

**The ER stress sensor IRE1 $\alpha$  protects cells from apoptosis induced by  
coronavirus infectious bronchitis virus**

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Running Title: Activation of the IRE1-XBP1 pathway by IBV

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27 **Abstract**

28 The unfolded protein response (UPR) is a signal transduction cascade triggered by perturbation of  
29 the homeostasis of the endoplasmic reticulum (ER). UPR resolves ER stress by activating a cascade  
30 of cellular response including the induction of molecular chaperones, translational attenuation, ER-  
31 associated degradation and other mechanisms. Under prolonged and irremediable ER stress, however,  
32 UPR can also trigger apoptosis. Here we report that in cells infected with the avian coronavirus  
33 infectious bronchitis virus (IBV), ER stress was induced and the IRE1 $\alpha$ -XBP1 pathway of UPR was  
34 activated. Knockdown and over-expression experiments demonstrated that IRE1 $\alpha$  protects the  
35 infected cells from IBV-induced apoptosis, which required both its kinase and RNase activity. Our  
36 data also suggest that splicing of XBP1 mRNA by IRE1 $\alpha$  appears to convert XBP1 from a pro-  
37 apoptotic XBP1u protein to a pro-survival XBP1s protein. Moreover, IRE1 $\alpha$  antagonized IBV-  
38 induced apoptosis by modulating the phosphorylation status of the pro-apoptotic c-Jun N-terminal  
39 kinase (JNK) and the pro-survival RAC-alpha serine/threonine-protein kinase (Akt). Taken together,  
40 the ER stress sensor IRE1 $\alpha$  is activated in IBV-infected cells and serves as a survival factor during  
41 coronavirus infection.

42 **Importance**

43 Animal coronaviruses are important veterinary viruses, which could cross the species barrier,  
44 becoming severe human pathogens. Molecular characterization of the interactions between  
45 coronaviruses and host cells is pivotal to the understanding of pathogenicity and species specificity  
46 of coronavirus infection. It has been well established that the endoplasmic reticulum (ER) is closely  
47 associated with coronavirus replication. Here we report that inositol-requiring protein-1 alpha  
48 (IRE1 $\alpha$ ), a key sensor of ER stress, is activated in cells infected with avian coronavirus infectious  
49 bronchitis virus (IBV). Moreover, IRE1 $\alpha$  is shown to protect the infected cells from apoptosis by  
50 modulating the unfolded protein response (UPR) and two kinases related to cell survival. This study  
51 demonstrates that UPR activation constitutes a major aspect of coronavirus-host interactions.  
52 Manipulations of the coronavirus-induced UPR may provide novel therapeutic targets to the control  
53 of coronavirus infection and pathogenesis.

54

## 55 **Introduction**

56 In eukaryotic cells, the endoplasmic reticulum (ER) is the major site where secreted and  
 57 transmembrane proteins are synthesized and folded. When excessive proteins enter the ER, unfolded  
 58 proteins accumulate in the ER lumen and cause ER stress. To maintain homeostasis, signaling  
 59 pathways collectively known as the unfolded protein response (UPR) are activated (1). To date, three  
 60 UPR sensors have been identified, namely PKR-like ER protein kinase (PERK), activating  
 61 transcriptional factor-6 (ATF6) and inositol-requiring protein-1 alpha (IRE1 $\alpha$ ). Activated PERK  
 62 phosphorylates the  $\alpha$  subunit of eukaryotic initiation factor 2 (eIF2 $\alpha$ ) and results in a global  
 63 shutdown of protein synthesis to reduce the protein flux into the ER (2). Activated ATF6 is cleaved  
 64 twice to release a cytosolic fragment, which translocates to the nucleus and transactivates ER protein  
 65 chaperones that enhance the ER folding capacity (3, 4).

66 The IRE1 $\alpha$ -XBP1 branch of the UPR is evolutionarily conserved from yeast to humans. In  
 67 response to unfolded proteins, IRE1 $\alpha$  dissociates from ER protein chaperones and undergoes  
 68 oligomerization (5). This results in the autophosphorylation of the kinase domain and activation of  
 69 the RNase domain. The best characterized substrate for the RNase domain is mRNA of the X box  
 70 binding protein 1 (XBP1) (6, 7). IRE1 $\alpha$  removes a 26-nucleotide intron from XBP1 mRNA to form a  
 71 frame shift transcript, the spliced XBP1 (XBP1s). While the unspliced XBP1 (XBP1u) mRNA  
 72 encodes an inhibitor of the UPR, XBP1s encodes a potent transcription activator, which translocates  
 73 to the nucleus and enhances the expression of many UPR genes, including those encoding molecular  
 74 chaperones and proteins contributing to ER-associated degradation (ERAD) (8, 9).

75 If ER homeostasis is not re-established, the UPR can induce apoptosis to eliminate the overly  
 76 stressed cells. Apoptosis is a highly controlled mode of cell death characterized by cell shrinkage,  
 77 plasma membrane blebbing and nuclear fragmentation (10). Previously, ER stress-induced apoptosis  
 78 has been mainly attributed to the induction of C/EBP homologous protein (CHOP) (11). Recently, it  
 79 has been demonstrated that the IRE1 $\alpha$  branch is also involved in regulation of ER stress-induced  
 80 apoptosis. Activated IRE1 $\alpha$  has been found to be associated with TNF receptor-associated factor 2

81 (TRAF2). This complex further recruits apoptosis-signal-regulating kinase 1 (ASK1), which induces  
82 apoptosis by activating the mitogen-activated protein (MAP) kinase JNK (12). In another study,  
83 IRE1 $\alpha$  has been shown to promote clustering and activation of pro-caspase 12, which subsequently  
84 cleaves caspase 3 and induces apoptosis (13, 14).

85       Coronaviruses are enveloped virus with a large single-stranded, positive-sense RNA genome.  
86 Infectious bronchitis virus (IBV) is an avian gammacoronavirus that causes respiratory disease in  
87 chickens, resulting in major economic burden to the poultry industry worldwide. During coronavirus  
88 infection, tremendous amount of viral proteins are synthesized in the ER. Moreover, the replication  
89 and transcription complexes (RTCs) where coronavirus RNA synthesis occurs are originated from a  
90 reticular network of modified ER membranes (15, 16). The overloading of ER folding capacity and  
91 extensive rearrangement of the ER membrane may cause ER stress and induce UPR, as previously  
92 demonstrated in cells infected with mouse hepatitis virus (MHV) (17). Moreover, the envelope  
93 protein of severe acute respiratory syndrome coronavirus (SARS-CoV) has been shown to counteract  
94 the IRE1 $\alpha$ -XBP1 pathway of UPR and inhibit SARS-CoV-induced apoptosis (18). However, the  
95 significance of UPR in coronavirus-host interaction remains largely unexplored.

96       Previously, we have shown that IBV induces apoptosis in late stage infected cells and  
97 identified two Bcl-2 family proteins that modulate IBV-induced apoptosis (19-22). However, the  
98 mechanisms regulating this process remain largely unexplored. In this study, we focus on the UPR  
99 sensor IRE1 $\alpha$  and its function in IBV-induced apoptosis. It was found that IBV induced ER stress in  
100 infected cells and activated the IRE1 $\alpha$ -XBP1 pathway at late stage of infection. Knockdown and  
101 over-expression studies showed that IRE1 $\alpha$  protected infected cells from IBV-induced apoptosis,  
102 which required both the kinase and RNase domains of IRE1 $\alpha$ . Splicing of XBP1 by IRE1 $\alpha$  appears to  
103 convert it from a pro-apoptotic unspliced form to an anti-apoptotic spliced form. Moreover,  
104 phosphorylation of the pro-apoptotic kinase JNK and the pro-survival kinase Akt was also modulated  
105 by IRE1 $\alpha$  to promote cell survival during IBV infection. Taken together, our data demonstrate the  
106 important pro-survival function of the UPR sensor IRE1 $\alpha$  during coronavirus infection.

## 107 **Materials and methods**

### 108 **Virus and cell lines**

109 The egg-adapted Beaudette strain of IBV (ATCC VR-22) was obtained from American Type Culture  
110 Collection (ATCC) and adapted to Vero cells as described (23). To prepare virus stock, monolayers  
111 of Vero cells were infected at a multiplicity of infection (MOI) of approximately 0.1 and cultured in  
112 plain Dulbecco modified Eagle medium (DMEM) at 37°C for 24 hours. After three freeze/thaw  
113 cycles, the cell lysate was clarified by centrifugation at 1,500 g at 4°C for 30 minutes. The  
114 supernatant was aliquot and stored at -80°C as virus stock. The titer of the virus preparation was  
115 determined by plaque assays. Mock cell lysate was prepared by same treatment of uninfected Vero  
116 cells.

117 Inactivation of IBV was performed by exposing the virus stock to 120,000 mJ/cm<sup>2</sup> of 254-nm  
118 shortwave UV radiation for 15 minutes with a CL-1000 cross-linker (UVP) (24). To demonstrate that  
119 IBV had been inactivated, Vero cells were incubated with UV-IBV and the cell lysates were  
120 analyzed by Western blot to confirm that no viral proteins can be detected.

121 H1299 cells were cultured in RPMI 1640 medium supplemented with 5% fetal bovine serum  
122 (FBS) and 1% Penicillin-Streptomycin (Gibco). All cells were grown in a 37°C incubator supplied  
123 with 5% CO<sub>2</sub>. In all the experiments, cells were washed twice with PBS before infected with IBV at  
124 an MOI of approximately 2 or incubated with equal volume of UV-IBV in serum-free medium. After  
125 2 hours of absorption, cells were washed twice with serum-free medium and kept incubated at 37°C  
126 before harvested.

### 127 **Antibodies, chemicals and reagents**

128 The antibodies against IRE1α (#3294), PARP (#9532), caspase-3 (#9662), caspase-8 (#9746),  
129 caspase-9 (#9502), JNK (#9258), phospho-JNK (#4668), Akt (#4691) and phospho-Akt (#4060)  
130 were purchased from Cell Signaling Technology. The antibody against enhanced green fluorescence  
131 protein was from Sigma. The antibody against β-actin (sc-1616) was from Santa Cruz Biotechnology.

132 The anti-serum against IBV S protein and N protein were from rabbits immunized with bacterial  
133 expressed fusion proteins as previously described (25, 26).

134 Dithiothreitol (DTT) was purchased from Sigma. The 1 M DTT stock was prepared by  
135 dissolving in autoclaved water and stored at -20 °C. To induce ER stress, cells were treated with 2  
136 mM DTT for 2 hours before harvested for RNA extraction.

#### 137 **Plasmid constructions and transfection**

138 The cDNA of human IRE1 $\alpha$  (RefSeq NM\_001433.3) was amplified from total RNA of H1299 cells  
139 by reverse transcriptase-PCR (RT-PCR) using IRE1 $\alpha$  specific primers (forward primer: 5'-  
140 CGGGAATTCGGCCGAGTCCTCGCCATG-3', reverse primer: 5'-  
141 CAAGCGGCCGCCTTTCCCAACTATCACCACGCT-3'). The PCR product was digested with  
142 *EcoRI* and *NotI* and inserted to *pHA-C*, which has a HA-tag coding sequence inserted between the  
143 *NotI* and *XbaI* site in the parental construct *pcDNA3.1* (Invitrogen). The resulting plasmid was  
144 named *pcDNA3.1-IRE1 $\alpha$ -HA*. The kinase dead mutant K599A was generated using site-directed  
145 mutagenesis (forward primer: 5'- GACGTGGCCGTGGCGAGGATCCTCCCC-3', reverse primer:  
146 5'- GGGGAGGATCCTCGCACGGCCACGTC-3', mutated nucleotides underlined). The RNase  
147 deleted mutant was generated by amplifying DNA fragment from *pcDNA3.1-IRE1 $\alpha$ -HA* using  
148 specific primers (forward primer 5'- CGGGAATTCGGCCGAGTCCTCGCCATG-3', reverse  
149 primer CAAGCGGCCGCCTTTCCCAACTATCACCACGCT) and ligating into the same sites. The  
150 cDNA of human XBP1, unspliced isoform (RefSeq NM\_005080.3) was amplified from total RNA of  
151 H1299 cells by RT-PCR using XBP1u specific primers (forward primer: 5'-  
152 GGAAGATCTGGAGCTATGGTGGTG-3', reverse primer: 5'-  
153 CGGGGTACCTTAGTTCATTAATGGCTTCCAGC-3'). The cDNA of human XBP1, spliced  
154 isoform (RefSeq NM\_001079539.1) was amplified from total RNA of H1299 cells treated with 2  
155 mM DTT for 2 h, using XBP1s specific primers (forward primer: 5'-  
156 GGAAGATCTGGAGCTATGGTGGTG-3', reverse primer: 5'-  
157 CGGGGTACCTTAGACACTAATCAGCTGGGG-3'). The PCR products of both XBP1u and

158 XBP1s were digested with *BglII* and *KpnI* and inserted to *pEGFP-C1* (Clontech). The forward  
 159 primer 5'-GGAAGATCTGGAGCTATGGTGGTG-3' and reverse primer 5'-  
 160 CGGGGTACCTTATACCGCCAGAATCCATGGGGAGATG-3' were used to amplify the coding  
 161 sequence of the dominant negative form of XBP1, which was inserted between *BamHI* and *KpnI* in  
 162 the vector pXJ40-FLAG. The expression plasmid for constitutively active Akt pcDNA-myr-AKT1  
 163 was a generous gift from Dr. Jean-Ehrland Ricci as described before (27).  
 164 Transfection of plasmids DNA to H1299 cells was performed using Lipofectamine 2000 reagent  
 165 (Invitrogen) according to the manufacturer's instructions. Briefly, H1299 cells were plated to 12-well  
 166 plate the day before transfection. For each well, 0.8 µg plasmid DNA and 2 µl Lipofectamine 2000  
 167 were each diluted with 100 µl RPMI and incubated for 5 minutes. Then the diluted plasmid and  
 168 transfection reagent were mixed by brief vortex and incubated for another 20 minutes. The H1299  
 169 cells were changed with 800 µl RPMI containing 5% FBS and the transfection mixture was added to  
 170 each well dropwise. The cells were incubated at 37°C for 6-8 hours before replacing with complete  
 171 medium. At 24 hours post-transfection, cells were infected with IBV at an MOI of 2 or mock  
 172 infected and continued incubated before harvested for protein and/or RNA analysis at indicated time  
 173 points.

#### 174 RNA interference

175 IRE1α siRNA (+): 5'-GGACGUGAGCGACAGAAUA dTdT-3', XBP1 siRNA (+): 5'-  
 176 ACAGCAAGUGGUAGAUUUA dTdT-3', JNK1/2 siRNA (+): 5'-  
 177 AAAGAAUGUCCUACCUUCU dTdT-3', and control EGFP siRNA (+): 5'-  
 178 GCUGACCCUGAAGUUCAUC dTdT-3' were purchased from Sigma (28, 29). Transfection of  
 179 siRNA was performed using DhamaFECT2 transfection reagent (Dharmacon, Thermo Fisher  
 180 Scientific) according to the manufacturer's instructions. At 48 hours post-transfection, cells were  
 181 infected with IBV at an MOI of 2 or mock infected and continued incubated before harvested for  
 182 protein and/or RNA analysis at indicated time points.

#### 183 RNA extraction and RT-PCR analysis

184 Total RNA from cultured cells was extracted with TRIzol Reagent (Invitrogen) according to the  
185 manufacturer's instructions. Briefly, cells were lysed with 1 ml TRIzol per 10 cm<sup>2</sup> effective growth  
186 area and the lysates were mixed with one-fifth volume of chloroform. After centrifugation at 12,000  
187 g at 4°C for 15 minutes, the aqueous phase was mixed with equal volume of isopropanol. RNA was  
188 pelleted by centrifugation at 12,000 g at 4°C for 15 minutes, washed with 70% ethanol twice and  
189 dissolved in RNase-free H<sub>2</sub>O. The concentration of the total RNA was measured using a NanoDrop  
190 1000 Spectrophotometer (Thermo Fisher Scientific).

191 The cDNA was reverse transcribed from total RNA using oligo-dT and ImProm-II™ Reverse  
192 Transcription System (Promega) according to the manufacturer's instructions. The following primers  
193 (forward and reverse) were used for PCR: IRE1α: GAAAAGGAATCCCTGGATGG and  
194 TCAGAGGGCGTCTGGAGTC; XBP1: CAGCGCTTGGGGATGGATGC and  
195 GGGCTTGGTATATATGTGG; ERdj4: GATACACTTGGACACAGTGC and  
196 CTACTGTCCTGAACAGTCAG; EDEM1: CTACCAGGCAACCAAGAATC and  
197 CCAACCATCTGGTCAATCTG; P58IPK: GGCTCGGTATTCCCCTTCCT and  
198 AGTAGCCCTCCGATAATAAGCAA; HERPUD1: GGACCTATTCAGCAGCTACA and  
199 ATCAGTTTGCGATGGCTGGG; GAPDH: GGGCTCATCTGAAGGGTGGTGC and  
200 GGACGCTGGGATGATGTTCTGG; IBV gRNA, GAGTAACATAATGGACCTGT and  
201 TGCTGTACCCTCGATCGTAC; IBV sgRNA2, CTATTACACTAGCCTTGCGCTAGA and  
202 CTCTGGATCCAATAACCTAC. The PCR products were resolved using 1% agarose gel pre-  
203 stained with ethidium bromide and visualized under UV shadowing. The band intensities of specific  
204 genes were determined by densitometry using Image J software and normalized to the intensities of  
205 corresponding GAPDH bands. To resolve the unspliced and spliced form of XBP1, the PCR products  
206 were resolved using 4% agarose gel. Percentage of XBP1 splicing [XBP1s (%)] was calculated as the  
207 intensity of XBP1s divided by the total intensities of XBP1u and XBP1s.

208 Real time RT-PCR was performed using SYBR select PCR kit (Life technologies) in an  
209 Applied Biosystems 7500 real-time PCR system (Applied Biosystems) according to manufacturer's

210 instructions. The mRNA levels of specific genes were calculated using GAPDH as an internal  
 211 reference and normalized to 0 hour samples (in time course experiments) or siEGFP transfected  
 212 samples (in siRNA knockdown experiments). The following real time PCR primers (forward and  
 213 reverse) were used: IRE1 $\alpha$ : CGGGAGAACATCACTGTCCC and CCCGGTAGTGGTGCTTCTTA;  
 214 Total XBP1: TTGTCACCCCTCCAGAACATC and TCCAGAATGCCCAACAGGAT; Spliced  
 215 XBP1: TGCTGAGTCCGCAGCAGGTG and GCTGGCAGGCTCTGGGGAAG; ERdj4:  
 216 TCTTAGGTGTGCCAAAATCGG and TGTCAGGGTGGTACTTCATGG; EDEM1:  
 217 CGGACGAGTACGAGAAGCG and CGTAGCCAAAGACGAACATGC; P58IPK:  
 218 GGCTCGGTATTCCCCTTCCT and AGTAGCCCTCCGATAATAAGCAA; GAPDH:  
 219 CCACTCCTCCACCTTTGAC and ACCCTGTTGCTGTAGCCA.

#### 220 **SDS-PAGE and Western blot analysis.**

221 Cells were infected with IBV and harvested at indicated times points using cell scrapers (Corning).  
 222 After centrifugation at 16,000 g for 1 minute, the supernatant was discarded and the pellets were  
 223 lysed in 1X RIPA buffer. After clarifying by centrifugation and determination of protein  
 224 concentration by spectrophotometer, the cell lysates were mixed with Laemmli sample buffer  
 225 containing 100 mM dithiothreitol (30). The protein samples were boiled at 90°C for 5 minutes and  
 226 centrifuged at 16,000 g for 5 minutes. Equal amount of protein samples were subjected to sodium  
 227 dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) and transferred to 0.2  $\mu$ m  
 228 nitrocellulose membranes (Bio-Rad). After the nonspecific antibody binding sites were blocked with  
 229 5% skim milk in Tris-buffered saline (20 mM Tris-HCl pH 7.4, 150 mM NaCl) containing 0.1%  
 230 Tween 20, the membranes were incubated with 1  $\mu$ g/ml primary antibodies at 4°C overnight. After  
 231 washing with Tris-buffered saline, the membranes were incubated with 1:2000 diluted anti-mouse or  
 232 anti-rabbit IgG antibodies conjugated with horseradish peroxidase (DAKO) at room temperature for  
 233 2 hours. The membranes were washed and the proteins detected with a chemiluminescence detection  
 234 kit (Amersham Biosciences) and medical X-ray films (Fujifilm) according to the manufacturer's

235 instructions. The films were scanned as gray scale 8-bit images and the density of bands were  
236 determined by the NIH software ImageJ.

#### 237 **Virus titration**

238 Cell-free supernatants of IBV infected cells collected at different time points were clarified by  
239 centrifugation and 10-fold serially diluted using serum-free DMEM. The viral titers were determined  
240 by plaque assay. Briefly, 250 µl diluted supernatants were applied to confluent monolayers of Vero  
241 cells in 6-well plates. The plate was agitated every 10 minutes to ensure proper coverage of the  
242 monolayers. After 2 hours of adsorption, unbound viruses were removed and cells were washed  
243 twice with DMEM. 2 ml overlay medium (0.4% agarose in DMEM) was added to each well and the  
244 plates were incubated at 37°C for two days before plaques formed. Agarose overlay was removed  
245 and cells fixed with 4% formaldehyde before staining with crystal violet. Finally plaque numbers  
246 were count and the titers of individual samples were expressed in the unit of logarithm of plaque  
247 forming unites (PFU) per ml. Each sample was titrated in triplicate in each experiment.

248

## 249 **Results**

### 250 **IBV infection activates the IRE1 $\alpha$ -XBP1 pathway**

251 Our previous studies have shown that the PERK branch of the ER stress response is activated in cells  
 252 infected with IBV at early stage of infection (31, 32). To determine whether IBV infection also  
 253 modulates the IRE1 $\alpha$  pathway, H1299 cells were either infected with IBV at a multiplicity of  
 254 infection (MOI)  $\sim$  2 or incubated with UV-inactivated IBV (UV-IBV). Cells treated with DTT, a  
 255 strong ER stress inducer, were included as a positive control. Total RNAs were extracted and  
 256 subjected to semi-quantitative RT-PCR analysis. The IBV genomic RNA could be detected from 12  
 257 hours post-infection (hpi) and accumulated till the end of infection (Figure 1a). RT-PCR analysis of  
 258 HERPUD1, a component of the ERAD pathway and a commonly used ER stress marker (33, 34),  
 259 showed significant increase at the mRNA level in cells infected with IBV and treated with DTT, but  
 260 not in cells incubated with UV-IBV (Figure 1a). Therefore, similar to other coronaviruses studied,  
 261 IBV infection induces potent ER stress. Determination of the mRNA levels of components in the  
 262 IRE1 $\alpha$ -XBP1 pathway of the UPR showed stable increase of IRE1 $\alpha$  through the course of infection  
 263 with slight reduction at 24 hpi, possibly due to extensive cell death at late stage of infection (Figure  
 264 1a). The total amount of XBP1 mRNA was also gradually accumulated over time, whereas both  
 265 IRE1 $\alpha$  and XBP1 mRNA remained relatively unchanged in cells incubated with UV-IBV (Figure 1a).

266 During ER stress, the activated IRE1 $\alpha$  mediates splicing of the XBP1 mRNA by removing a  
 267 26-nt intron, as previously described (6). A moderate degree of XBP1 splicing ( $\sim$ 15%) was detected  
 268 in IBV-infected H1299 cells at 24 hpi, as compared to  $\sim$ 90% splicing in cells treated with DTT  
 269 (Figure 1a). No XBP1 splicing was observed in cells incubated with UV-IBV (Figure 1a). ERdj4,  
 270 EDEM1 and P58IPK are known to be specifically induced by the spliced form of XBP1 (9). A  
 271 significant induction of all three genes were observed in cells treated with DTT. Considerable  
 272 increase of ERdj4 mRNA was detected in IBV infected cells, but only moderate induction of P58IPK  
 273 and EDEM1 by IBV infection was observed (Figure 1a). Since ERdj4 has been shown to be  
 274 specifically induced by XBP1s, it was interesting to note that ERdj4 was induced in the IBV-infected

275 cells in the absence of detectable spliced XBP1 at early time points (8-20h). The same time course  
276 experiment was performed in Vero cells. Similarly, the mRNA levels of HERPUD1, IRE1 $\alpha$ , XBP1,  
277 EDEM1, P58IPK and ERdj4 were up-regulated in IBV-infected Vero cells, and a moderate level of  
278 XBP1 splicing was observed at 24 hpi (Figure 1a, right panels).

279 To confirm the semi-quantitative RT-PCR results, real time-RT-PCR was performed. As  
280 shown in Figure 1b, IBV infection induced a ~12-fold increase in IRE1 $\alpha$  mRNA and a ~4-fold  
281 increase in total XBP1 mRNA in H1299 cells at 20 hpi. The mRNA level of XBP1s was determined  
282 using a pair of primers previously validated to amplify only the spliced variant (35). Compared with  
283 mock infected control, IBV infection induced a ~18-fold increase in XBP1s mRNA. Consistently,  
284 ERdj4, EDEM1 and P58IPK mRNA level increased by ~2-3 fold in IBV infected cells as compared  
285 with the mock infected control (Figure 1b). The same pattern was also observed in Vero cells, where  
286 IBV infection induced significant up-regulation of IRE1 $\alpha$ , total XBP1, XBP1s, ERdj4 and P58IPK  
287 (Figure 1b, lower panels). Taken together, IBV infection causes ER stress in the infected cells and  
288 activates the IRE1 $\alpha$ -XBP1 pathway.

### 289 **Knockdown of IRE1 $\alpha$ and XBP1 attenuates IBV-induced activation of** 290 **the IRE1 $\alpha$ -XBP1 pathway**

291 To confirm that the IRE1 $\alpha$ -XBP1 pathway is induced in IBV-infected cells, we used siRNA to  
292 specifically knock down IRE1 $\alpha$  or XBP1 in H1299 cells. Vero cells were not chosen for the  
293 knockdown experiments due to its very low transfection efficiency. As shown in Figure 2a, H1299  
294 cells were transfected with siRNAs targeting IRE1 $\alpha$ , XBP1 or EGFP (as negative control) before  
295 treated with DTT. As expected, the mRNA level of IRE1 $\alpha$ , total XBP1, XBP1s and ERdj4  
296 significantly increased in the siEGFP transfected DTT-treated cells, compared with mock-treated  
297 control. Transfection of siIRE1 $\alpha$  significantly reduced DTT-induced up-regulation of IRE1 $\alpha$  and  
298 XBP1 splicing by ~50%. On the other hand, transfection of siXBP1 drastically reduced the mRNA  
299 level of total XBP1 and spliced XBP1 induced by DTT by ~70%. Moreover, knockdown of either  
300 IRE1 $\alpha$  or XBP1 also reduced DTT-induced ERdj4 up-regulation by 30-40%. These suggested that

transfection of the siRNAs specifically reduced the mRNA level of target genes and down-regulated the activation of IRE1 $\alpha$ -XBP1 pathway triggered by DTT.

We then infected the siRNA-transfected cells with IBV, harvest total RNA at 20 hpi and compared the mRNA level of genes related to the IRE1 $\alpha$ -XBP1 pathway using RT-qPCR. As shown in Figure 2b, transfection with siIRE1 $\alpha$  and siXBP1 resulted in ~75% and ~90% knockdown efficiencies in the endogenous IRE1 $\alpha$  and total XBP1 mRNA level, respectively. Compared with the DTT treatment experiment, the higher knockdown efficiency could be a result of longer incubation time after siRNA transfection before cell harvest. Notably, whereas siXBP1 nearly completely abolished the IBV-induced up-regulation of XBP1s, siIRE1 $\alpha$  also reduced the level of XBP1s mRNA by ~75% (Figure 2b). This is not surprising, because IRE1 $\alpha$  is required for efficient splicing of XBP1 in cells under ER stress. Knockdown of IRE1 $\alpha$  or XBP1 significantly reduced IBV-induced up-regulation of ERdj4 and P58IPK by more than 50%, but the mRNA level of EDEM1 was only minimally affected (Figure 2b). Therefore, IBV infection indeed activates the IRE1 $\alpha$ -XBP1 pathway, and the IBV-induced up-regulations of downstream genes ERdj4 and P58IPK were dependent on both IRE1 $\alpha$  and XBP1.

### **Knockdown of IRE1 $\alpha$ potentiates IBV-induced apoptosis in infected cells**

One outcome of cells under ER stress is the activation of caspase-dependent apoptosis and IRE1 $\alpha$  has been previously demonstrated to mediate ER stress-induced apoptosis (12-14). We next looked at the role of IRE1 $\alpha$  and XBP1 in IBV-induced apoptosis. As shown in Figure 3a, H1299 cells were transfected with siIRE1 $\alpha$ , siXBP1 and siEGFP, before infected with IBV or mock infected. The knockdown efficiencies were determined by Western blot, although we were only able to detect the unspliced form but not the spliced form of XBP1. Successful knockdown of the two genes were also reflected by the effects on XBP1 splicing determined by RT-PCR. Consistent with the real time PCR results (Figure 2b), whereas knockdown of IRE1 $\alpha$  reduced the mRNA level of spliced XBP1, knockdown of XBP1 depleted the mRNA of both XBP1u and XBP1s. Poly (ADP-ribose) polymerase (PARP), a well characterized apoptosis marker and a cleavage target of caspase 3, was

used to monitor apoptosis. In cells transfected with siEGFP, significant PARP cleavage (~27%) was detected at 22 hpi. Interestingly, in IRE1 $\alpha$ -knockdown cells, PARP cleavage could be detected at an earlier time point (~19 hpi). Moreover, at 22 hpi, a more prominent PARP cleavage (~49%) was observed in IBV-infected IRE1 $\alpha$ -knockdown cells, compared with the siEGFP control (Figure 3a). Surprisingly, knockdown of XBP1 did not result in a similar phenotype. Indeed, in XBP1-knockdown cells, no significant PARP cleavage could be detected throughout the course of infection (Figure 3a). The same experiment was performed multiple times, and the observed effects of gene knockdown on IBV-induced PARP cleavage were reproducible and statistically significant (Figure 3b).

To further validate the results, we also determined the activation of caspases (Caspase 3, 8 and 9) during IBV infection in the knockdown cells. Samples collected at 22 hpi and siEGFP transfected mock infected sample in Figure 3a were further probed with individual caspase antibodies. As shown in Figure 3c, consistent with the PARP cleavage pattern, the percentages cleavage of caspase 3, 8 and 9 were significantly higher in IRE1 $\alpha$ -knockdown cells, and lower in XBP1-knockdown cells, as compared with the siEGFP control. The knockdown of IRE1 $\alpha$  or XBP1 did not affect IBV replication, as determined by the similar N protein level (Figure 3a) and the similar virus titers in the supernatant (Figure 3d). Taken together, these data suggest that although IRE1 $\alpha$  is not essential for IBV replication, it protects the infected cells from IBV-induced apoptosis.

The seemingly opposite effect of XBP1 knockdown on apoptosis was unexpected, since XBP1 is the main target of IRE1 $\alpha$  mediated splicing. However, it is well known that whereas XBP1s serves as a potent activator of downstream UPR genes, XBP1u is actually a negative regulator of UPR (36). Therefore it is possible that XBP1u and XBP1s may also demonstrate opposite effects on IBV-induced apoptosis. Because siXBP1 used in the experiments targets both XBP1u and XBP1s, it is difficult to elucidate the function of individual isoforms. As shown in the later section, when we shifted to the over-expression approach using wild type and dominant negative XBP1, it became apparent that XBP1u and XBP1s indeed exert opposite effect on IBV-induced apoptosis.

### 353 **Overexpression of full-length IRE1 $\alpha$ protects cells from IBV-induced apoptosis**

354 We next adopted the transient overexpression approach to study the anti-apoptotic activity of IRE1 $\alpha$ .  
 355 A plasmid encoding the full-length human IRE1 $\alpha$  with an HA-tag at the C-terminus was constructed.  
 356 H1299 cells were transfected with the construct or vector control (pcDNA3.1), before infected with  
 357 IBV at an MOI ~2 or mock infected. As shown in Figure 4a, the expression of IRE1 $\alpha$ -HA was  
 358 detected by western blot using antibodies against the HA-tag. Transfection of IRE1 $\alpha$  did not  
 359 significantly affect the replication of IBV, as indicated by the similar level of N protein compared  
 360 with the control (Figure 4a). In the vector control, IBV induced prominent PARP cleavage at 20 hpi  
 361 (~20%) and 24 hpi (~46%). However, in cells transfected with IRE1 $\alpha$ -HA, IBV-induced PARP  
 362 cleavage was partially reduced to ~9% at 20 hpi and ~24% at 24 hpi. The experiment was performed  
 363 multiple times and the reduction of PARP cleavage by transfection of full-length IRE1 $\alpha$  was  
 364 reproducible and statistically significant (Figure 4b). Thus, ectopic expression of IRE1 $\alpha$  could indeed  
 365 protect cells from IBV-induced apoptosis.

366 The IRE1 $\alpha$  protein contains a luminal domain that recognizes unfolded protein, a kinase  
 367 domain that triggers autophosphorylation and dimerization, and an RNase domain that mediates  
 368 XBP1 mRNA splicing (Figure 4c). To determine which domain of IRE1 $\alpha$  is required for its anti-  
 369 apoptotic activity, a kinase dead mutant (K599A) and an RNase deletion mutant ( $\Delta$ RNase) were  
 370 generated (Figure 4c). H1299 cells were transfected with vector, wild type IRE1 $\alpha$ , or the two  
 371 mutants before infected with IBV or mock infected for 24 hours. As shown in Figure 4d, the  
 372 expression of transfected plasmids was determined by western blot. The protein level of wild type  
 373 IRE1 $\alpha$  was lower than the two mutants, possibly because removal of the kinase or RNase activity  
 374 reduced the basal activation and subsequent degradation of the protein, rendering it more stable.  
 375 Transfection of wild type IRE1 $\alpha$ , but not the kinase dead or RNase deletion mutants, significantly  
 376 increased the IBV-induced XBP1 splicing and up-regulation of ERdj4, compared with the vector  
 377 control (Figure 4d). As expected, over-expression of wild type IRE1 $\alpha$  partially reduced IBV-induced  
 378 PARP cleavage. However, in IBV-infected cells transfected with the IRE1 $\alpha$  mutants, PARP cleavage

379 was indeed slightly higher compared with the vector control (Figure 4d). IBV replication is not  
 380 significantly affected by transfection of the constructs, as indicated by similar IBV N level.  
 381 Therefore, the result suggested that both the kinase and RNase activities of IRE1 $\alpha$  are required for its  
 382 anti-apoptotic activity during IBV infection.

### 383 **Overexpression of XBP1s, but not XBP1u, protects cells from IBV-induced** 384 **apoptosis**

385 Because siRNA for XBP1 targets both the unspliced and the spliced form, it is difficult to  
 386 attribute the observed phenotype to individual isoforms. Therefore we have also adopted the  
 387 overexpression approach to study the function of XBP1u and XBP1s during IBV infection. Initially,  
 388 the coding sequence of XBP1u or XBP1s was inserted into the C-terminal HA-tagged pcDNA3.1  
 389 vector as used for IRE1 $\alpha$  constructs above. However, when transfected into H1299 cells, the protein  
 390 expression level was very low for both XBP1u-HA and XBP1s-HA (data not shown). To enhance the  
 391 expression level and monitor transfection efficiency, XBP1u and XBP1s were fused with N-terminal  
 392 EGFP-tag (37). As shown in Figure 5a, H1299 cells were transfected with EGFP-XBP1u, EGFP-  
 393 XBP1s or vector plasmid, before infected with IBV or mock infected. Using anti-EGFP antibody, the  
 394 expression of both fusion proteins were clearly detectable. Many degradation bands could be  
 395 detected for XBP1u, which is probably due to the proteasome degradation motif in the C-terminal  
 396 region as described previously (36). Transfection of EGFP-XBP1u or EGFP-XBP1s did not  
 397 significantly affect the replication of IBV, as determined by the similar level of IBV N protein  
 398 compared with the control (Figure 5a). It was noted that the levels of IBV+gRNA at 24 hpi in the  
 399 EGFP-XBP1u or EGFP-XBP1s transfected cells seemed to be lower than that of the control. But the  
 400 intensity ratios were actually very similar after normalized to the GAPDH bands. Detection of  
 401 similar amounts of IBV+gRNA in all transfected cells at the same timepoint was also confirmed by  
 402 real time PCR analysis. Therefore, IBV replication was not affected by the over-expression of EGFP-  
 403 XBP1u or EGFP-XBP1s. As shown in Figure 5a, transfection of EGFP-XBP1s but not EGFP-  
 404 XBP1u significantly enhanced the IBV-induced up-regulation of ERdj4, indicating that the ectopic

405 expressed XBP1s had normal function as a potent UPR transcription factor. Compared with the  
406 vector control, in cells transfected with EGFP-XBP1u, a weak level of PARP cleavage could be  
407 detected at an early time point (20 hpi), and a slightly higher PARP cleavage was also observed at 24  
408 hpi. These suggested that XBP1u might function as a weak pro-apoptotic protein during IBV  
409 infection. In contrast, in cells transfected with EGFP-XBP1s, the IBV-induced PARP cleavage was  
410 lower than in the control at 24 hpi. Although the difference is not intense, it is observed in multiple  
411 experiments and statistically significant (Figure 5b). Therefore, unlike XBP1u, the XBP1s protein  
412 demonstrated anti-apoptotic characteristic during IBV infection.

413 Both XBP1u and XBP1s contain the bZIP DNA binding domain in the N-terminal (Figure  
414 5c). In XBP1u, the presence of a proteasome degradation motif renders it highly unstable in the cells.  
415 On the other hand, due to the frameshifting resulted from IRE1 $\alpha$ -mediated splicing, XBP1s encodes  
416 a transactivation domain at the C-terminal that accounts for its ability to induce downstream UPR  
417 genes. Previous studies have established that when the proteasome degradation motif of XBP1u is  
418 deleted, the resulted protein is stabilized and served as a dominant negative inhibitor of XBP1s (38)  
419 (Figure 5c). Therefore we decided to investigate the effect of this dominant negative XBP1 (XBP1-  
420 DN) on IBV-induced apoptosis. As shown in Figure 5d, the expression of FLAG-tag XBP1-DN was  
421 clearly detected. Multiple bands were observed possibly due to post-translational modifications of  
422 the protein. Compared with the vector control, overexpression of XBP1-DN significantly enhanced  
423 IBV-induced PARP cleavage, especially at the late time point 22 hpi. The pro-apoptotic effect of  
424 XBP1-DN was also reflected by the much stronger cleavage of the IBV N protein, because  
425 coronavirus N protein has been shown to be a substrate of activated caspases (39, 40). The  
426 observation was reproducible and statistically significant (Figure 5e), suggesting that inhibition of  
427 XBP1s by over-expressing XBP1-DN markedly potentiates IBV-induced apoptosis. Taken together,  
428 the two isoforms of XBP1 display distinct properties during IBV infection: XBP1u is a weak pro-  
429 apoptotic protein, whereas XBP1s is an anti-apoptotic protein.

430 **The kinases JNK and Akt are involved in the anti-apoptotic function of IRE1 $\alpha$**

431 Since the MAP kinase JNK has been implicated in ER-stress induced apoptosis mediated by IRE1 $\alpha$   
 432 (12), we moved on to investigate the involvement of JNK in IBV-induced apoptosis in H1299 cells.  
 433 As shown in Figure 6a, a time course IBV infection experiment was performed in H1299 cells. As  
 434 previously described, cells with IRE1 $\alpha$  knocked down had an earlier onset and a significantly higher  
 435 level of PARP cleavage compared with negative control samples of the same time point.  
 436 Interestingly, we also observed significant differences in the phosphorylation level of two kinases:  
 437 the pro-apoptotic kinase JNK and the pro-survival kinase Akt. In the negative control, relatively  
 438 weak JNK phosphorylation was detected at 16 and 20 hpi. In contrast, in IRE1 $\alpha$ -knockdown cells,  
 439 JNK phosphorylation could be detected earlier (12 hpi) and to a significantly higher level compared  
 440 with the control samples of the same time point (Figure 6a). On the other hand, phosphorylation of  
 441 Akt was significantly induced at 8 hpi and sustained to 20 hpi in the negative control cells infected  
 442 with IBV. However, in IRE1 $\alpha$ -knockdown cells, significant Akt phosphorylation could be observed  
 443 only at 12 hpi and rapidly diminished at 16 hpi (Figure 6a). Therefore, the hypo-phosphorylation of  
 444 Akt and the hyper-phosphorylation of JNK may contribute to the enhanced IBV-induced apoptosis  
 445 observed in the IRE1 $\alpha$ -knockdown cells.

446 Next we perform further experiments to confirm the involvement of Akt and JNK in IBV-  
 447 induced apoptosis. As shown in Figure 6b, H1299 cells were transfected with a constitutive active  
 448 form of Akt (myr-AKT1) (27) or the vector control before infected with IBV. The expression of the  
 449 transfected Akt could be determined by the significantly higher level of total Akt protein as well as  
 450 the highly intense phosphor-Akt bands in the transfected samples. Transfection of constitutively  
 451 active Akt did not affect IBV replication, indicated from the similar level of IBV N protein. In the  
 452 vector control, IBV induced PARP cleavage at 20 and 22 hpi (Figure 6b). In contrast, PARP  
 453 cleavage is completely abolished in cells transfected with myr-AKT1. Therefore, Akt is indeed a  
 454 very strong anti-apoptotic protein during IBV infection.

455 In another experiment, H1299 cells were transfected with siRNA targeting JNK1/2 or EGFP,  
456 before infected with IBV or mock infected (Figure 6c). The knockdown of JNK was determined by  
457 the lower levels of both phosphor-JNK and total JNK in the siJNK1/2 transfected cells. JNK  
458 knockdown did not significantly affect IBV replication as indicated from the similar level of IBV N  
459 protein. In cells transfected with siJNK1/2, IBV-induced PARP cleavage was significantly reduced  
460 compared with the negative samples of the same time points (Figure 6c). Thus, it is quite likely that  
461 JNK plays a pro-apoptotic role during IBV infection.

## 462 Discussion

463 Being the primary site of protein synthesis and folding, the ER is a front line in the battle between  
464 virus and host cell. The infection of many RNA viruses is known to induce modification of the ER  
465 membrane and cause ER stress (17, 28, 37, 41). The replication and maturation of coronavirus are  
466 intimately associated with the ER, and ER stress is induced possibly through multiple mechanisms  
467 (42). First, massive synthesis of the highly glycosylated spike protein incurs significant burden to the  
468 ER (33, 43). Secondly, rearrangement of ER membrane structure for the formation of double  
469 membrane vesicles (16). Thirdly, extensive use of membrane from ER-Golgi intermediate  
470 compartment (ERGIC) for virus morphogenesis and budding. Moreover, the envelope proteins of  
471 SARS-CoV and other coronaviruses have been demonstrated to have membrane permeabilization  
472 and ion channel activities (44-46). The envelope protein of SARS-CoV has also been demonstrated  
473 to suppress the IRE1-XBP1 pathway of the UPR and inhibit virus-induced apoptotic cell death. In  
474 this study, we found that IBV infection induced ER stress and activated the IRE1 $\alpha$ -XBP1 pathway of  
475 UPR, as indicated by the up-regulation of HERPUD1, IRE1 $\alpha$ , total XBP1, XBP1s, ERdj4 and  
476 P58IPK mRNA in IBV-infected cells. IBV infection also increased the mRNA and protein levels of  
477 GRP78 and GRP94 (data not shown), two protein chaperones commonly used as markers of ER  
478 stress. Together with the recent publications on MHV and SARS-CoV, these findings demonstrate

479 that induction of ER stress and the UPR is a general host response during infection with  
480 coronaviruses (17, 33).

481 In the previous study on MHV, up to 75% XBP1 splicing was observed in MHV-infected  
482 cells, although the protein level of XBP1s was found to be only slightly increased (17). Similarly,  
483 significant XBP1 splicing was detected in IBV-infected cells at late stage of infection. Although we  
484 were not able to detect a specific band of XBP1s using western blot, a significant induction of  
485 downstream UPR genes, such as ERdj4 and P58<sup>IPK</sup>, was observed in IBV-infected cells. Moreover,  
486 when the endogenous level of IRE1 $\alpha$  or XBP1 was depleted by siRNA, the mRNA level of ERdj4  
487 and P58<sup>IPK</sup> was significantly reduced compared with the control. Also, when IRE1 $\alpha$  or XBP1s was  
488 overexpressed in the cells, the mRNA level of ERdj4 was markedly increased. These results  
489 suggested that IBV infection indeed activated the IRE1 $\alpha$ -XBP1 pathway, and the induction of UPR  
490 genes required both IRE1 $\alpha$ -mediated XBP1 splicing and the action of the XBP1s protein. On the  
491 other hand, it is important to note that coronavirus may employ various strategies to suppress UPR  
492 activation. For example, the E protein of SARS-CoV has been shown to inhibit XBP1 splicing and  
493 suppress ER stress induced by infection with SARS-CoV and respiratory syncytial virus (18).  
494 Whereas in MHV-infected cells, sustained translation shutdown via the eIF2 $\alpha$  phosphorylation has  
495 been attributed to the low level of XBP1s synthesis and the failure to induce UPR downstream genes  
496 (17). Whether similar mechanisms apply to IBV infection remain to be investigated in the future.

497 Apoptosis is one possible outcome of cells infected with coronaviruses. Programmed  
498 demolition of the infected cells can eliminate viruses before infectious progenies are formed.  
499 However, if occurred at a later stage, apoptosis can also facilitate virus spread. When neighboring  
500 cells engulf apoptotic bodies containing infectious virions, the viruses gain access to new host cells  
501 without an extracellular stage and subvert recognition by the immune system. Previous studies have  
502 demonstrated that coronaviruses induce caspase-dependent and p53-independent apoptosis in the  
503 infected cells (19, 20, 47). In this study we investigated the involvement of the IRE1 $\alpha$ -XBP1  
504 pathway of UPR in IBV-induced apoptosis. The anti-apoptotic activity of IRE1 $\alpha$  was clearly

505 demonstrated by the potentiated cleavage of PARP and caspases in IRE1 $\alpha$ -knockdown cells infected  
 506 with IBV, and the partially reduced apoptosis when cells were overexpressing wild type IRE1 $\alpha$  but  
 507 not its mutants. To our surprise, contrary to IRE1 $\alpha$ , knockdown of XBP1 seemed to inhibit IBV-  
 508 induced apoptosis. However, since siXBP1 targeted both XBP1u and XBP1s, the contribution of  
 509 individual isoforms could not be determined. Further experiments using the overexpression approach  
 510 demonstrated that whereas unspliced XBP1 was pro-apoptotic, the spliced form is anti-apoptotic.  
 511 This is further confirmed when a stabilized, dominant negative form of XBP1 was transfected, IBV-  
 512 induced apoptosis was significantly potentiated. Considering the fact that IBV induced relatively low  
 513 level of XBP1 splicing compared to DTT treatment and that only XBP1u but not XBP1s could be  
 514 detected using western blot, it is likely that the pre-dominant isoform of XBP1 in the infected cells  
 515 was the unspliced form. This may explain why a lower level of IBV-induced apoptosis was observed  
 516 when XBP1 was knocked down using siRNA. In that case, the anti-apoptotic function of IRE1 $\alpha$  may  
 517 be mediated, at least in part, via the conversion of pro-apoptotic XBP1u to anti-apoptotic XBP1s.

518 Previous studies have shown that under prolong ER stress, the MAP kinase JNK is  
 519 phosphorylated by IRE1 $\alpha$  to induce apoptosis (12). Activation of JNK could be detected in cells  
 520 infected with SARS-CoV (48), MHV-A59 (49) or IBV. If JNK phosphorylation was mediated by  
 521 IRE1 $\alpha$  in coronavirus-infected cells, a reduced level of phosphor-JNK would be detected in IRE1 $\alpha$ -  
 522 deficient cells. However, the enhanced IBV-induced apoptosis observed in IRE1 $\alpha$ -knockdown cells  
 523 was associated with a hyper-phosphorylation of JNK. In fact, knockdown of JNK partially reduced  
 524 IBV-induced PARP cleavage, suggesting that JNK was pro-apoptotic in nature. Therefore, it is likely  
 525 that during IBV infection, JNK was activated by other upstream kinases (such as MKK4/MKK7) to  
 526 promote apoptosis, which was modulated by the uncharacterized activity of IRE1 $\alpha$ .

527 On the other hand, the Akt kinase has been demonstrated to play an important role in cell  
 528 survival under ER stress (50). Previous studies on SARS-CoV demonstrated that limited activation  
 529 of Akt was observed at early stage of infection, but could not prevent virus-induced apoptosis (51).  
 530 In the current report, we found that enhanced IBV-induced apoptosis in IRE1 $\alpha$ -knockdown cells was

531 associated with hypo-phosphorylation of Akt. So far, there has been no report of direct  
 532 phosphorylation of Akt by the kinase activity of IRE1 $\alpha$ . Of note, it has been shown that inhibition of  
 533 Akt phosphorylation actually promote the activity of IRE1 $\alpha$  and IRE1 $\alpha$ -mediated JNK  
 534 phosphorylation (52). Therefore, the signaling between Akt and IRE1 $\alpha$  seems to be complicated,  
 535 which may differ in cells under various stress conditions and may involve the actions of other  
 536 regulatory factors. The signaling cross-talks between Akt and JNK may also be complicated in  
 537 nature. As a survival kinase, Akt has been known to decrease the kinase activity of ASK1, which is  
 538 the upstream MAP kinase kinase kinase of JNK (53). Moreover, Akt also interacts with JNK  
 539 interacting protein-1 (JIP1) and prevent its association with JNK to form active signaling complexes  
 540 (54). On the other hand, it has been shown that JNK can inhibit the survival signals of Akt by  
 541 phosphorylating the 14-3-3 protein (55). It is possible that the phosphorylation status and  
 542 antagonizing actions of Akt and JNK serve important function in determining the cell death/survival  
 543 at the late stage of IBV infection, and as an ER stress sensor, IRE1 $\alpha$  modulates the phosphorylation  
 544 of Akt and JNK to promote cell survival.

545 To summarize the findings in the current study, we proposed a working model in Figure 7.  
 546 IBV infection induces ER stress and the activation of IRE1 $\alpha$ . IRE1 $\alpha$  mediates splicing of XBP1 and  
 547 downstream UPR genes (such as ERdj4 and p58<sup>IPK</sup>) are up-regulated to restore ER homeostasis.  
 548 Whereas the unspliced form of XBP1 is pro-apoptotic, the spliced form is anti-apoptotic. IRE1 $\alpha$   
 549 protect cells from IBV-induced apoptosis, possibly by mediating the splicing of XBP1 and  
 550 converting it from the pro-apoptotic XBP1u to anti-apoptotic XBP1s. IRE1 $\alpha$  also modulate the  
 551 phosphorylation status of the kinase Akt and JNK during IBV infection. The activation of the pro-  
 552 survival kinase Akt seems to be promoted by IRE1 $\alpha$ , whereas the phosphorylation of the pro-  
 553 apoptotic kinase JNK seems to be negatively regulated by IRE1 $\alpha$  (Figure 7).

554 Previously, we have demonstrated that the PERK branch of UPR and the dsRNA dependent  
 555 kinase PKR are activated at the early stage of IBV infection (31). These lead to phosphorylation of  
 556 eIF2 $\alpha$  and up-regulation of the growth arrest and DNA damage inducible protein 153 (GADD153),

557 which promotes IBV-induced apoptosis by suppressing the pro-survival extracellular signal related  
 558 kinase (ERK) (31). Among the three UPR sensors, PERK is generally believed to be activated first in  
 559 response to ER stress, followed by ATF6 and IRE1 $\alpha$  (1, 56). It is interesting to consider the temporal  
 560 control of UPR activation and its implication in coronavirus infection. From the host perspective,  
 561 early activation of the PERK pathway and eIF2 $\alpha$  phosphorylation induces translation attenuation,  
 562 which serves as an effective anti-viral defense mechanism. The induction of apoptosis through the  
 563 eIF2 $\alpha$ -ATF4-GADD153 pathway may also restrict virus replication. On the other hand, activation of  
 564 the IRE1 $\alpha$  at the late stage of infection seems to promote survival of the infected cells. This may  
 565 allow more virions to be assembled and released before the infected cells succumb to apoptotic cell  
 566 death. Moreover, the cross-talks between UPR and innate immune response may also constitute an  
 567 important aspect of anti-viral response during coronavirus infection (42).

568 In conclusion, the current study demonstrates that IRE1 $\alpha$ , a major ER stress transducer,  
 569 modulate apoptosis signaling during coronavirus infection. This work reveals the anti-apoptotic  
 570 signaling of UPR in cells infected with IBV and provides new insights into the intricate signaling  
 571 networks in the IBV-induced apoptosis.

572

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720

721

722 **Figure legends**

723 **Figure 1**

724 **Activation of the IRE1 $\alpha$ -XBP1 pathway by IBV infection.**

725 (a) IBV infection causes ER stress and partially activates the IRE1 $\alpha$ -XBP1 pathway in H1299  
 726 cells can Vero cells. H1299 cells (left) or Vero cells (right) were infected with IBV (MOI~2)  
 727 or incubated with UV-IBV and harvested at indicated time points. As a positive control,  
 728 H1299 cells were treated with 2 mM DTT for 2 hours. Total RNA was extracted and  
 729 subjected to RT-PCR using primer pairs specific for the indicated genes. The PCR products  
 730 were resolved using 1% agarose gel electrophoresis, except for XBP1u/XBP1s, where 4%  
 731 agarose gel was used. The band intensities of HERPUD1, IRE1 $\alpha$ , Total XBP1, EDEM1 and  
 732 ERdj4 were determined by densitometry and normalized to the intensities of corresponding  
 733 GAPDH bands. Percentage of XBP1 splicing [XBP1s (%)] was calculated as the intensity of  
 734 XBP1s divided by the total intensities of XBP1u and XBP1s. The experiment was repeated  
 735 three times with similar results and the result of one representative experiment is shown.  
 736 Asterisks indicate significant differences between the indicated samples and the 0 hpi sample  
 737 (\*, P<0.05; \*\*, P<0.01).

738 (b) H1299 cells and Vero cells were infected with IBV or mock infected for 20 hours, or treated  
 739 with DTT as in (a). Total RNA was extracted and subjected to real time RT-PCR analysis.  
 740 Fold inductions of specific genes were calculated using GAPDH as internal references and  
 741 normalized to the mock infected samples. The experiment was repeated three times with  
 742 similar results and the result of one representative experiment is shown. Asterisks indicate  
 743 significant differences between the indicated samples and the mock-treated sample (\*, P<0.05;  
 744 \*\*, P<0.01).

745 **Figure 2**

746 **Effects of IRE1 $\alpha$ - and XBP1-knockdown on the activation of the IRE1 $\alpha$ -XBP1 pathway**

747 (a) H1299 cells were transfected with siEGFP, siIRE1 $\alpha$  or siXBP1 before treated with 2mM  
 748 DTT or mock-treated with same volume of solvent control for 2 hours. Total RNA was  
 749 extracted and subjected to real time RT-PCR analysis. Fold induction of specific genes were  
 750 calculated using GAPDH as internal references and normalized to the siEGFP transfected  
 751 mock-treated sample. The experiment was repeated three times with similar results and the  
 752 result of one representative experiment is shown. Asterisks indicate significant differences  
 753 between the indicated samples and the siEGFP transfected samples of the same treatment (\*,  
 754  $P<0.05$ ; \*\*,  $P<0.01$ ).

755 (b) H1299 cells were transfected with siRNAs before infected with IBV (MOI~2) for 20 hours.  
 756 Total RNA extraction, real time RT-PCR and data analysis were performed as in (a). The  
 757 experiment was repeated three times with similar results and the result of one representative  
 758 experiment is shown. Asterisks indicate significant differences between the indicated samples  
 759 and the siEGFP transfected sample (\*\*,  $P<0.01$ ).

### 760 **Figure 3**

#### 761 **Effects of IRE1 $\alpha$ - and XBP1-knockdown on IBV-induced apoptosis**

762 (c) Effects of IRE1 $\alpha$ - and XBP1-knockdown on IBV-induced PARP cleavage. H1299 cells in  
 763 duplicate were transfected with siIRE1 $\alpha$ , siXBP1 or siEGFP. At 48 hours post transfection,  
 764 cells were infected with IBV (MOI~2) or mock infected. One set of cells were harvested at  
 765 the indicated time points and subjected to western blot analysis using antibodies against  
 766 IRE1 $\alpha$ , XBP1, IBV N and PARP respectively.  $\beta$ -tubulin was included as loading control.  
 767 Percentage of PARP cleavage [PARP Clv. (%)] was calculated as the intensity of cleaved  
 768 PARP [PARP(CI)] divided by the total intensities of full length PARP [PARP(FL)] and  
 769 PARP(CI). In the second set of cells, total RNA was extracted and subjected to RT-PCR  
 770 using primers specific for XBP1 and GAPDH.

771 (d) Quantification of PARP cleavage in siRNA transfected cells infected with IBV. Percentage of  
 772 PARP cleavage in cells transfected with siIRE1 $\alpha$ , siXBP1 or siEGFP and infected with IBV

for 22 hours was determined as in (a). The bar chart shows results from three independent experiments and indicates standard deviations and p values.

(e) Effects of IRE1 $\alpha$ - and XBP1-knockdown on IBV-induced caspase activation. The IBV-infected, 22 hpi protein samples and the siEGFP-transfected mock infected protein sample from (a) were subjected to Western blot analysis using antibodies against IBV N, caspase 8, caspase 3 and caspase 9 respectively.  $\beta$ -actin was included as loading control. Percentage of caspase cleavage was calculated as the intensity of cleaved caspase divided by the total intensities of full length and cleaved caspase.

(f) The culture supernatants from IBV-infected samples in (a) were clarified by centrifugation and subjected to plaque assay analysis using confluent monolayer of Vero cells. Virus titers were expressed as the logarithm of plaque forming units (PFU) per ml of supernatants. The experiment was repeated three times with similar results and the result of one representative experiment is shown.

#### Figure 4

##### Overexpression of full-length IRE1 $\alpha$ protects cells from IBV-induced apoptosis

(a) H1299 cells were transfected with pcDNA3.1-IRE1 $\alpha$ -HA or pcDNA3.1. At 24 hours post transfection, cells were infected with IBV (MOI~2) or mock infected. Cells were harvested at indicated time points and subjected to western blot analysis using antibodies against HA-tag, IBV N and PARP.  $\beta$ -actin was included as loading control. Percentage of PARP cleavage was calculated as in Figure 3a.

(b) Quantification of PARP cleavage in plasmid transfected cells infected with IBV. Percentage of PARP cleavage in cells transfected with pcDNA3.1 or pcDNA3.1-IRE1 $\alpha$ -HA and infected with IBV for 24 hours was determined as in (a). The bar chart shows results from three independent experiments and indicates standard deviations and p values.

797 (c) Schematic diagrams showing the functional domains of IRE1 protein. A lysine to alanine  
798 mutation at K599 results in loss of IRE1 kinase activity. The RNase domain of IRE1 is  
799 deleted to generate the  $\Delta$ RNase mutant. TM, transmembrane domain.

800 (d) H1299 cells were transfected with pcDNA3.1-IRE1 $\alpha$ -HA, pcDNA3.1-IRE1 $\alpha$ -K599A-HA,  
801 pcDNA3.1-IRE1 $\alpha$ - $\Delta$ RNase-HA or pcDNA3.1 in duplicate. At 24 hours post transfection,  
802 cells were infected or mock infected as in (a). In one set of the cells, western blot analysis  
803 was performed as in (a). In the second set of cells, total RNA was extracted and subjected to  
804 RT-PCR using primers specific for XBP1, ERdj4 and GAPDH. The experiment was repeated  
805 three times with similar results and the result of one representative experiment is shown.  
806 Asterisks indicate significant differences between the indicated samples and the pcDNA3.1  
807 transfected 24 hpi sample (\*\*, P<0.01).

# 808 **Figure 5**

## 809 **Overexpression of XBP1s, but not XBP1u, protects cells from IBV-induced apoptosis**

810 (a) H1299 cells were transfected with pEGFP-C1, pEGFP-XBP1u or pEGFP-XBP1s in duplicate.  
811 At 24 hours post transfection, cells were infected with IBV (MOI~2) or mock infected. Cells  
812 were harvested at indicated time points and subjected to western blot analysis using  
813 antibodies against EGFP, IBV N and PARP.  $\beta$ -actin was included as loading control.  
814 Percentage of PARP cleavage was calculated as in Figure 3a. In the second set of cells, total  
815 RNA was extracted and subjected to RT-PCR using primers specific for ERdj4, IBV genomic  
816 RNA and GAPDH.

817 (b) Quantification of PARP cleavage in plasmid transfected cells infected with IBV. Percentage  
818 of PARP cleavage in cells transfected with pEGFP-C1, pEGFP-XBP1u or pEGFP-XBP1s,  
819 and infected with IBV for 24 hours was determined as in (a). The bar chart shows results  
820 from three independent experiments and indicates standard deviations and p values.

(c) Schematic diagrams showing the functional domains of XBP1u, XBP1s and XBP1-DN. All three proteins contain the bZIP DNA binding domain. The proteasome degradation motif in XBP1u is deleted to generate the dominant negative XBP1-DN.

(d) H1299 cells were transfected with pXJ40-FLAG or pXJ40-FLAG-XBP1-DN. At 24 hours post transfection, cells were infected with IBV (MOI~2) or mock infected. Cells were harvested at indicated time points and subjected to western blot analysis using antibodies against FLAG-tag, IBV N and PARP.  $\beta$ -actin was included as loading control. Percentage of PARP cleavage was calculated as in Figure 3a.

(e) Quantification of PARP cleavage in plasmid transfected cells infected with IBV. Percentage of PARP cleavage in cells transfected with pXJ40-FLAG or pXJ40-FLAG-XBP1-DN, and infected with IBV for 24 hours was determined as in (d). The bar chart shows results from three independent experiments and indicates standard deviations and p values.

# **Figure 6**

## **JNK and Akt are involved in the anti-apoptotic function of IRE1 $\alpha$**

(c) JNK hyperphosphorylation and Akt hypophosphorylation in IRE1-knockdown cells infected with IBV. H1299 cells were transfected with siIRE1 or non-target siRNA before infected with IBV and harvested at the indicated time points. Western blot analysis was performed using antibodies against IRE1 $\alpha$ , IBV N, PARP, phos-JNK, total JNK, phos-Akt and total Akt.  $\beta$ -actin was included as loading control. Percentage of PARP cleavage was calculated as in Figure 3a. Percentages of JNK or Akt phosphorylation were calculated as the band intensities of phosphorylated JNK or phosphorylated Akt divided by the band intensities of the corresponding total JNK or total Akt respectively. The experiment was repeated three times with similar results and the result of one representative experiment is shown. Asterisks indicate significant differences between the indicated samples and the siNC transfected samples of the same time point (\*,  $P<0.05$ ; \*\*,  $P<0.01$ ).

(d) Akt protects cells from IBV-induced apoptosis. H1299 cells were transfected with pcDNA3.1 or pcDNA3.1-myr-AKT1. At 24 hours post transfection, cells were infected with IBV (MOI~2) or mock infected. Cells were harvested at indicated time points and subjected to western blot analysis using antibodies against IBV N, PARP, phos-Akt and total Akt.  $\beta$ -actin was included as loading control. Percentage of PARP cleavage was calculated as in Figure 3a. The experiment was repeated three times with similar results and the result of one representative experiment is shown. Asterisks indicate significant differences between the indicated samples and the pcDNA3.1 transfected samples of the same time point (\*\*,  $P<0.01$ ).

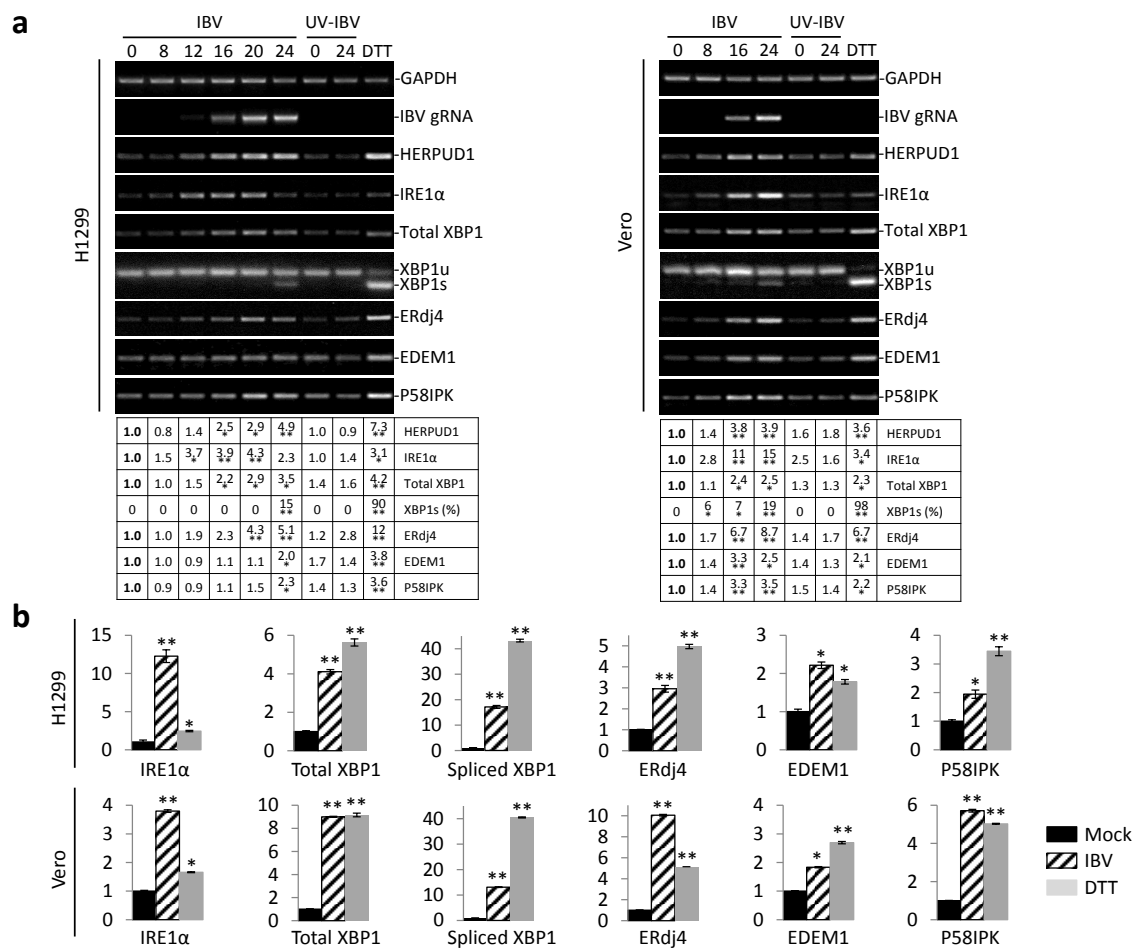
(e) JNK is required for IBV-induced apoptosis. H1299 cells were transfected with siJNK1/2 or siEGFP before infected with IBV or mock infected. Cells were harvested at indicated time points and subjected to western blot analysis using antibodies against IBV N, PARP, phos-JNK and total JNK.  $\beta$ -actin was included as loading control. Percentage of PARP cleavage was calculated as in Figure 3a. The experiment was repeated three times with similar results and the result of one representative experiment is shown. Asterisks indicate significant differences between the indicated samples and the siEGFP transfected samples of the same time point (\*,  $P<0.05$ ; \*\*,  $P<0.01$ ).

## Figure 7

### Working model

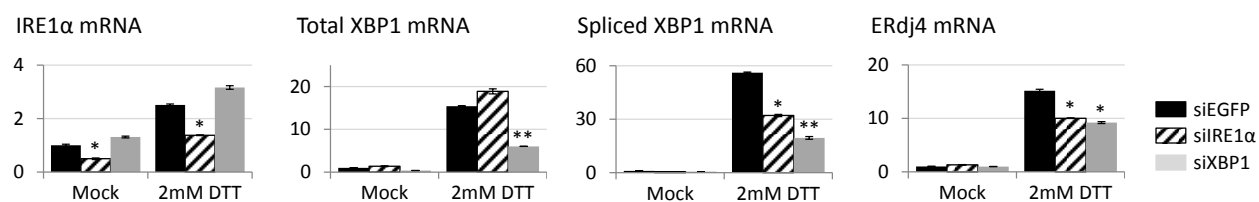
IBV infection induces ER stress and activation of IRE1 $\alpha$ -XBP1 pathway of the UPR. Downstream UPR genes such as ERdj4 and P58IPK were induced to resolve the ER stress. IRE1 protect IBV-infected cells from apoptosis by: 1) converting the pro-apoptotic XBP1u to anti-apoptotic XBP1s; 2) suppressing the pro-apoptotic kinase JNK and 3) promoting the anti-apoptotic kinase Akt.

**Fig 1**

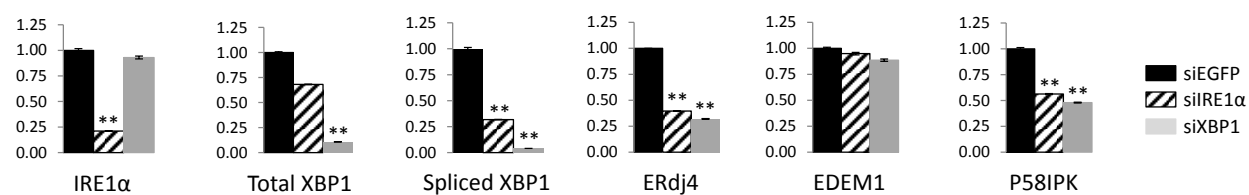


**Fig 2**

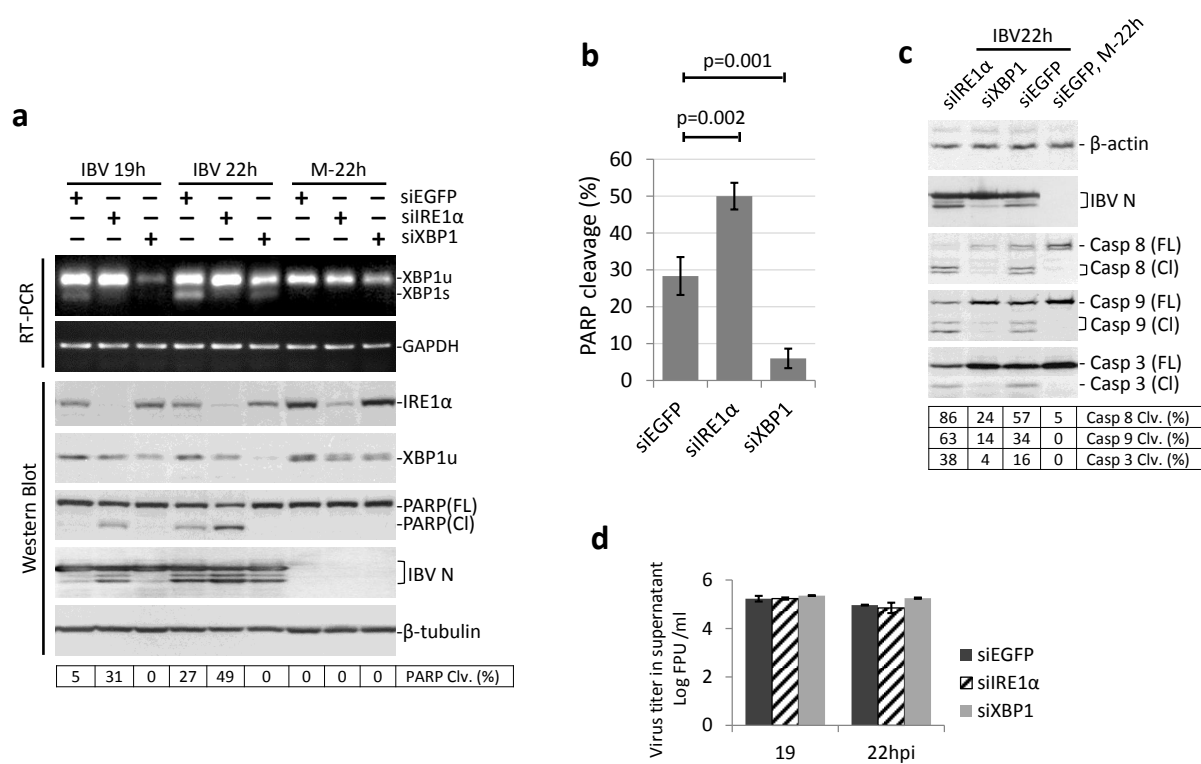
**a**



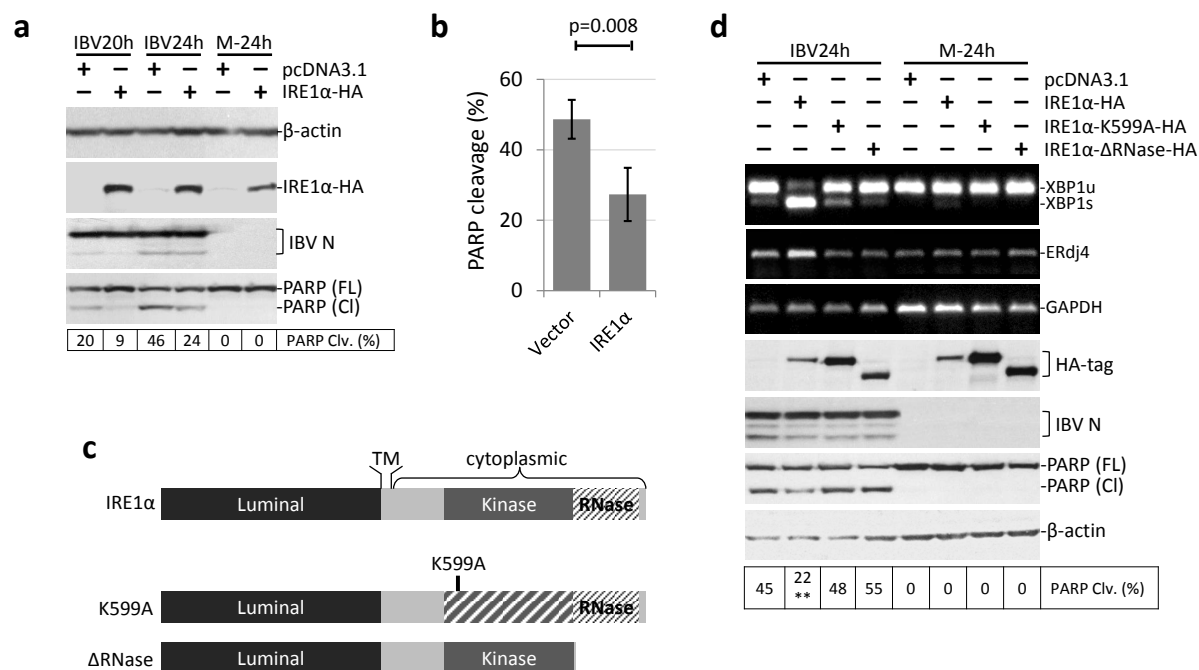
**b**



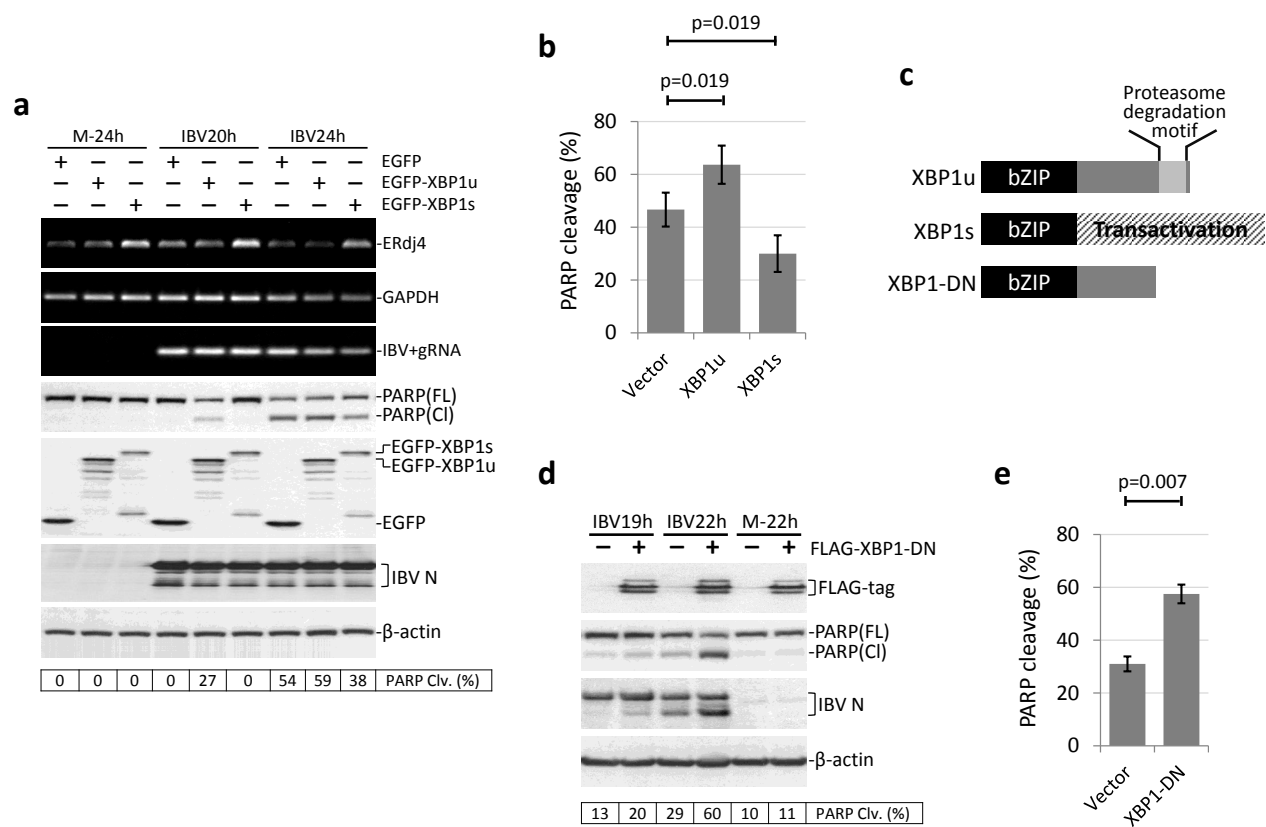
**Fig 3**



**Fig 4**

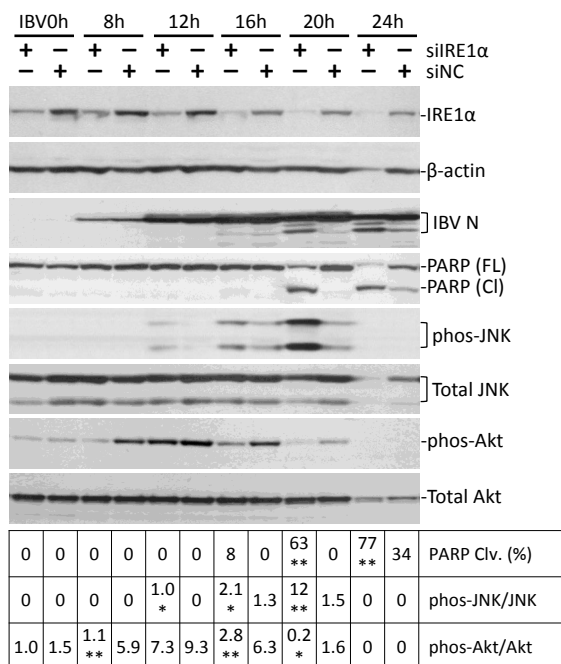


**Fig 5**

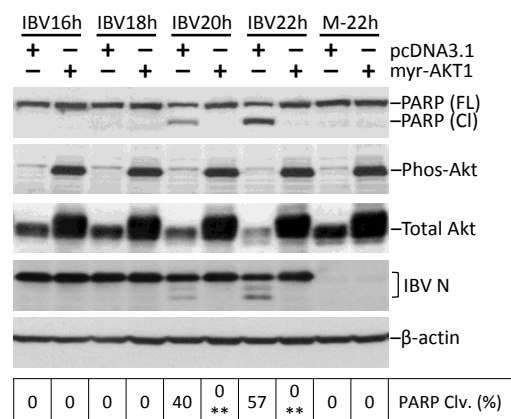


**Fig 6**

**a**



**b**



**c**

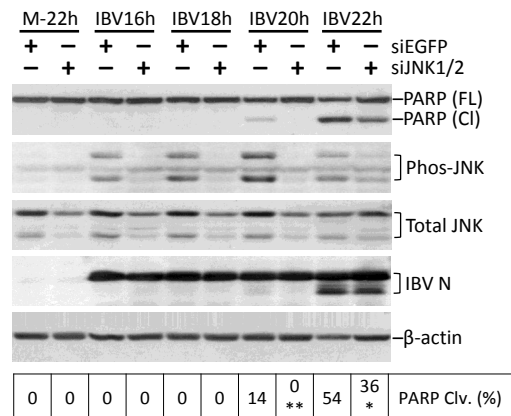


Fig 7

