 2% FBS, 2 mM L-Glutamine, 50 U/ml penicillin and 50 µg/ml of

127 streptomycin (complete DMEM). MERS-CoV was titrated by end-point titration in quadruplicate in

128 VeroE6 cells cultured in complete DMEM as follows: cells were inoculated with ten-fold serial dilutions

129 of virus, and scored for cytopathic effect 5 days later. TCID<sub>50</sub> was calculated by the method of Spearman-

130 Karber.

131

132 DPP4 western blot analysis

133 Cells were washed in phosphate-buffered saline (PBS) and lysed in radioimmunoprecipitation (RIPA)  
 134 buffer (50 mM Tris-HCL, pH 7.5, 150 mM NaCl, 1% NP-40, 0.5% sodium-deoxycholate, 0.1% SDS and  
 135 protease inhibitor cocktail tablets (Sigma)). Lysates were treated with TURBO™ DNase (Life  
 136 Technologies). Protein concentrations were determined with the bicinchoninic assay (Thermo Scientific).  
 137 Cellular lysates were separated on 10% SDS-PAGE gels and transferred to PVDF membranes (Life  
 138 Technologies). After blocking in 5% non-fat milk powder in PBS-0.1% Tween (Fisher Scientific),  
 139 membranes were incubated overnight with  $\alpha$ -DPP4 rabbit polyclonal antibody (1:700, AbCam, ab28340)  
 140 or an  $\alpha$ -actin antibody (1:5000, Sigma Aldrich, A5441). Membranes were then incubated with a  
 141 horseradish peroxidase conjugated  $\alpha$ -rabbit or  $\alpha$ -mouse IgG respectively (1:12,500, Jackson  
 142 Immunoresearch). Signals were detected with Pierce ECL 2 Western Blotting Substrate (Thermo  
 143 Scientific) and developed on blue autoradiography film (GeneMate).

144

145 Immunohistochemistry

146 Immunohistochemistry was performed as described previously (24) using an  $\alpha$ -DPP4 rabbit polyclonal  
 147 antibody (Abcam, ab28340) at a 1:400 dilution for rhesus macaque, mouse, ferret, sheep, goat and cow or  
 148 a 1:800 dilution for hamster, and biotinylated anti-rabbit SS link (undiluted, Biogenex, HK336-9R) as a  
 149 secondary antibody. Tissues were fixed in 10% neutral buffered formalin, embedded in paraffin and  
 150 processed for immunohistochemistry using the Discovery XT automated processor (Ventana Medical  
 151 Systems) with a DapMap kit (Ventana Medical Systems).

152

153 Sequencing and cloning of DPP4 sequences

154 Total RNA from lung and kidney samples from different species was extracted using the RNeasy Mini  
 155 Kit (Qiagen) and cDNAs were synthesized using random hexamers and SuperScript III Reverse

156 Transcriptase (Applied Biosystems). Complete DPP4 genes were amplified using iProof High-Fidelity  
 157 DNA Polymerase (BioRad) and in-house designed primers (sequence available upon request).  
 158  
 159 Plasmids  
 160 DPP4 amplicons were sequenced by Sanger sequencing and sequences were aligned using the MEGA5.2  
 161 software package. DPP4 gene sequences for each species were synthesized in expression plasmid  
 162 pcDNA3.1(+) (GeneArt). All newly generated DPP4 nucleotide sequences are available from GenBank  
 163 under accession numbers KF574262–8. Mutagenized DPP4 expression plasmids were generated by  
 164 synthesizing hamster DPP4 containing five human-specific amino acid residues (Ala291, Ile295, Arg336,  
 165 Val341 and Ile346, humanized hamster) and human DPP4 containing five hamster-specific amino acid  
 166 residues (Glu291, Thr295, Thr336, Leu341 and Val346, hamsterized human), flanked by restriction sites  
 167 BamHI and BsgI (human DPP4) or BamHI and EcoRV (hamster DPP4). Human and hamster DPP4 in  
 168 pcDNA3.1(+) were restriction digested, purified and ligated with the humanized hamster or hamsterized  
 169 human DPP4 fragments respectively using T4 DNA ligase (New England Biolabs). Modified DPP4  
 170 sequences were confirmed by Sanger sequencing.  
 171  
 172 Transfection of cells  
 173 BHK and primary ferret cells were transfected with 3 µg pcDNA3.1(+) containing the DPP4 genes from  
 174 different species or pCAGGS-GFP using 8 µl of Lipofectamine 2000 (Life Technologies). DPP4  
 175 expression was confirmed by qRT-PCR and flow cytometry.  
 176  
 177 Replication kinetics  
 178 Multistep replication kinetics were determined by inoculating cells in triplicate with MERS-CoV with a  
 179 multiplicity of infection (MOI) of 0.01 (normal cell lines) or 1 (transfected cell lines) 50% tissue culture  
 180 infectious dose (TCID<sub>50</sub>) per cell. The lower MOI of 0.01 was chosen for experiments performed to  
 181 determine the ability of cell lines to support multiple replication cycles of MERS-CoV, whereas the

182 higher MOI of 1 was chosen for cells naturally non-susceptible for MERS-CoV but with the various  
 183 DPP4s transiently expressed to maximize the likelihood of the transfected cell to encounter MERS-CoV.  
 184 One hour after inoculation, cells were washed once with DMEM and fresh media was placed on the cells.  
 185 Supernatants were sampled at 0, 24, 48 and 72 h after inoculation, and virus titers in these supernatants  
 186 were determined as described.

187

#### 188 Flow Cytometry

189 Cells were washed with PBS and removed with 5 mM EDTA (BHKs and 3T3s) or spun down from  
 190 suspension (primary ferret cells, huh7 cells, vero cells, and MEF) and then washed twice, resuspended in  
 191 PBS with 2% FBS and stained at 4°C using  $\alpha$ -human DPP4 antibody (R&D, AF1180), followed by  
 192 staining with FITC-tagged donkey anti-goat antibody (Life technologies, A11055). As a control, samples  
 193 of cells were stained with secondary antibody only. After staining, cells were washed, resuspended in  
 194 PBS with 2% FBS, stained with 7-amino actinomycin-D (Life Technologies) and analyzed immediately.  
 195 Samples were collected using a LSRII flow cytometer (BD Biosciences). Analysis gates were set on  
 196 viable cells and 10,000 gated events were analyzed for each sample. Data were analyzed using FlowJo  
 197 software (Treestar) comparing transfected cells against untransfected cells.

198

#### 199 Binding energy modeling

200 The DPP4 homology models were constructed using the human DPP4 structure (PDB ID: 4KR0, Chain  
 201 A) as template. The sequence alignment was generated using CLUSTALW2 (31) and the initial model  
 202 was built using Nest (32) based on the alignment and the human DPP4 structure. The resulting structural  
 203 model was briefly optimized using the TINKER minimization program "minimize.x" with OPLS all-atom  
 204 force field and L-BFGS quasi-Newton optimization algorithm (33). For each species, the RBD/DPP4  
 205 complex model was generated by merging the RBD domain (PDB ID: 4KR0, Chain B) with the DPP4  
 206 model, which was then subjected to the binding energy calculation using an all-atom distance-dependent

207 pairwise statistical potential, DFIRE (34). The energy difference between the complex and two individual  
208 structures - DPP4 and RBD - was taken as the binding energy.

209

210 qRT-PCR of DPP4 mRNA expression

211 Expression of DPP4 mRNA was measured via qRT-PCR. Total RNA was extracted from transfected  
212 homogenized cells using the standard TRIzol-chloroform procedure (Life technologies), followed by  
213 further extraction using the RNeasy mini kit (Qiagen) combined with a 30 minute on-column DNase I  
214 (Qiagen) digestion according to manufacturer's instructions. mRNA was purified from total RNA via the  
215 NucleoTrap mRNA mini kit (Macherey-Nagel). One-step qRT-PCR was performed in three separate  
216 experiments on the Rotor-GeneQ (Qiagen) for the detection of DPP4 and HPRT using the Quantifast  
217 Probe PCR Master Mix (Qiagen) according to manufacturer's instructions. Probes for DPP4 (FAM-  
218 AGCTTTGATGGCAGAGGAAGTGGT-BHQ1) and HPRT (FAM-  
219 ACTTTGTTGGATTTGAAATTCCAGACAAGTTTG-BHQ1) were designed using a cross-species high  
220 conservancy region in the gene. Forward and reverse primer sets were species specific (Sequence  
221 available upon request). Relative fold increase was calculated by the comparative  $C_T$  method (35), where  
222 DPP4 expression is normalized to HPRT.

223

224 Results

225

226 Replication kinetics of MERS-CoV in different cell lines

227 The replication kinetics of MERS-CoV was studied in cells of different mammalian origin: Huh-7  
228 (human), Vero (African green monkey), BHK (hamster), MEFC57Bl6 and 3T3 (mouse) and ferret  
229 primary kidney cells. MERS-CoV replicated efficiently in Huh-7 and Vero cells. In contrast, MERS-CoV  
230 did not replicate in BHK, MEFC57Bl6, 3T3 and ferret primary kidney cells (*Figure 1A*). These data  
231 correspond with the current information on the ability of MERS-CoV to infect humans and non-human

232 primates (rhesus macaques (23, 25)) and the inability of MERS-CoV to infect mice, hamsters and ferrets  
 233 (24, 26, 27). The presence of the MERS-CoV receptor, DPP4, is essential in the initiation of infection. To  
 234 investigate whether the lack of infection by MERS-CoV of non-susceptible cell lines was due to a lack of  
 235 expression of the DPP4 receptor we performed western blot analyses. DPP4 protein was detected in cell  
 236 lines both permissive and non-permissive for MERS-CoV infection although not uniformly found to be  
 237 expressed on the cell surface (*Figure 1B, C*).

238

#### 239 Detection of DPP4 in tissues

240 To determine the cell types in which DPP4 was expressed in the lungs and kidney of rhesus macaque,  
 241 hamster, mouse and ferret, immunohistochemistry (IHC) was performed using an  $\alpha$ -DPP4 antibody. In  
 242 both the lungs and kidneys of the investigated species, DPP4 was found to be present. In the lungs, DPP4  
 243 was abundantly present on bronchiolar epithelium cells and occasionally present on alveolar interstitium,  
 244 or absent in the case of ferrets (*Figure 2, Table 1*). The intensity of the  $\alpha$ -DPP4 staining of bronchiolar  
 245 epithelium ranged from weak in ferrets, to moderate in the macaque and hamster and very intense in the  
 246 mouse. All species tested, except the ferret, also demonstrated weak  $\alpha$ -DPP4 immunoreactivity at the  
 247 level of alveoli. The kidney was similar to lung tissue in that all species demonstrated  $\alpha$ -DPP4  
 248 immunoreactivity to epithelial cells. The intensity of staining was again variable, with weak staining in  
 249 the hamster, moderate staining in the macaque and mouse and intense staining in ferret. DPP4 was present  
 250 on kidney vascular smooth muscle cells, with weak staining in the macaque, mouse, ferret and moderate  
 251 staining in the hamster. All species except the mouse displayed presence of DPP4 on either glomerular or  
 252 vascular endothelium with the strongest staining seen in the glomeruli of hamsters and ferrets.

253 The presence of DPP4 in cell lines non-susceptible to MERS-CoV and on cells in the respiratory tract of  
 254 non-permissive species (mouse, hamster and ferret) suggests that the inability of MERS-CoV to replicate  
 255 in these species is either due to an inability of the MERS-CoV spike protein to bind to the respective  
 256 DPP4s or an incompatibility of MERS-CoV with the cellular machinery of these respective species.

257

258 Specificity of MERS-CoV spike protein for DPP4  
 259 The DPP4 coding sequences of human, hamster and ferret, obtained from GenBank or by sequencing,  
 260 were cloned into expression vector pcDNA3.1(+) and transfected into cell lines non-susceptible to  
 261 MERS-CoV replication. The expression of DPP4 on transfected cells was determined by qRT-PCR and  
 262 flow cytometry (*Figure 3B, C*). Transient expression of human DPP4 in BHK and primary ferret kidney  
 263 cells allowed these previously non-susceptible cells to support MERS-CoV replication, whereas transient  
 264 expression of hamster DPP4 in BHK cells, ferret DPP4 in ferret primary cells or GFP in either cell type  
 265 did not render these cells susceptible to MERS-CoV replication (*Figure 3A*). As surface expression of  
 266 human DPP4, but not hamster or ferret DPP4, allowed MERS-CoV replication in previously non-  
 267 susceptible cell lines, the observed MERS-CoV species tropism is most likely a result of the inability of  
 268 its spike protein to bind to hamster or ferret DPP4 rather than the incompatibility of MERS-CoV with the  
 269 hamster or ferret cellular machinery.

270

271 Structural modeling of MERS-CoV receptor binding domain with multispecies DPP4  
 272 Recent co-crystallization studies of the MERS-CoV spike protein and the human DPP4 identified 14  
 273 amino acids in DPP4 important in binding to the MERS-CoV spike protein (36, 37). Alignment of the  
 274 DPP4 amino acid sequences of human and hamster origin revealed a total of five differences within these  
 275 14 amino acids (*Table 2*). To investigate the binding potential of the different DPP4s to MERS-CoV  
 276 spike protein, DPP4 homology models were built using the human DPP4 structure (PDB ID: 4KR0,  
 277 Chain A) as a template (36). Of the five amino acid residues at the RBD interface that differ between  
 278 human and hamster DPP4, the residues at positions 291 and 336 appear to be most critical for the species  
 279 specificity. In the human DPP4:RBD crystal structure, the small methyl side chain of Ala291 in DPP4  
 280 nestles into a small pocket in the RBD, which cannot accommodate the size and charge of the  
 281 corresponding glutamic acid residue found in the hamster DPP4 molecule. This steric clash alone is likely  
 282 sufficient to abrogate binding. In addition, the side chain of Arg336 in human DPP4 forms hydrogen  
 283 bonds with RBD residue Tyr499 and a salt bridge to RBD residue Asp455. These interactions would not

284 be formed by the corresponding threonine side chain in hamster DPP4. The remaining three DPP4  
 285 residues at the RBD interface that differ in humans and hamsters are conserved substitutions and are not  
 286 predicted to greatly impact binding to the RBD (*Figure 4A*). Furthermore, these analyses showed that  
 287 hamster DPP4 has significantly higher binding energy (less favorable interactions) than human DPP4 to  
 288 MERS-CoV (*Figure 4B*). When mutant DPP4s were designed *in silico* by introducing the five human-  
 289 specific amino acid residues (Ala291, Ile295, Arg336, Val341 and Ile346) into the hamster DPP4  
 290 (humanized hamster DPP4), and the five hamster-specific amino acid residues (Glu291, Thr295, Thr336,  
 291 Leu341 and Val346 into the human DPP4 (hamsterized human DPP4), a reversion of the binding energies  
 292 was found; the binding energy associated with the humanized hamster DPP4 and MERS-CoV spike  
 293 protein complex was lower than that of hamsterized human DPP4 and MERS-CoV spike protein (*Figure*  
 294 *4B*). This suggests that the five DPP4 human-specific amino acid residues are responsible for the ability  
 295 of MERS-CoV spike protein to bind to DPP4.

296

297 In vitro characterization of mutagenized DPP4s

298 The modeling data suggested that introduction of the five human-specific amino acid residues in hamster  
 299 DPP4 would allow recognition of this DPP4 by the MERS-CoV spike protein. To test this hypothesis,  
 300 expression plasmids were synthesized with human DPP4 containing the five hamster-specific amino acid  
 301 residues (hamsterized human DPP4) and hamster DPP4 containing the five human-specific amino acid  
 302 residues (humanized hamster DPP4). Inoculation of BHK cells transiently expressing humanized hamster  
 303 DPP4 with MERS-CoV resulted in virus replication, whereas inoculation of BHK cells transiently  
 304 expressing hamsterized human DPP4 did not (*Figure 5A*). Expression of DPP4 on BHK cells was  
 305 confirmed via flow cytometry (*Figure 5B*).

306

307 Modeling and in vitro characterization of the DPP4 of putative intermediate host species

308 We determined the binding energy of DPP4s to MERS-CoV spike protein of known binders (rhesus  
 309 macaque) and non-binders (ferret and mouse). Like hamster DPP4, the binding energy of ferret and

310 mouse DPP4 to MERS-CoV spike protein was found to be relatively high. In contrast, rhesus macaque  
 311 DPP4 was found to have binding energy levels similar to human DPP4 (*Figure 4B*). These results were  
 312 confirmed *in vitro* by transfecting BHK cells with DPP4 from rhesus macaque and subsequently  
 313 inoculating these cells with MERS-CoV, resulting in virus replication. In contrast, transfection of the  
 314 DPP4 of ferret or mouse origin into DPP4 did not render these cells susceptible to MERS-CoV replication  
 315 (*Figure 6*). With the identification of dromedary camels as a potential intermediate host species for  
 316 MERS-CoV in mind, we determined the ability of DPP4 from putative intermediate host species  
 317 (dromedary camel, cow, sheep and goat) to bind to MERS-CoV spike protein. Like DPP4 from human  
 318 and rhesus macaque, the binding energy associated with dromedary camel, goat, sheep and cow DPP4  
 319 was found to be relatively low, suggesting these proteins can function as a receptor for MERS-CoV  
 320 (*Figure 4B*). Furthermore, expression of dromedary camel, goat, sheep and cow DPP4 on BHK cells  
 321 supported replication of MERS-CoV (*Figure 6A*). Expression of DPP4 on BHK cells was confirmed via  
 322 flow cytometry and qRT-PCR (*Figure 6B, C*). DPP4 was detected on cells in lung and kidney tissue of  
 323 camel, goat, cow, and sheep by IHC. Interestingly, DPP4 was more abundantly expressed on alveolar  
 324 interstitium in cow, goat and sheep lungs compared to dromedary camel, rhesus macaque, hamster, mouse  
 325 and ferret lungs (*Figure 7, Table 1*). Finally, we carried out full-length and partial (DPP4 binding domain,  
 326 amino acids 220-350) protein sequence alignments between DPP4 of camel, goat, cow and sheep. Camel  
 327 DPP4 diverged from goat, cow, and sheep DPP4, in particular when comparing partial DPP4 protein  
 328 sequences (*Table 3*).

329

## 330 Discussion

331

332 Surface receptors play an essential role in initiating virus entry into the host cell, thereby playing a major  
 333 role in the tissue- as well as host species-tropism of viruses. DPP4 was recently identified as the cellular  
 334 receptor for MERS-CoV (16). Based on the ability of MERS-CoV to replicate in cell lines originating  
 335 from a wide variety of mammalian species (bats, non-human primates, pigs, and humans), it was

336 speculated to have a broad host tropism (19, 21). However, it is currently unclear whether *in vitro* results  
337 correlate directly with *in vivo* susceptibility (38). MERS-CoV was found to be unable to infect some of  
338 the major respiratory animal models (Syrian hamster, mouse and ferrets (24, 26, 27)) in contrast to the  
339 ability of MERS-CoV to replicate efficiently in rhesus macaques (23). This suggests the existence of a  
340 host-barrier restriction of MERS-CoV for some species. Using *in vitro* growth kinetics of MERS-CoV we  
341 were able to demonstrate this host-species restriction in cell lines from different mammalian origins.  
342 MERS-CoV replicated efficiently in cells of human and non-human primate origin, but was not able to  
343 replicate in cells of mouse, hamster or ferret origin, despite the presence of DPP4 (*Figure 1*). Analysis of  
344 the presence of DPP4 in Syrian hamster, mouse and ferret lung and kidney tissues as well as the rhesus  
345 macaque lung and kidney tissues suggested that the inability of MERS-CoV to infect Syrian hamster,  
346 mouse and ferret is not due to a lack of expression of the DPP4 receptor (*Figure 2*). Our hypothesis that  
347 the host species restriction of MERS-CoV lies on the receptor-binding level was supported by the lack of  
348 replication in hamster and ferret cells upon exogenous expression of the hamster or ferret DPP4 on the  
349 surface of these cells, whereas expression of the human DPP4 receptor rendered these previously non-  
350 permissive cell lines permissive for MERS-CoV (*Figure 3*). We observed a >2 log difference in growth of  
351 MERS-CoV between hamster and ferret cells, which may be explained by the difference in transfection  
352 efficiency (BHKs, 93.0%; primary ferret cells, 65.8%; *Figure 3B*). The co-crystallization between the  
353 human DPP4 and the MERS-CoV spike protein revealed the receptor binding domain of MERS-CoV and  
354 the amino acid residues of human DPP4 interacting with this domain (36, 37). Alignment of DPP4 amino  
355 acid residues identified as interacting with the MERS-CoV spike protein between human and rhesus  
356 DPP4s (binders) and hamster DPP4 (non-binder) revealed a minimal subset of five amino acid changes  
357 between the human and the hamster DPP4 (*Table 2*). These five differential human amino acid residues  
358 were introduced into the hamster DPP4 (humanized hamster DPP4) and the five differential hamster  
359 amino acid residues were introduced into the human DPP4 (hamsterized human DPP4). Expression of the  
360 humanized hamster DPP4 in BHK cells rendered these cells permissive for MERS-CoV, whereas  
361 expression of hamsterized human DPP4 did not change the non-permissiveness of the cells (*Figure 5*).

362 For ferret DPP4 it was recently shown that exchanging the 246 to 505 amino acid region with that of  
363 human DPP4 resulted into the ability of MERS-CoV to utilize this chimeric DPP4 (28), as was the case  
364 for mouse DPP4 when exchanging amino acids 279 to 346 with the human DPP4 counterpart (29). In  
365 addition, both of these studies found amino acids to be important in spike binding that were identified in  
366 this study (295, 336 and 346 for mouse and 295, 336 and 341 for ferret) (28, 29). Interestingly, although  
367 the humanized hamster DPP4 was able to facilitate MERS-CoV infection, MERS-CoV titers were  
368 considerably lower. This could indicate that although substitution of five human amino acids into hamster  
369 DPP4 is sufficient for spike binding, additional amino acid residues in the interface might be required for  
370 optimal binding and infectivity.

371 Currently the only available animal disease model for MERS-CoV is the rhesus macaque. Research into  
372 therapeutic and prophylactic countermeasures is severely restricted by the absence of a small animal  
373 model allowing high-throughput *in-vivo* screening of antivirals with *in-vitro* efficacy or candidate  
374 vaccines (24, 25, 39-42). Our data indicate that transgenic mice expressing the human DPP4 will likely be  
375 susceptible to MERS-CoV and would allow the establishment of a much-needed small animal model.  
376 This is supported by recent experimental evidence which showed that transient expression of human  
377 DPP4 in the lower respiratory tract of mice supported MERS-CoV replication (43).

378 Subsequent modeling between DPP4s of species known to be able to bind MERS-CoV spike protein  
379 (human and rhesus macaque) and species known not to be able to bind MERS-CoV spike protein  
380 (hamster, mouse and ferret) displayed a stark difference in binding energies between binders and non-  
381 binders. Utilizing the same model, DPP4s of species implicated in the emergence of MERS-CoV  
382 (dromedary camel, sheep, goat and cow) were predicted to be able to bind to the spike protein of MERS-  
383 CoV (*Figure 4B*). DPP4 modeling data was supported by experimental work showing that indeed the  
384 DPP4s of dromedary camel, sheep, goat and cow were able to support MERS-CoV replication (*Figure 6*).  
385 MERS-CoV-like antibodies have been found in dromedary camels, but not yet in goat, sheep or cow  
386 species (7, 44). However, DPP4 from goat, cow and sheep can function as a receptor for MERS-CoV,  
387 although with lesser efficiency (*Figure 6*). Protein sequence alignments of full-length and partial DPP4

revealed that camel DPP4 differs from goat, cow and sheep DPP4, in particular when amino acids 220-350 of DPP4, which are of importance in spike binding, were investigated (*Table 3*). It is possible that subtle differences in binding of spike and DPP4 account for the apparent lack of MERS-CoV circulation in the goat, cow and sheep population. The close evolutionary relationship between MERS-CoV and bat CoVs as well as the detection of a short fragment of viral RNA in a bat in Saudi Arabia suggest that MERS-CoV originates from bats (1, 3). The ability of MERS-CoV to utilize the DPP4 from an insectivorous bat underlines the broad host range (16). Future receptor binding and experimental infection studies are needed to investigate the suitability of different bat species to function as a host for MERS-CoV.

MERS-CoV was found to replicate predominantly in type I and II pneumocytes in the lower respiratory tract of experimentally infected rhesus macaques. The replication of MERS-CoV correlates with DPP4 expression on type I and II pneumocytes in the lungs of rhesus macaques (*Figure 2*) (25). The presence of DPP4 in the lower respiratory tract of dromedary camel, sheep, goat and cow (*Table 1*) suggest that the tropism of MERS-CoV for these species could be similar to the respiratory tropism observed in rhesus macaques and humans (13, 14, 25). Since the first emergence of MERS-CoV in 2012, the epidemiology has remained unclear. The recent identification of the circulation of MERS-CoV in dromedary camels (6-9) together with the multiple introductions of MERS-CoV into the human population (11, 12) suggests that both zoonotic transmission from an intermediate host and human-to-human transmission occur simultaneously. Our data supports the potential for the existence of one or multiple natural reservoirs for MERS-CoV. Although our data do not provide any formal proof for the existence of such a reservoir, the ability of DPP4s of cows, sheep, goats and dromedary camels (the major Middle East livestock species) to function as a MERS-CoV receptor and the abundant DPP4 presence in the respiratory tract of these species suggest that they would be susceptible for MERS-CoV infection. The combination of modeling the binding energies of the MERS-CoV spike with the DPP4s of different species and a molecular experimental approach could guide field programs focused at identifying the existence of an intermediate

413 host. With the potential susceptibility of major livestock species for MERS-CoV, renewed focus should  
414 be aimed at elucidating the existence of an intermediate host and thereby preventing further spread of  
415 MERS-CoV.

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583

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585

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592 Table 1. DPP4 expression in cells lung and kidney tissue of different mammalian species.

| <b>Lung</b>                                    | Rhesus<br>macaque | Hamster | Mouse | Ferret | Camel | Sheep | Goat | Cow |
|------------------------------------------------|-------------------|---------|-------|--------|-------|-------|------|-----|
| apical bronchial/bronchiolar<br>epithelium     | II                | III     | III   | I      | III   | III   | II   | III |
| bronchiolar smooth muscle                      | I                 | II      | III   | III    | I     | I     | I    | I   |
| vascular smooth muscle                         | I                 | III     | III   | II     | II    | I     | II   | II  |
| endothelial cells                              | I                 | I       | I     | 0      | 0     | I     | II   | I   |
| axonal cells                                   | ND                | III     | III   | III    | ND    | ND    | ND   | 0   |
| alveolar macrophages                           | II                | I       | II    | 0      | I     | ND    | II   | 0   |
| alveolar interstitium                          | I                 | II      | I     | 0      | 0     | III   | III  | III |
| mesothelium                                    | I                 | I       | 0     | 0      | 0     | III   | III  | III |
| <b>Kidney</b>                                  |                   |         |       |        |       |       |      |     |
| cortical apical proximal tubular<br>epithelium | II                | I       | II    | III    | II    | II    | II   | I   |
| arteriolar smooth muscle                       | I                 | II      | I     | I      | III   | II    | II   | I   |
| endothelial cells                              | I                 | II      | 0     | 0      | 0     | II    | 0    | II  |
| glomerular endothelial cells                   | I                 | III     | 0     | III    | 0     | I     | II   | I   |
| axonal cells                                   | 0                 | III     | II    | III    | ND    | I     | III  | III |

593

594 The lungs and kidneys of the various species were examined by IHC using an  $\alpha$ -DPP4 antibody. The  
595 tissues were evaluated using a scale of 0 to IIII based on the intensity of the IHC signal and/or the  
596 distribution of antigen throughout the tissue. A score of 0 indicates that no anti-DPP4 staining was  
597 detected. I was used when the signal was very weak and/or was found in only a few, scattered cells. II  
598 demonstrated a moderate IHC signal in multifocal to diffuse areas within the tissue. III was used to score  
599 cells that stained in a moderate to intense fashion in coalescing to diffuse areas. IIII indicates intense and  
600 diffuse IHC staining in the cells of interest. ND = not detected.

601

602 Table 2. Alignment of DPP4 amino acid residues of different mammalian species interacting with the  
603 MERS-CoV spike protein.

604

| Species        | Amino acid residues (Human DPP4 numbering) |     |     |     |     |     |     |     |     |     |     |     |     |     |
|----------------|--------------------------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
|                | 229                                        | 267 | 286 | 288 | 291 | 294 | 295 | 298 | 317 | 322 | 336 | 341 | 344 | 346 |
| Human          | N                                          | K   | Q   | T   | A   | L   | I   | H   | R   | Y   | R   | V   | Q   | I   |
| Hamster        | .                                          | .   | .   | .   | E   | .   | T   | .   | .   | .   | T   | L   | .   | V   |
| Rhesus Macaque | .                                          | .   | .   | .   | .   | .   | .   | .   | .   | .   | .   | .   | .   | .   |
| Mouse          | .                                          | .   | .   | P   | .   | A   | R   | .   | .   | .   | T   | S   | .   | V   |
| Ferret         | .                                          | .   | E   | .   | D   | S   | T   | Y   | .   | .   | S   | E   | E   | T   |
| Camel          | .                                          | .   | .   | V   | .   | .   | .   | .   | .   | .   | .   | .   | .   | .   |
| Sheep          | .                                          | .   | .   | V   | G   | .   | .   | .   | .   | .   | .   | .   | .   | .   |
| Cow            | .                                          | .   | .   | V   | G   | .   | .   | .   | .   | .   | .   | .   | .   | .   |
| Goat           | .                                          | .   | .   | V   | G   | .   | .   | .   | .   | .   | .   | .   | .   | .   |

605

606 Full DPP4 protein sequences were compared using MegAlign software.

607

608 Table 3. Percent identity between DPP4 protein sequences

|              |       | Full DPP4 |      |      |       |
|--------------|-------|-----------|------|------|-------|
|              |       | Camel     | Goat | Cow  | Sheep |
| Partial DPP4 | Camel |           | 91.6 | 91.6 | 91.5  |
|              | Goat  | 88.5      |      | 98.4 | 99.3  |
|              | Cow   | 88.5      | 100  |      | 98.2  |
|              | Sheep | 88.5      | 100  | 100  |       |

609

610 Full DPP4 and partial (amino acid 220-350) DPP4 protein sequences were compared and percent identity  
611 were analyzed using MegAlign software.

612 Figure 1. Replication kinetics of MERS-CoV in cell lines of human, non-human primate, hamster, mouse  
613 and ferret origin.

614 A.) Huh7 (red, ●), Vero (red, ■), BHK (blue, ●), 3T3 (blue, ■), MEFC57Bl6 (blue, ▲) and primary ferret  
615 (blue, ▼) cell lines were inoculated with MERS-CoV using an MOI of 0.01 TCID<sub>50</sub>/cell. Supernatants  
616 were harvested at 0, 24, 48 and 72 hours post inoculation (hpi) and viral titers were determined by end-  
617 point titration in quadruplicate in VeroE6 cells. Red lines indicate cell lines originating from species  
618 known to be susceptible to MERS-CoV infection; blue lines indicate cell lines originating from species  
619 non-susceptible to MERS-CoV infection. B.) Western blots of cellular lysates of Huh7, Vero, BHK,  
620 primary ferret, 3T3 and MEFC57Bl6 cells probed with  $\alpha$ -DPP4 or  $\alpha$ -actin antibodies. C.) Cells were  
621 stained using  $\alpha$ -DPP4 (R&D) and a FITC-conjugated secondary antibody (Life Technologies). Samples  
622 were collected using a LSRII flow cytometer (BD Biosciences) and analyzed using FlowJo and GraphPad  
623 software. Mean titers were calculated from three independent experiments. Error bars indicate standard  
624 deviations.

625  
626 Figure 2. DPP4 in rhesus macaque, hamster, mouse and ferret lung and kidney tissue.  
627 IHC was performed on lung and kidney tissues from rhesus macaque, hamster, mouse and ferret tissue  
628 using an  $\alpha$ -DPP4 antibody. Tissues were fixed in 10% neutral buffered formalin, embedded in paraffin.  
629 IHC images lung: closed arrow = bronchiolar epithelium; open arrow = smooth muscle; asterisk =  
630 alveolar macrophage; closed arrowhead = alveolar interstitium. IHC images kidney: closed arrow = renal  
631 tubular epithelium; open arrow = glomerular endothelium (magnification, 200x).

632  
633 Figure 3. Replication kinetics of MERS-CoV on hamster and ferret cell lines expressing human, hamster  
634 or ferret DPP4.

635 A.) Human DPP4 (red), hamster DPP4 (blue), ferret DPP4 (blue,) and GFP (green) were expressed in  
636 BHK (●) or primary ferret (■) cells. Twenty-four hours post transfection, cells were inoculated with  
637 MERS-CoV using a MOI of 1 TCID<sub>50</sub>/cell. Supernatants were harvested at 0, 24, 48 and 72 hpi and viral

638 titers were determined by end-point titration in quadruplicate in VeroE6 cells. Geometric mean titers were  
639 calculated from three independent experiments. Error bars indicate standard deviations. B.) BHK or  
640 primary ferret cells were left untransfected (red) or transfected with DPP4 (blue) and stained 24 hours  
641 post-transfection using  $\alpha$ -DPP4 (R&D) and a FITC-conjugated secondary antibody (Life Technologies).  
642 Samples were collected using a LSRII flow cytometer (BD Biosciences) and analyzed using FlowJo  
643 software. C.) Expression of DPP4 mRNA was measured via qRT-PCR. Relative fold increase was  
644 calculated by the comparative  $C_T$  method (35), where DPP4 expression is normalized to HPRT.

645

646 Figure 4. Interaction between MERS-CoV spike protein and DPP4s of different mammalian species  
647 A. Cartoon representing the binding between human DPP4 or hamster DPP4 and the spike protein of  
648 MERS-CoV. DPP4 is depicted in white; the receptor binding domain (RBD) of the spike protein of  
649 MERS-CoV is depicted in magenta and cyan. The far right panel is obtained by clockwise rotation of the  
650 middle panel along a longitudinal axis. B. Binding energies between spike protein of MERS-CoV and  
651 DPP4 of different species as well as humanized hamster DPP4 and hamsterized human DPP4. Red bars  
652 indicate the binding energies of known binders (human and rhesus macaque DPP4), blue bars indicate the  
653 binding energies of non-binders (hamster, mouse and ferret DPP4), green bars indicate the binding  
654 energies of unknown binders (dromedary camel, goat, cow and sheep) and purple bars indicate the  
655 binding energies of the *in-silico* mutagenized hamster and human DPP4s. The DPP4 homology models  
656 were constructed using the human DPP4 structure (PDB ID: 4KR0, Chain A) as a template and subjected  
657 to the binding energy calculation using an all-atom distance-dependent pairwise statistical potential,  
658 DFIRE.

659

660 Figure 5. Replication kinetics of MERS-CoV on BHK cells expressing mutagenized DPP4s.  
661 A.) Humanized hamster DPP4 (blue ●) or hamsterized human DPP4 (red ■) were expressed on BHK  
662 cells. As a control, human DPP4 (red ●) was expressed on BHK cells. Twenty-four post transfection, cells  
663 were inoculated with MERS-CoV using an MOI of 1 TCID<sub>50</sub>/cell. Supernatants were harvested at 0, 24,

48 and 72 hpi and viral titers were determined by end-point titration in quadruplicate in VeroE6 cells. Geometric mean titers were calculated from three independent experiments. Error bars indicate standard deviations. B.) BHK cells were left untransfected (red) or transfected with DPP4 (blue) and stained 24 hours post-transfection using  $\alpha$ -DPP4 (R&D) and a FITC-conjugated secondary antibody (Life Technologies). Samples were collected using a LSRII flow cytometer (BD Biosciences) and analyzed using FlowJo software.

Figure 6. Replication kinetics of MERS-CoV on BHK cells expressing DPP4 of livestock species. Camel (●), cow (■), goat (▲) or sheep (▼) DPP4 (green) as well as rhesus macaque (■, red), ferret (■, blue), or mouse (▲, blue) DPP4 were expressed on BHK cells. As a control, human (red) or hamster (blue) DPP4 were expressed on BHK cells. Twenty-four hours post transfection, cells were inoculated with MERS-CoV using an MOI of 1 TCID<sub>50</sub>/cell. Supernatants were harvested at 0, 24, 48 and 72 hpi and viral titers were determined by end-point titration in quadruplicate in VeroE6 cells. Geometric mean titers were calculated from three independent experiments. Error bars indicate standard deviations. B.) BHK cells were left untransfected (red) or transfected with DPP4 (blue) and stained 24 hours post-transfection using  $\alpha$ -DPP4 (R&D) and a FITC-conjugated secondary antibody (Life Technologies). Samples were collected using a LSRII flow cytometer (BD Biosciences) and analyzed using FlowJo software. C.) Expression of DPP4 mRNA was measured via qRT-PCR. Relative fold increase was calculated by the comparative C<sub>T</sub> method (35), where DPP4 expression is normalized to HPRT.

Figure 7. DPP4 in camel, goat, cow and sheep lung and kidney tissue. IHC was performed on lung and kidney tissues from camel, goat, cow and sheep using an  $\alpha$ -DPP4 antibody. Tissues were fixed in 10% neutral buffered formalin, embedded in paraffin. IHC images lung: closed arrow = bronchiolar epithelium; open arrow = smooth muscle; asterisk = alveolar macrophage; closed arrowhead = alveolar interstitium. IHC images kidney: closed arrow = renal tubular epithelium; open arrow = glomerular endothelium (magnification, 200x).















