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> 1 Two mutations were critical for bat-to-human transmission of MERS coronavirus 2 Yang Yang^{1#}, Chang Liu^{1#}, Lanying Du², Shibo Jiang^{2,3}, Zhengli Shi⁴, 3 Ralph S. Baric⁵, Fang Li^{1*} 4 5 6 ¹ Department of Pharmacology, University of Minnesota Medical School, Minneapolis, 7 MN 55455, USA ² Lindsley F. Kimball Research Institute, New York Blood Center, New York, NY 10065, 8 9 USA 10 ³ Key Laboratory of Medical Molecular Virology of Ministries of Education and Health, Shanghai Medical College and Institute of Medical Microbiology, Fudan University, 11 12 Shanghai 20032, China 13 ⁴ Center for Emerging Infectious Diseases, Wuhan Institute of Virology, Chinese 14 Academy of Sciences, Wuhan 430071, China 15 ⁵ Department of Epidemiology, University of North Carolina, Chapel Hill, NC 27559, 16 USA 17 18 19 #These authors contributed equally to this work. 20 21 Short title: Evolution of MERS-CoV for human infection 22 23 * Correspondence: Fang Li (lifang@umn.edu) 24 25 26 Key words: MERS-CoV, HKU4, spike protein, cross-species transmission 27 28 Word count for text: 1682 29

30 Abstract

To understand how MERS coronavirus (MERS-CoV) transmitted from bats to
humans, we compared the virus-surface spikes of MERS-CoV and a related bat
coronavirus HKU4. Although HKU4 spike cannot mediate viral entry into human cells,
two mutations enabled it to do so by allowing it to be activated by human proteases.
These mutations are present in MERS-CoV spike, explaining why MERS-CoV infects
human cells. These mutations therefore played critical roles in the bat-to-human
transmission of MERS-CoV.

38 Text

39 Since its emergence in 2012, Middle East respiratory syndrome coronavirus 40 (MERS-CoV) has infected over 1100 people with a case fatality rate of \sim 38% (1, 2). Bats 41 are considered the natural reservoir of MERS-CoV because several coronaviruses, 42 including HKU4, have been isolated from bats and are genetically related to MERS-CoV (3-5). An envelope-anchored spike protein mediates coronavirus entry into host cells. It 43 44 first binds a host receptor through its S1 subunit and then fuses membranes through its S2 45 subunit (6-8). For membrane fusion, the spike must be cleaved at the S1/S2 boundary by 46 one or more host proteases (9-13). We recently showed that HKU4 and MERS-CoV 47 spikes both recognize host receptor dipeptidyl peptidase 4 (DPP4) (14). Furthermore, 48 only MERS-CoV spike, but not HKU4 spike, mediates viral entry into human cells 49 because the former, but not the latter, can be activated by human endogenous proteases 50 (14). Here we further identified two residue differences between the two spikes that 51 account for their different capability to mediate viral entry into human cells. Our results

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52 have revealed a likely evolutionary pathway for the emergence of MERS-CoV as a

53 human pathogen.

54 By comparing the sequences of MERS-CoV and HKU4 spikes, we identified two 55 regions in MERS-CoV spike that may serve as human protease target motifs, but differ 56 from the corresponding regions in HKU4 spike (Fig.1). The first region is a motif for 57 human proprotein convertases (hPPC motif) (15, 16), but the critical Arg748 in MERS-58 CoV spike corresponds to Ser746 in HKU4 spike, which deviates from the hPPC motif. 59 The second region is a motif for human endosomal cysteine proteases (hECP motif) (17, 60 18), but the motif Ala763-Phe764-Asn765 in MERS-CoV spike corresponds to Asn762-61 Tyr763-Thr764 in HKU4 spike, which likely introduces an N-linked glycosylation site 62 and blocks the access of human endosomal cysteine proteases. These residue differences 63 in the two human protease motifs between MERS-CoV and HKU4 spikes may affect the 64 spikes' capability to mediate viral entry into human cells.

65 To evaluate the potential genetic changes required for HKU4 to infect human cells, we re-engineered HKU4 spike, aiming to build its capacity to mediate viral entry 66 67 into human cells. To this end, we introduced two single mutations, S746R and N762A, 68 into HKU4 spike. The S746R mutation was expected to restore the hPPC motif in HKU4 69 spike, whereas the N762A mutation likely disrupted the potential N-linked glycosylation 70 site in the hECP motif in HKU4 spike. To confirm that the S746R mutation restored the 71 hPPC motif, we produced retroviruses pseudotyped with HKU4 spike (hereinafter 72 referred to as HKU4 pseudoviruses) in human cells, and showed that HKU4 spike 73 containing the S746R mutation was partially cleaved during the molecular maturation

74	process, whereas wild type HKU4 spike remained intact (Fig.2A). Confirming that the
75	N762A mutation disrupted the N-linked glycosylation site in the hECP motif was
76	technically challenging because of the large size and heavy glycosylation of HKU4 spike
77	(trimeric HKU4 spike has 78 predicted N-linked glycosylate sites and a total molecular
78	weight of \sim 530 kDa). Nevertheless, we managed to identify a slight downward shift of
79	HKU4 spike with N762A mutation using Western blot analysis (Fig.2B), consistent with
80	successful removal of the N-linked glycosylation site. Currently we do not have direct
81	evidence to show that the spikes are cleaved right at the hPPC and hECP motifs by
82	human proteases. However, both of the hPPC and hECP motifs in the spikes have been
83	well documented to be the cleavage sites for human proteases (16-18). Moreover,
84	mutations in these motifs in coronavirus spikes have demonstrated dramatic effects on
85	viral entry into human cells (see below). Thus, the identified hPPC and hECP motifs are
86	likely to be cleaved by human proteases, although we cannot completely rule out the
87	possibility that alteration of these motifs may affect protease cleavages at a spatially
88	adjacent site on the spikes. In either case, our study reveals that both of these motifs play
89	critical roles in HKU4- and MERS-CoV-spike mediated entry into human cells (see
90	below).

We examined the capability of mutant HKU4 spike to mediate viral entry into
three types of human cells (Fig.3A for HEK293T cells; data not shown for Huh-7 and
MRC-5 cells), using pseudovirus entry assay as previously described (14). In the absence
of exogenous protease trypsin, HKU4 pseudoviruses bearing either the re-engineered
hPPC motif or the re-engineered hECP motif were able to enter human cells, whereas
HKU4 pseudoviruses bearing both of the re-engineered human protease motifs entered

human cells as efficiently as when activated by exogenous trypsin (Fig.3A). In contrast,
wild type HKU4 pseudoviruses failed to enter human cells. Therefore, the re-engineered
hPPC and hECP motifs enabled HKU4 spike to be activated by human endogenous
proteases, and thereby allowed HKU4 pseudoviruses to bypass the need for exogenous
proteases to enter human cells. These results reveal that HKU4 spike only needs two
single mutations at the S1/S2 boundary to gain the full capacity to mediate viral entry
into human cells.

104 To confirm the functions of the re-engineered human protease motifs in HKU4 105 spike, we used protease inhibitors to probe the human proteases that activate HKU4-106 spike-mediated viral entry into human cells. Human proprotein convertase (PPC) 107 inhibitor and endosomal cysteine protease (ECP) inhibitor both blocked human cell entry 108 of HKU4 pseudoviruses bearing the re-engineered hPPC motif and the re-engineered 109 hECP motif, respectively (Fig.3B). This result verified that the gained capability of 110 HKU4 pseudoviruses to enter human cells was due to the re-engineered human protease 111 motifs in HKU4 spike.

To investigate the functions of the two human protease motifs in MERS-CoVspike-mediated viral entry into human cells, we re-engineered MERS-CoV spike in the opposite way, aiming to reduce or eliminate its capability to mediate viral entry into human cells. To this end, we introduced a single mutation R748S and a triple mutation A763N/F764Y/N765T into MERS-CoV spike (Fig.1). The R748S mutation destroyed the hPPC motif in MERS-CoV spike (Fig.2A), whereas the triple mutation A763N/F764Y/N765T successfully added an N-linked glycosylation site to the hECP

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119	motif in MERS-CoV spike (Fig.2C). MERS-CoV pseudoviruses bearing either the
120	mutated hPPC motif or the mutated hECP motif demonstrated decreased capability to
121	enter three types of human cells (Fig.3C for HEK293T cells; data not shown for Huh-7
122	and MRC-5 cells). MERS-CoV pseudoviruses bearing both of the mutated human
123	protease motifs were unable to enter human cells. Exogenous trypsin was able to fully
124	rescue the capability of mutant MERS-CoV pseudoviruses to enter human cells.
125	Moreover, PPC inhibitor and ECP inhibitor both blocked human cell entry of wild type
126	MERS-CoV pseudoviruses, but had no effect on human cell entry of MERS-CoV
127	pseudoviruses bearing the mutant hPPC motif or the mutant hECP motif, respectively
128	(Fig.3D). Thus, the mutations in the hPPC and hECP motifs together eliminate MERS-
129	CoV-spike-mediated viral entry into human cells. These results demonstrate that the two
130	functional human protease motifs in MERS-CoV spike played a critical role in the bat-to
131	human transmission of MERS-CoV.

132 After examining HKU4- and MERS-CoV-spike mediated viral entry into human 133 cells, we investigated how these spikes mediate viral entry into bat cells. Because of the 134 low transfection efficiency of bat cells, we were unable to package pseudoviruses in bat 135 cells. Instead, we packaged HKU4 and MERS-CoV pseudoviruses in HEK293T cells and 136 subsequently performed HKU4- and MERS-CoV-spike-mediated pseudovirus entry into 137 two types of bat cells: RSKT and Tb1-Lu cells (Fig.4). Wild type HKU4 pseudoviruses 138 entered bat cells efficiently, whereas HKU4 pseudoviruses bearing the re-engineered 139 hECP motif (which removed the N-linked glycosylation site) demonstrated enhanced 140 capability to enter bat cells (Fig.4A, 4B). On the other hand, wild type MERS-CoV 141 pseudoviruses entered bat cells efficiently, whereas MERS-CoV pseudoviruses bearing

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143	reduced, but still significant, capability to enter bat cells (Fig.4C, 4D). Moreover, most of
144	HKU4 and MERS-CoV-spike-mediated pseudovirus entry into bat cells could be blocked
145	by the ECP inhibitor, also suggesting that bat endosomal cysteine proteases activate
146	coronavirus spikes bearing a glycosylated hECP motif (Fig.4). These results indicate that
147	unlike human endosomal cysteine proteases, bat endosomal cysteine proteases are
148	capable of recognizing and cleaving efficiently the hECP motif containing a
149	glycosylation site. The molecular and structural differences between human and bat
150	hECPs accounting for their functional differences will be investigated in future research.
151	Understanding the molecular mechanisms for cross-species transmissions of
152	viruses is critical for evaluating their emerging disease potentials and for preventing and
153	controlling their spread in human populations. Here we examined the different cell entry
154	activities of the spike proteins from human-infecting MERS-CoV and a closely related
155	bat coronavirus HKU4. Although MERS-CoV and HKU4 spikes share a high sequence
156	homology and recognize the same host DPP4 receptor, only MERS-CoV spike, but not
157	HKU4 spike, mediates viral entry into human cells. Our study revealed that introduction
158	of two single mutations, S746R and N762A, into HKU4 spike at the S1/S2 boundary
159	fully instilled its capability to mediate viral entry into human cells. MERS-CoV spike
160	already contained both of these mutations, explaining why MERS-CoV is capable of
161	infecting human cells. Thus these two mutations in the spike are essential for MERS-CoV
162	to transmit from bats to humans by allowing MERS-CoV spike to be activated by human
163	cellular proteases. Viral adaptation to human cellular proteases is critical for viral
164	infection of human cells because human cellular proteases, particularly endosomal

the mutant hECP motif (which added the N-linked glycosylation site) demonstrated

165	proteases, are more reliable sources than some extracellular proteases to activate viral
166	entry. Previous research also identified two mutations in SARS-CoV spike that led
167	SARS-CoV to transmit from palm civets to humans (19-22). These mutations increased
168	SARS-CoV spike's capability to bind human receptor angiotensin-converting enzyme 2.
169	Thus, different entry factors appear to have played the most critical roles in the cross-
170	species transmission of MERS-CoV and SARS-CoV: adaption to human cellular
171	proteases by MERS-CoV and adaption to human receptor by SARS-CoV. Although
172	MERS-CoV spike might also need to adapt to human DPP4 receptor upon infecting
173	human cells (14, 23), such adaptations might only have incremental effects on the
174	infectivity of MERS-CoV in human cells. In contrast, the two mutations adaptive to
175	human cellular proteases transformed MERS-CoV spike from completely lacking to fully
176	owning the capacity to mediate viral entry into human cells, and thus they likely played

177 the most critical role in the bat-to-human transmission of MERS-CoV.

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268 Figure legends

269 Figure 1. Domain structure of MERS-CoV and HKU4 spike proteins. The spikes 270 contain a receptor-binding S1 subunit, a membrane-fusion S2 subunit, a transmembrane 271 anchor (TM), and an intracellular tail (IC). S1 contains the receptor-binding domain 272 (RBD) that binds DPP4 receptor. S2 contains the fusion peptide (FP), heptad repeat 1 273 (HR1), and heptad repeat 2 (HR2), all of which are essential structural elements for the 274 membrane fusion process. The S1/S2 boundary in MERS-CoV spike (defined as the 275 region between the RBD and the fusion peptide) contains one established human protease 276 motif that is recognized by proprotein convertases (hPPC) (15, 16); it also contains one 277 established human protease motif that is recognized by endosomal cysteine proteases 278 (hECP) (17, 18). Sequence alignments of these regions in MERS-CoV and HKU4 spikes 279 (Genbank accession codes: AFS88936.1 for MERS-CoV spike; ABN10839.1 for HKU4 280 spike) are shown, with the critical residue differences labeled in red. Arrows indicate the 281 predicted cleavage sites in MERS-CoV spike by human proteases.

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283 Figure 2. Characterization of two protease motifs in MERS-CoV and HKU4 spike

284 proteins. (A) Western blot analysis of HKU4 and MERS-CoV spikes in pseudovirus

- 285 particles. Retroviruses pseudotyped with HKU4 spike (i.e., HKU4 pseudoviruses) or
- 286 MERS-CoV spike (i.e., MERS-CoV pseudoviruses) were prepared in HEK293T cells
- 287 (human embryonic kidney) as previously described (14). The incorporations of wild type
- 288 (WT) and mutant HKU4 and MERS-CoV spikes into pseudovirus particles were
- 289 measured by Western blot using antibody against their C-terminal C9 tags. Plots below
- 290 the Western blot images correspond to quantifications of the band intensities from the

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scri	291	cleaved and uncleaved spikes combined. The numbers below the plots indicate the
anu	292	relative amount of spikes incorporated into pseudovirus particles compared to wild type
Ž	293	HKU4 and MERS-CoV spikes, respectively. All quantifications were done using ImageJ
oteo	294	software (National Institutes of Health). (B) Glycosylation state of HKU4 spike at the
cep	295	hECP motifs. HEK293T cells exogenously expressing wild type (WT) and mutant HKU4
Ă	296	spikes were lysed and subjected to western blot analysis. To improve the separation of
	297	large molecular weight spikes, 3-8% NuPAGE Tris-Acetate Gels (Life Technologies)
	298	were used for gel electrophoresis. To improve the accuracy of the result, each of the
	299	mutant and wild type spikes was run in two lanes that alternated between samples. The
	300	experiment was also repeated in a separate gel. Compared with wild type HKU4 spike,
	301	the downward shift in the band of HKU4 spike bearing the mutated hECP motif (i.e.,
ology	302	mutation N762A) is consistent with the removal of glycosylation. (C) Glycosylation state
l of Vii	303	of MERS-CoV spike at the hECP motifs. Compared with MERS-CoV spike bearing the
ourna	304	re-engineered hPPC motif (i.e., mutation R748S), the upward shift in the band of MERS-
	305	CoV spike bearing both the re-engineered hPPC and hECP motifs (i.e., mutations
	306	R748S/A763N/F764Y/N765T) indicates the introduction of glycosylation.
	307	

308	Figure 3. HKU4 and MERS-CoV-spike-mediated pseudovirus entry in human cells.

309 (A) HKU4 pseudoviruses bearing no mutation, re-engineered hPPC motif (S746R), re-

310 engineered hECP motif (N762A), or both of the re-engineered motifs (S746R/N762A)

311 were prepared in HEK293T cells (human embryonic kidney), and then were used to

312 infect HEK293T cells exogenously expressing human DPP4 (GenBank accession code

313 NP 001926.2). The infections were carried out in the presence or absence of exogenous

314	trypsin. The pseudovirus entry efficiencies were characterized as luciferase activity
315	accompanying the entry, and normalized against the relative amount of spikes
316	incorporated into pseudovirus particles (Fig.2A). The pseudovirus entry mediated by
317	HKU4 spike bearing both of the re-engineered motifs in the absence of exogenous trypsin
318	was taken as 100%. (B) Pseudovirus-producing HEK293T cells were treated with
319	proprotein convertase (PPC) inhibitor (dec-RVKR-CMK) 5 hours after transfection of
320	plasmids that encode HKU4 spike containing the re-engineered hPPC motif (S746R).
321	Pseudovirus-targeting HEK293T cells were treated with endosomal cysteine protease
322	(ECP) inhibitor (E-64d) before being infected by HKU4 pseudoviruses bearing the re-
323	engineered hECP motif (N762A). Both of the inhibitors were used for HKU4
324	pseudoviruses bearing both of the mutations. (C) MERS-CoV pseudoviruses bearing no
325	mutation, mutated hPPC motif (R748S), mutated hECP motif (A763N/F764Y/N765T), or
326	both of the mutated motifs (R748S/A763N/F764Y/N765T) were used to infect HEK293T
327	cells exogenously expressing human DPP4. The pseudovirus entry mediated by wild type
328	MERS-CoV spike in the absence of exogenous trypsin was taken as 100%. (D)
329	Pseudovirus-producing HEK293T cells were treated with dec-RVKR-CMK 5 hours after
330	transfection of plasmids that encode MERS-CoV spike containing the mutated hPPC
331	motif (R748S). Pseudovirus-targeting HEK293T cells were treated with E-64d before
332	being infected by MERS-CoV pseudoviruses bearing the mutated hECP motif
333	(A763N/F764Y/N765T). Both of the inhibitors were used for MERS-CoV pseudoviruses
334	bearing both of the mutations. Error bars indicate SEM $(n = 4)$.
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336	Figure 4. HKU4 and MERS-CoV-spike-mediated pseudovirus entry into bat cells.
337	(A) HKU4 pseudoviruses bearing no mutation or re-engineered hECP motif (N762A) and
338	were prepared in HEK293T cells, and then used to infect RSKT cells endogenously
339	expressing bat DPP4 (Rhinolophus sinicus bat kidney cells (24)). The cells were pre-
340	treated with indicated concentrations of ECP inhibitor (E-64d) before being infected by
341	pseudoviruses. (B) The same HKU4 pseudoviruses were used to infect Tb1-Lu cells
342	(Tadarida brasiliensis bat lung cells) exogenously expressing bat DPP4 (GenBank
343	accession number KC249974). (C) MERS-CoV pseudoviruses bearing no mutation or the
344	mutant hECP motif (A763N/F764Y/N765T) were prepared in HEK293T cells, and then
345	used to infect RSKT cells. (D) The same MERS-CoV pseudoviruses were used to infect
346	Tb1-Lu cells. The pseudovirus entry efficiencies were characterized as luciferase activity
347	accompanying the entry, and normalized against the relative amount of spike proteins
348	incorporated into pseudovirus particles. The pseudovirus entry mediated by wild type
349	HKU4 spike (for panels A and B) or wild type MERS-CoV spike (for panels C and D) in
350	the absence of the inhibitor was taken as 100%. Error bars indicate SEM ($n = 4$).
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trypsin



F764Y/

N765T

A763N/

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No inhibitor

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