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2 **Cryo-EM structure of porcine delta coronavirus spike protein in the pre-fusion state**

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28 Running title: Structure, function, and evolution of PdCoV spike

29

30 **Abstract**

31       Coronavirus spike proteins from different genera are divergent, although they all  
32 mediate coronavirus entry into cells by binding to host receptors and fusing viral and cell  
33 membranes. Here we determined the cryo-EM structure of porcine delta coronavirus  
34 (PdCoV) spike protein at 3.3-angstrom resolution. The trimeric protein contains three  
35 receptor-binding S1 subunits that tightly pack into a crown-like structure and three  
36 membrane-fusion S2 subunits that form a stalk. Each S1 subunit contains two domains,  
37 N-terminal domain (S1-NTD) and C-terminal domain (S1-CTD). PdCoV S1-NTD has  
38 the same structural fold as alpha- and beta-coronavirus S1-NTDs as well as host  
39 galectins, and it recognizes sugar as its potential receptor. PdCoV S1-CTD has the same  
40 structural fold as alpha-coronavirus S1-CTDs, but its structure differs from that of beta-  
41 coronavirus S1-CTDs. PdCoV S1-CTD binds to an unidentified receptor on host cell  
42 surfaces. PdCoV S2 is locked in the pre-fusion conformation by structural restraint of S1  
43 from a different monomeric subunit. PdCoV spike possesses several structural features  
44 that may facilitate immune evasion by the virus, such as its compact structure, concealed  
45 receptor-binding sites, and shielded critical epitopes. Overall, this study reveals that  
46 delta-coronavirus spikes are structurally and evolutionally more closely related to alpha-  
47 coronavirus spikes than to beta-coronavirus spikes; it also has implications for the  
48 receptor recognition, membrane fusion, and immune evasion by delta-coronaviruses as  
49 well as coronaviruses in general.

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53 **Significance**

54       In this study we determined the cryo-EM structure of porcine delta coronavirus  
55 (PdCoV) spike protein at 3.3 angstrom. This is the first atomic structure of a spike protein  
56 from the delta coronavirus genus, which is divergent in amino acid sequences from the  
57 well-studied alpha- and beta-coronavirus spike proteins. In the current study, we  
58 described the overall structure of the PdCoV spike and the detailed structure of each of its  
59 structural elements. Moreover, we analyzed the functions of each of the structural  
60 elements. Based on the structures and functions of these structural elements, we discussed  
61 the evolution of PdCoV spike protein in relation to the spike proteins from other  
62 coronavirus genera. This study combines the structure, function, and evolution of  
63 coronavirus spike proteins, and provides many insights into the receptor recognition,  
64 membrane fusion, immune evasion, and evolution of PdCoV spike protein.

65

## 66 Introduction

67           Coronaviruses are large enveloped RNA viruses that can be classified into four  
68 genera:  $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $\delta$  (1). Both  $\alpha$ - and  $\beta$ -coronaviruses infect mammals,  $\gamma$ -coronaviruses  
69 infect birds, and  $\delta$ -coronaviruses infect mammals and birds (1). Representative  
70 coronaviruses include: human NL63 coronavirus (HCoV-NL63) and porcine  
71 transmissible gastroenteritis coronavirus (TGEV) from  $\alpha$  genus; mouse hepatitis  
72 coronavirus (MHV), bovine coronavirus (BCoV), SARS coronavirus (SARS-CoV) and  
73 MERS coronavirus (MERS-CoV) from  $\beta$  genus; avian infectious bronchitis virus (IBV)  
74 from  $\gamma$  genus; porcine delta coronavirus (PdCoV) from  $\delta$  genus (2). Coronaviruses from  
75 different genera demonstrate distinct serotypes, mainly due to the divergence of their  
76 envelope-anchored spike proteins (3). The spike proteins mediate viral entry into host  
77 cells by first binding to host receptors through their S1 subunit and then fusing host and  
78 viral membranes through their S2 subunit (4). Hence they are critical determinants of  
79 viral host range and tissue tropism, and also induce most of the host immune responses  
80 (5). Knowing the structure and function of the spike proteins from different genera is  
81 critical for understanding cell entry, pathogenesis, evolution, and immunogenicity of  
82 coronaviruses (6).

83           The receptor recognition pattern by coronaviruses is complicated (7). The S1  
84 subunits from  $\alpha$ - and  $\beta$ -coronavirus spikes contain two domains, the N-terminal domain  
85 (S1-NTD) and C-terminal domain (S1-CTD). Depending on the virus, either one or both  
86 of the S1 domains can function as the receptor-binding domain (RBD) by binding to host  
87 receptors. On the one hand, S1-CTDs from  $\alpha$ - and  $\beta$ -coronaviruses have different tertiary

88 structures, but they share a common structural topology, indicating a common  
89 evolutionary origin and subsequent divergent evolution of S1-CTDs (7).  $\alpha$ -coronavirus  
90 S1-CTDs recognize either angiotensin-converting enzyme 2 (ACE2) or aminopeptidase-  
91 N (APN) as their protein receptor, whereas  $\beta$ -coronavirus S1-CTDs recognize either  
92 ACE2 or dipeptidyl peptidase 4 (DPP4) (8-16). Hence S1-CTDs likely have undergone  
93 further divergent evolution to recognize different receptors. On the other hand, S1-NTDs  
94 from  $\alpha$ - and  $\beta$ -coronaviruses both have the same structural fold as human galectins, and  
95 they recognize either sugar receptors or a protein receptor CEACAM1 (17-23). Hence it  
96 has been suggested that coronavirus S1-NTDs originated from host galectins and have  
97 undergone divergent evolution to recognize different receptors (7). These studies on  
98 receptor recognition by coronaviruses have revealed complex evolutionary relationships  
99 among the spikes from different genera.

100 The membrane fusion mechanism for coronavirus spikes is believed to be similar  
101 to those used by “class 1” viral membrane-fusion proteins (24, 25). The best studied such  
102 protein is hemagglutinin (HA) from influenza virus (26, 27). Influenza HA exists in two  
103 structurally distinct conformations. Its “pre-fusion” conformation on mature virions is a  
104 trimer, already cleaved by host proteases into receptor-binding subunit HA1 and  
105 membrane fusion subunit HA2 that remain associated. During the membrane fusion  
106 process, HA1 dissociates and HA2 undergoes a dramatic conformational change to reach  
107 its “post-fusion” conformation: two heptad repeat (HR) regions from each HA2 subunit,  
108 HR-N and HR-C, refold into a six-helix bundle, and a previously buried hydrophobic  
109 fusion peptide (FP) becomes exposed and inserts into host membrane. The cryo-EM  
110 structures of  $\alpha$ - and  $\beta$ -coronavirus spikes in the pre-fusion conformation have recently

111 been determined (28-31). The overall architecture of  $\alpha$ - and  $\beta$ -coronavirus spikes is  
112 similar to, albeit more complex than, that of influenza HA. Biochemical studies have  
113 identified parts of S2 that form six-helix bundle structures and hence likely correspond to  
114 HR-N and HR-C respectively (32-34), and another part of S2 that associates with  
115 membranes and hence likely corresponds to FP (35, 36). It was demonstrated that  $\alpha$ -  
116 coronavirus spikes are heavily glycosylated, with S2 more heavily glycosylated than S1,  
117 as a viral strategy for immune evasion (29). These studies on membrane fusion by  $\alpha$ - and  
118  $\beta$ -coronavirus spikes have suggested a common molecular mechanism for membrane  
119 fusion shared by coronavirus spikes and other class 1 viral membrane fusion proteins (37,  
120 38).

121 PdCoV from the  $\delta$  genus is a highly lethal viral pathogen in piglets (39-41).  
122 Compared to the extensive studies on  $\alpha$ - and  $\beta$ -coronavirus spikes, much less is known  
123 about the structure and function of  $\delta$ -coronavirus spikes. It is not clear which of their S1  
124 domains functions as the RBD, where the structural elements of S2 are located, how  $\delta$ -  
125 coronavirus spikes are structurally and evolutionarily related to the spikes from other  
126 genera, or what strategies  $\delta$ -coronavirus spikes use to evade host immune surveillance.  
127 This study fills in these critical gaps by determining the cryo-EM structure of PdCoV  
128 spike and revealing its functions in receptor binding, viral entry and immune evasion.

## 129 **Results and Discussion**

### 130 **Overall structure of PdCoV spike**

131 To capture PdCoV spike in the pre-fusion conformation, we constructed and  
132 prepared PdCoV spike ectodomain (S-e) without the transmembrane anchor or  
133 intracellular tail (Fig. 1A). We also excluded a short pre-transmembrane region (PTR)  
134 because this region is hydrophobic and can adversely affect protein solubility (42).  
135 Instead, we replaced these regions with a GCN4 trimerization tag followed by His<sub>6</sub> tag.  
136 We expressed PdCoV S-e in insect cells, and purified it to homogeneity. We collected  
137 cryo-EM data on PdCoV S-e, and determined its structure at 3.3Å resolution (Table 1;  
138 Fig. 1B, Fig. 2).

139 The atomic structure of pre-fusion PdCoV S-e contains residues from 52 to 1017,  
140 covering all of the key structural elements except HR-C (Fig. 1A). The overall trimeric  
141 structure of PdCoV spike is similar to, but more compact than, those of  $\alpha$ - and  $\beta$ -  
142 coronavirus spikes: PdCoV spike has a length of 130Å from S1 to S2 and a width of 50Å  
143 at S2 (Fig. 1C). S2 itself spans 100Å in length (Fig. 1D). Three S1 subunits form a  
144 crown-like structure and sit on top of the trimeric S2 stalk (Fig. 1C, 1D). Three S1-CTDs  
145 are located at the top and center of the spike trimer, whereas three S1-NTDs are located  
146 on the lower and outer side of S1-CTDs (Fig. 3A, 3B, 3C, 3D). The S1-CTD mainly  
147 stacks with the S1-NTD from the same monomeric subunit, although there also exist  
148 inter-subunit interactions between S1-CTDs from different subunits and between S1-  
149 CTD and S1-NTD from different subunits. In contrast, the S1 trimer of  $\beta$ -genus MHV  
150 spike has an intertwined quaternary structure, with S1-CTD from one subunit mainly  
151 stacking with S1-NTD from another subunit (Fig. 4A) (30). Like PdCoV spike, the S1-  
152 CTD in  $\alpha$ -genus HCoV-NL63 spike also mainly stacks with the S1-NTD from the same  
153 subunit (Fig. 4B) (29). Moreover, whereas each subunit of PdCoV S1 contains only one

154 S1-NTD, each subunit of HCoV-NL63 S1 contains two, possibly resulting from gene  
155 duplication (Fig. 4B) (29). Connecting S1 and S2 are two subdomains, SD1 and SD2, and  
156 a long loop (Fig. 3A, 3B). The structure of PdCoV S2 is in the pre-fusion conformation  
157 and can be aligned well with those of  $\alpha$ - and  $\beta$ -coronavirus S2 fragments (Fig. 4A, 4B).  
158 HR-C is missing in both the current PdCoV S2 structure and previously published  $\alpha$ - and  
159  $\beta$ -coronavirus S2 structures, suggesting that this region is poorly ordered. Our structural  
160 model also includes glycans N-linked to 39 residues on the trimer (13 on each monomeric  
161 subunit). In this article, we will illustrate the structures and functions of each of the  
162 structural elements in PdCoV spike.

### 163 **Structure, function, and evolution of PdCoV S1-NTD**

164 PdCoV S1-NTD adopts a  $\beta$ -sandwich fold identical to human galectins (Fig. 5A).  
165 Its core structure consists of two anti-parallel  $\beta$ -sheet layers: one is seven-stranded and  
166 the other is six-stranded. On top of the core structure is a short  $\alpha$ -helix. Underneath the  
167 core structure is another three-stranded  $\beta$ -sheet and another  $\alpha$ -helix. The S1-NTDs from  
168  $\alpha$ - and  $\beta$ -coronaviruses have the same galectin fold (Fig. 5B, 5C). Like PdCoV S1-NTD,  
169  $\alpha$ -coronavirus S1-NTDs contain a short  $\alpha$ -helix on top of the core structure, but  $\beta$ -  
170 coronavirus S1-NTDs contain a ceiling-like structure in the same location. The galectin  
171 fold of PdCoV S1-NTD suggests that like some of the  $\alpha$ - and  $\beta$ -coronavirus S1-NTDs,  
172 PdCoV S1-NTD may recognize sugar as host receptors to facilitate initial viral  
173 attachment to cells, and hence it may function as a viral lectin.



174 We investigated the sugar-binding capability of PdCoV S1-NTD. To this end, we  
175 expressed and purified recombinant PdCoV S1-NTD containing a C-terminal His<sub>6</sub> tag,  
176 and carried out an ELISA assay to examine whether it binds sugar (Fig. 5D). More  
177 specifically, PdCoV S1-NTD was incubated with mucin, which contains a variety of  
178 sugar chains on its surface; subsequently, the mucin-bound PdCoV S1-NTD was detected  
179 using antibodies recognizing its His<sub>6</sub> tag. The result showed that PdCoV S1-NTD bound  
180 to mucin. Thus, PdCoV S1-NTD bound to the sugar moiety of mucin and can potentially  
181 recognize sugar as its receptor. The sugar-binding site in PdCoV S1-NTD is currently  
182 unknown. Because the sugar-binding site in  $\beta$ -genus BCoV S1-NTD and the galactose-  
183 binding site in human galectins are both located on top of the core structure (18, 43), the  
184 sugar-binding site in PdCoV S1-NTD may also be located in the same region (Fig. 5A,  
185 5C).

186 The above structural and functional analyses of PdCoV S1-NTD provide insight  
187 into the evolution of coronavirus S1-NTDs from different genera. Previously, based on  
188 the structures and functions of  $\beta$ -coronavirus S1-NTDs, we hypothesized that ancestral  
189 coronaviruses acquired a galectin gene from the host and incorporated it into their spike  
190 gene, which began to encode S1-NTD; we further predicted that the S1-NTDs from other  
191 genera also contain the galectin fold. Both the structure of PdCoV S1-NTD presented  
192 here and the structures of  $\alpha$ -coronavirus S1-NTDs determined by recent studies  
193 confirmed our earlier prediction and lent further support to our previous hypothesis.  
194 Hence, coronavirus S1-NTDs from different genera likely all have the same evolutionary  
195 origin, which might be the host galectin, and have conserved the galectin fold through  
196 evolution.

197 **Structure, function, and evolution of PdCoV S1-CTD**

198 PdCoV S1-CTD adopts a  $\beta$ -sandwich fold also containing two  $\beta$ -sheet layers: one  
199 is a three-stranded anti-parallel  $\beta$ -sheet and the other is a three-stranded mixed  $\beta$ -sheet  
200 (Fig. 6A). Its structure is similar to the  $\beta$ -sandwich core structure of  $\alpha$ -coronavirus S1-  
201 CTDs, but different from the core structure of  $\beta$ -coronavirus S1-CTDs that contains a  
202 single  $\beta$ -sheet layer (Fig. 6B, 6C). We previously showed that despite their different  
203 structural folds,  $\alpha$ - and  $\beta$ -coronavirus S1-CTDs share the same structural topology (i.e.,  
204 connectivity of secondary structural elements) (7). Similarly, PdCoV S1-CTD also shares  
205 the same structural topology with  $\beta$ -coronavirus S1-CTDs. Because  $\alpha$ - and  $\beta$ -  
206 coronaviruses widely use their S1-CTD as the main RBD by recognizing protein  
207 receptors, PdCoV S1-CTD may also recognize a protein receptor and function as the  
208 main RBD.

209 We examined the possibility of PdCoV S1-CTD recognizing a receptor on the  
210 surface of mammalian cells. To this end, we expressed and purified recombinant PdCoV  
211 S1-CTD containing a C-terminal Fc tag, and performed a flow cytometry assay to detect  
212 the binding of PdCoV S1-CTD-Fc to mammalian cells (Fig. 6D). Here the cell-bound  
213 PdCoV S1-CTD was detected using antibodies recognizing its Fc tag. The result showed  
214 that PdCoV S1-CTD-Fc bound to both human and pig cells with significantly higher  
215 affinity than Fc alone, suggesting that PdCoV S1-CTD binds to a receptor on the surface  
216 of both human and pig cells. Although PdCoV S1-CTD demonstrates higher affinity for  
217 human cells than for pig cells, it is unknown whether PdCoV infects human cells since  
218 receptor recognition is only one of several factors that can impact coronavirus infections.

219 We further investigated whether PdCoV S1-CTD recognizes ACE2 or APN, two known  
220 protein receptors for  $\alpha$ -coronavirus S1-CTDs. To this end, we prepared and purified  
221 recombinant PdCoV S1-CTD containing a C-terminal His<sub>6</sub> tag, and carried out a dot-blot  
222 assay to examine whether it binds ACE2 or APN (Fig. 6E). The result showed that  
223 PdCoV S1-CTD does not bind ACE2 or APN. As positive controls, TGEV S1-CTD  
224 binds APN, whereas SARS-CoV S1-CTD binds ACE2. Taken together, these results  
225 demonstrate that PdCoV S1-CTD likely functions as the main RBD and binds a yet-to-  
226 be-identified receptor on the surface of human and pig cells.

227 The receptor-binding site in PdCoV S1-CTD is currently unknown. In  $\alpha$ -  
228 coronavirus S1-CTDs, the three loops on the top of the  $\beta$ -sandwich core function as  
229 receptor-binding motifs (RBMs) by binding to their respective protein receptor, ACE2 for  
230 HCoV-NL63 and APN for TGEV. In PdCoV S1-CTD, the same three loops are  
231 structurally similar to their counterparts in  $\alpha$ -coronavirus S1-CTDs. Hence, these three  
232 loops in PdCoV S1-CTD may bind to a protein receptor and function as RBMs. In the  
233 current structure, the S1-CTD is in a closed conformation, with its putative RBMs  
234 pointing towards the S1-NTD and unavailable for receptor binding. To bind its receptor,  
235 the S1-CTD would need to switch to an open conformation by “standing up” on the spike  
236 trimer and rendering the putative RBMs available for receptor binding.

237 Based on the above structural and functional analyses, we discuss the evolution of  
238 coronavirus S1-CTDs. Because S1-CTD is located on the tip of the pre-fusion spike  
239 trimer, it is the most exposed region on the surface of virions and thereby is under heavy  
240 immune pressure to evolve. Possibly as a consequence of immune pressure, S1-CTD is

241 structurally divergent among different coronavirus genera:  $\alpha$ - and  $\delta$ -coronavirus S1-  
242 CTDs have a  $\beta$ -sandwich core, whereas  $\beta$ -coronavirus S1-CTDs have a  $\beta$ -sheet core. The  
243 RBMs are located on the very tip of S1-CTDs, and are even more structurally divergent  
244 than the core structure of S1-CTDs. The RBMs in  $\alpha$ - and  $\delta$ -coronavirus S1-CTDs are  
245 three short discontinuous loops; depending on the virus, their RBM loops can bind APN  
246 (as in TGEV), ACE2 (as in HCoV-NL63), or a yet-to-be-identified receptor (as in  
247 PdCoV). The RBM in  $\beta$ -coronavirus S1-CTDs is a long continuous subdomain;  
248 depending on the virus, their RBM can bind ACE2 (as in SARS-CoV) or DPP4 (as in  
249 MERS-CoV). Despite their structural divergence, the S1-CTDs from different genera  
250 share the same structural topology in their cores (7). These results suggest that these S1-  
251 CTDs have a common evolutionary origin and have undergone divergent evolution.  
252 Moreover, our study demonstrates that PdCoV S1-CTD is structurally and evolutionarily  
253 more closely related to  $\alpha$ -coronavirus S1-CTDs than to  $\beta$ -coronavirus S1-CTDs.

#### 254 **Structures, functions, and evolution of S1 subdomains**

255 The structures of SD1 and SD2 are similar to their counterparts in  $\alpha$ - and  $\beta$ -  
256 coronavirus spikes (Fig. 3B). SD1 adopts a small  $\beta$ -sandwich fold containing two  
257 antiparallel  $\beta$ -sheets: one is two-stranded and the other is five-stranded. SD2 also adopts  
258 a small  $\beta$ -sandwich fold containing two three-stranded  $\beta$ -sheets: one is antiparallel and  
259 the other is mixed. Interestingly, both SD1 and SD2 consist of discontinuous regions:  
260 majority of their sequences are to the C-terminus of S1-CTD, but they also each contain a  
261 region to the N-terminus of S1-CTD. Based on these structural data, SD1 and SD2 might  
262 have evolved later than S1-NTD and S1-CTD. The main function of the two S1

263 subdomains is to connect S1 and S2, but SD1 also plays a role in membrane fusion as  
264 discussed below.

## 265 **Structure, function, and evolution of S2**

266 The overall structure of the pre-fusion trimeric PdCoV S2 is similar to those of  $\alpha$ -  
267 and  $\beta$ -coronaviruses. Two central helices, CH-N and CH-C, from each subunit form a  
268 six-helix inter-subunit interface. Based on previous biochemical and structural studies  
269 using isolated regions in S2, HR-N corresponds to a region consisting of four helices and  
270 connecting loops, and HR-C corresponds to a disordered region (Fig. 7A, 7B) (30). The  
271 exact location of FP is uncertain, but it may correspond to a region consisting of two  
272 helices and a connecting loop (30). Examination of the pre-fusion and post-fusion  
273 structures of influenza HA2 suggests that during the conformational changes of PdCoV  
274 S2, HR-N from each subunit in the pre-fusion conformation would need to fold into one  
275 long central helix as part of the six-helix bundle of the post-fusion structure (Fig. 7C).  
276 Hence, like influenza HA2, part of the CH-C in PdCoV S2 should also be part of the HR-  
277 N, such that the other parts of HR-N can anchor upon CH-C and extend towards the  
278 membrane-distal direction (Fig. 7A). Like the FP in influenza HA2, the FP in PdCoV S2  
279 would also need to change its conformation, spring out towards the membrane-distal  
280 direction, and insert into the target membrane. The reason why HR-N and FP are locked  
281 in their pre-fusion conformation is likely because S1-CTD and SD1 from another subunit  
282 sit on top of them respectively, and prevent them respectively from extending towards the  
283 membrane-distal direction. The stacking between S1 and S2 from two different subunits  
284 contributes to the compact structure of PdCoV spike trimer. Two protease cleavages, one

285 at the S1/S2 boundary and the other on the N-terminus of FP, can potentially remove the  
286 structural restraint of S1 on S2, allowing the conformational changes of S2 to occur (30,  
287 37, 44). Both the structural and mechanistic similarities between coronavirus S2 and  
288 influenza HA2 suggest that the two viral membrane-fusion proteins are evolutionarily  
289 related (4). The above analysis will need to be confirmed by the atomic structure of post-  
290 fusion PdCoV S2.

### 291 **Immune evasion strategies by PdCoV spike**

292 The structure of PdCoV spike suggests immune evasion strategies by PdCoV  
293 spike. First, the PdCoV spike has a compact structure. The six domains and six  
294 subdomains of trimeric S1 are tightly packed (Fig. 3B, 3C), which reduces the surface  
295 area of the spike protein. Despite its compact structure, S1 maintains the two-RBD  
296 system, giving the virus more options in receptor selections than a single-RBD system  
297 would do. Second, in the current structure, PdCoV S1-CTD is in a closed conformation  
298 with its putative RBM loops facing S1-NTD and inaccessible to the host receptor (Fig.  
299 3D). Upon infecting host cells, S1-CTD would need to switch to an open conformation to  
300 render the putative RBM loops accessible to the host receptor. The closed-to-open  
301 conformational change of S1-CTD has been observed for  $\beta$ -genus MERS-CoV and  
302 SARS-CoV spikes (28). This mechanism can minimize the exposure of the putative RBM  
303 loops to the immune system. Third, our structural model of PdCoV spike contains  
304 glycans N-linked to 39 residues (13 on each subunit); there are also another 24 predicted,  
305 but not observed, N-linked glycosylation sites (8 on each subunit) (Fig. 8A, 8B). Most of  
306 these sites are located on the surface of S1, which is in contrast to  $\alpha$ -genus HCoV-NL63

307 spike where S2 is more heavily glycosylated than S1. Thus, while it was previously  
308 suggested that HCoV-NL63 spike evades host immune surveillance mainly by glycan  
309 shielding its S2 epitopes (29), PdCoV spike appears to evade host immune surveillance  
310 mainly by glycan shielding its S1 epitopes. For example, the putative sugar-binding site  
311 in PdCoV S1-NTD is surrounded by glycans, which reduces the accessibility of this site  
312 to the immune system (Fig. 8C). As a comparison, the sugar-binding site in  $\beta$ -genus  
313 BCoV S1-NTD is also shielded, not by glycans, but by the ceiling-like structure on top of  
314 the core structure (18). Taken together, PdCoV spike has several structural features that  
315 may facilitate viral immune evasion, such as reducing surface areas, concealing receptor-  
316 binding sites, and shielding critical S1 epitopes.

### 317 **Conclusions**

318 In this study we determined the cryo-EM structure of PdCoV spike at 3.3 Å. To  
319 our knowledge, this is the first atomic structure of a spike protein from the  $\delta$  coronavirus  
320 genus, which is divergent in amino acid sequences from the well-studied  $\alpha$ - and  $\beta$ -  
321 coronavirus spikes. Our study reveals a compact PdCoV spike trimer locked in the pre-  
322 fusion conformation. The trimeric S1 contains six domains (three copies of S1-NTD and  
323 S1-CTD each) and six subdomains (three copies of SD1 and SD2 each) that tightly pack  
324 into a crown-like structure. PdCoV S1-NTD has the same galectin fold as  $\alpha$ - and  $\beta$ -  
325 coronavirus S1-NTDs; it binds sugar and can potentially recognize sugar as its receptors.  
326 These results expand our knowledge on the structures and functions of S1-NTDs from  
327 different coronavirus genera, and provide further evidence on the common host origin of  
328 coronavirus S1-NTDs. PdCoV S1-CTD has the same  $\beta$ -sandwich fold as  $\alpha$ -coronavirus

329 S1-CTDs, and this structural fold differs from the  $\beta$ -sheet fold of  $\beta$ -coronavirus S1-  
330 CTDs. However, S1-CTDs from all coronavirus genera share the same structural  
331 topology, suggesting a common evolutionary origin of coronavirus S1-CTDs. PdCoV S1-  
332 CTD binds to an unidentified receptor on mammalian cell surfaces, and may function as  
333 the main RBD. Moreover, PdCoV S1-CTD is in a closed conformation with its putative  
334 receptor-binding sites buried; it would need to switch to an open conformation for  
335 receptor binding. The structures of both S1-NTD and S1-CTD of PdCoV are more similar  
336 to those of  $\alpha$ -coronaviruses than to those of  $\beta$ -coronaviruses, and hence PdCoV spike is  
337 evolutionarily more closely related to  $\alpha$ -coronavirus spikes than to  $\beta$ -coronavirus spikes.  
338 The trimeric PdCoV S2 forms the stalk of the spike protein. Each of the S2 subunits is  
339 locked in the pre-fusion conformation by structural constraint of S1 from a different  
340 monomeric subunit. More specifically, HR-N and FP are prevented from re-folding into  
341 their post-fusion conformation by the steric restrictions from S1-CTD and SD1,  
342 respectively, of another subunit. PdCoV spike possesses several structural features that  
343 appear to facilitate its evasion from host immune surveillance, such as its compact  
344 structure, the closed conformation of its S1-CTD, and heavy glycosylation near critical  
345 epitopes in S1. Overall, our study combines the structure and function of PdCoV spike,  
346 and provides many insights into the receptor recognition, membrane fusion, immune  
347 evasion, and evolution of PdCoV spike as well as coronavirus spikes in general.  
348



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360 Data Bank (PDB) under accession codes 6B7N.  
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541

## 542 **Materials and Methods**

### 543 *Expression, purification, and treatment of PdCoV spike ectodomain*

544 PdCoV spike ectodomain (S-e) (residues 18-1077) was cloned into pFastBac  
545 vector (Life Technologies Inc.) with a N-terminal honeybee melittin signal peptide and  
546 C-terminal GCN4 and His<sub>6</sub> tags. It was expressed in sf9 insect cells using the Bac-to-Bac  
547 system (Life Technologies Inc.) and purified as previously described (15). Briefly, the  
548 protein was harvested from cell culture medium, and purified sequentially on Ni-NTA  
549 column and Superdex200 gel filtration column (GE Healthcare). Because we showed  
550 earlier that low pH could facilitate trimer formation (45), we incubated PdCoV S-e in  
551 buffer containing 0.1 M sodium citrate (pH 5.6) at room temperature for 1 hour, and then  
552 re-purified it on Superdex200 gel filtration column in buffer containing 20 mM Tris  
553 pH7.2 and 200 mM NaCl.

### 554 *Cryo-electron microscopy*

555 For sample preparation, aliquots of PdCoV S-e (3  $\mu$ l, 0.35 mg/ml, in buffer  
556 containing 2 mM Tris pH7.2 and 20 mM NaCl) were applied to glow-discharged CF-2/1-  
557 4C C-flat grids (Protochips). The grids were then plunge-frozen in liquid ethane using a  
558 FEI MarkIII Vitrobot system (FEI Company).

559 For data collection, images were recorded using a Gatan K2 Summit direct  
560 electron detector in the direct electron counting mode (Gatan), attached to a Titan-Krios  
561 TEM (FEI Company), at Purdue University. The automated software Leginon (46) was  
562 used to collect ~2,100 movies at 22,500x magnification and at a defocus range of  
563 between 0.5 and 3  $\mu$ m. Each movie had a total accumulated exposure of 52 e/ $\text{\AA}^2$



fractionated in 55 frames of 200 ms exposure. Data collection statistics are summarized in Table 1.

For data processing, the recorded movies were corrected for beam-induced motion using MotionCor2 (47). The final image was bin-averaged to give the pixel size to be 1.3Å. The parameters of the microscope contrast transfer function were estimated for each micrograph using GCTF (48). Particles were automatically picked and extracted using RELION 2.0 on a GPU workstation with a box size of 256 pixels. Initially, particles were subjected to 2D alignment and clustering using RELION 2.0, and the best classes were selected for an additional 2D alignment. Some of the particles on 2D class averages appear to have a tail (Fig. S1A), which may correspond to HR-C. Nevertheless, the weak density of the tail region suggests that this region is poorly ordered, and hence this region was not included in subsequent map calculation and model building. All of the particles, with or without the tail, were subjected to 3D auto-refine with a mask covering the overall shape of the particles (excluding the tail region) to yield the map. The orientations of the particles used in the final reconstruction map sufficiently covered the whole sphere in the Fourier space to allow calculation of a 3D map with isotropic resolution. The map was sharpened with modulation transfer function of K2 operated at 300kV using RELION 2.0 post processing. Reported resolution was based on the gold-standard Fourier shell correlation (FSC) = 0.143 criterion, and Fourier shell correction curves were corrected for the effects of soft masking by high-resolution noise substitution (49). Data processing statistics are summarized in Table 1.

*Model building and refinement*



586 For atomic model building, the cryo-EM structure of HCoV-NL63 spike (PDB:  
587 5SZS) were divided into 7 parts (S1-NTD, SD2', SD1', S1-CTD, SD1'', SD2'' and S2),  
588 and fitted into the cryo-EM map of PdCoV S-e individually using UCSF Chimera (50)  
589 and *Coot* (51). Model rebuilding was performed manually in *Coot* based on the well-  
590 defined continuous density of the main chain, and sequence register assignment was  
591 guided mainly by the density of N-linked glycans and of bulky amino acid residues. The  
592 structural model was refined using Phenix (52) with geometry restraints and three-fold  
593 noncrystallographic symmetry constraints. Refinement and manual model correction in  
594 *Coot* were carried out iteratively until there was no more improvement in geometry  
595 parameters. The quality of the final model was analyzed with MolProbity (53) and  
596 EMRinger (54). The validation statistics of the structural model are summarized in Table  
597 1.

#### 598 *ELISA sugar-binding assay*

599 PdCoV S1-NTD containing a C-terminal His<sub>6</sub> tag was expressed and purified in  
600 the same way as PdCoV S-e, and assayed for its sugar-binding capability using an ELISA  
601 assay as previously described (18). Briefly, ELISA plates were pre-coated with bovine  
602 mucin (1 mg/ml) at 37 °C for 1 hour. After blocking with 1% BSA at 37 °C for 1 hour,  
603 PdCoV S1-NTD (1 µg/ml) was added to the plates and incubated with mucin at 37 °C for  
604 1 hour. After washes with PBS buffer, the plates were incubated with anti-His<sub>6</sub> antibody  
605 (Santa Cruz) at 37 °C for 1 hour. Then the plates were washed with PBS and incubated  
606 with HRP-conjugated goat anti-mouse IgG antibody (1:5,000) at 37 °C for 1 hour. After  
607 more washes with PBS, enzymatic reaction was carried out using ELISA substrate (Life  
608 Technologies Inc.) and stopped with 1 M H<sub>2</sub>SO<sub>4</sub>. Absorbance at 450 nm (A<sub>450</sub>) was

609 measured using Tecan Infinite M1000 PRO Microplate Reader (Tecan Group Ltd.). Five  
610 replicates were done for each sample. Porcine epidemic diarrhea virus (PEDV) S1 and  
611 SARS-CoV S1-CTD were prepared as previously described (15, 55), and PdCoV S1-  
612 CTD was prepared as described below; these three proteins were used in the assay as  
613 controls.

614  
615 *Dot-blot receptor-binding assay*

616 PdCoV S1-CTD containing a C-terminal His<sub>6</sub> tag was expressed and purified in  
617 the same way as PdCoV S-e, and assayed for its receptor-binding capability using a dot-  
618 blot receptor-binding assay as previously described (55). Briefly, 5 µM receptor (human  
619 ACE2 or porcine APN) was dotted onto nitrocellulose membranes. The membranes were  
620 dried and blocked with 1% BSA, and then incubated with 1 µM PdCoV S1-CTD at 4 °C  
621 for 2 hours. After washes with PBS buffer, the membranes were incubated with anti-His<sub>6</sub>  
622 antibody (Life Technologies Inc.) at 4 °C for 2 hours, washed with PBS, incubated with  
623 HRP-conjugated goat anti-mouse IgG antibody (1:5,000) at 4 °C for 2 hours, and washed  
624 with PBS. Finally, the receptor-bound proteins were detected using a chemiluminescence  
625 reagent (ECL plus, GE Healthcare). Recombinant human ACE2 and porcine APN were  
626 prepared as previously described (13, 15).

627 *Flow cytometry cell-binding assay*

628 PdCoV S1-CTD containing a C-terminal Fc tag was expressed, purified, and  
629 assayed for its cell-binding capability by flow cytometry as previously described (56).  
630 Briefly, human (HeLa and A549) and pig (ST and PK15) cells were incubated with  
631 PdCoV S1-CTD-Fc (40 µg/ml), or human IgG-Fc control, at room temperature for 30  
632 min, followed by incubation with fluorescein isothiocyanate (FITC)-labeled anti-human

633 IgG-Fc antibody for 30 min. The cells were then analyzed for the binding using flow  
634 cytometry.  
635

636 **Table 1 Data and model statistics**  
637

Data collection	
Microscope	Titan Krios
Voltage (keV)	300
Defocus range ( $\mu\text{m}$ )	1.0 to 4.0
Movies	2168
Frames per movie	55
Dose rate ( $\text{e}^-/\text{\AA}^2/\text{s}$ )	4.7
Total dose per movie ( $\text{e}^-/\text{\AA}^2$ )	51.7
Data processing	
Particles	87,002
Symmetry	C3
Provided B-factor ( $\text{\AA}^2$ )	-150
Map resolution ( $\text{\AA}$ )	3.3
Model Validation	
UCSF Chimera CC(57)	0.865
EMRinger Score(54)	2.77
MolProbity Score(53)	1.91
All-atom clashscore(53)	5.48
Rotamers outliers (%)	0.78
Ramachandran allowed (%)	99.59
Ramachandran outliers (%)	0.41
R.m.s deviations	
Bond length ( $\text{\AA}$ )	0.009
Bond angles ( $^\circ$ )	1.437

639

640

641 **Figure Legends:**

642 **Figure 1. Overall structure of PdCoV S-e in the pre-fusion conformation. (A)**

643 Schematic drawing of PdCoV S-e (spike ectodomain). S1: receptor-binding subunit. S2:  
644 membrane-fusion subunit. GCN4-His<sub>6</sub>: GCN4 trimerization tag followed by His<sub>6</sub> tag. S1-  
645 NTD: N-terminal domain of S1. S1-CTD: C-terminal domain of S1. CH-N and CH-C:  
646 central helices N and C. FP: fusion peptide. HR-N and HR-C: heptad repeats N and C.  
647 Residues in shaded regions (N-terminus, GCN4 tag, and His<sub>6</sub> tag) were not traced in the  
648 structure. (B) Cryo-EM maps of PdCoV S-e with atomic model fitted in. The maps have  
649 a contour of 6.6  $\sigma$ . (C) Cryo-EM structure of pre-fusion PdCoV S-e. Each of the  
650 monomeric subunits is colored differently. (D) Structure of a monomeric subunit in the  
651 pre-fusion conformation. The structural elements are colored in the same way as in panel  
652 (A).

653  
654 **Figure 2. Cryo-EM data analysis of PdCoV S-e. (A)** Representative micrographs of  
655 frozen-hydrated PdCoV S-e particles and representative 2D class averages in different  
656 orientations. Arrow indicates a poorly ordered tail region in some of the particles. (B)  
657 Gold-standard Fourier shell correlation (FSC) curves. The resolution was determined to  
658 be 3.3 Å. The 0.143 and 0.5 cut-off values are indicated by horizontal grey bars. (C) Final  
659 cryo-EM map of PdCoV S-e colored according to the local resolution.

660  
661 **Figure 3. Structure of PdCoV S1. (A)** Schematic drawing of PdCoV S1. SD1:  
662 subdomain 1. SD2: subdomain 2. SD1 consists of two discontinuous regions SD1' and  
663 SD1''. SD2 consists of two discontinuous regions SD2' and SD2''. (B) Structure of

664 monomeric S1. Domains and subdomains are colored in the same way as in panel (A).  
665 Residue ranges for each of the domains and subdomains are labeled. (C) Structure of  
666 trimeric S1, viewed from the side. Each of the monomeric subunits is colored differently.  
667 The empty space under S1 is occupied by S2, which is not shown here. (D) Structure of  
668 trimeric S1, viewed from the top. Each of the monomeric subunits is colored differently.

669  
670 **Figure 4. Structural alignments of PdCoV spike with the spikes from other**

671 **coronavirus genera.** (A) Alignment of PdCoV and  $\beta$ -genus MHV spikes. PdCoV spike  
672 is colored in magenta. MHV spike (PDB ID: 3JCL) is colored in cyan. (B) Alignment of  
673 PdCoV and  $\alpha$ -genus HCoV-NL63 spikes. PdCoV spike is colored in magenta. HCoV-  
674 NL63 spike (PDB ID: 5SZS) is colored in green. Each subunit of PdCoV S1 contains  
675 only one S1-NTD, whereas each subunit of HCoV-NL63 S1 contains two.

676  
677 **Figure 5. Structure and function of PdCoV S1-NTD.** (A) Structure of PdCoV S1-  
678 NTD. The putative sugar-binding site is indicated by the question mark. (B) Structure of  
679  $\alpha$ -genus HCoV-NL63 S1-NTD (PDB ID: 5SZS). (C) Structure of  $\beta$ -genus BCoV S1-  
680 NTD (PDB ID: 4H14). (D) ELISA sugar-binding assay for PdCoV S1-NTD. Here the  
681 ELISA plates were pre-coated with sugar-rich mucin, and then PdCoV S1-NTD was  
682 added and incubated with mucin. Mucin-bound S1-NTD was detected using antibodies  
683 recognizing its C-terminal His<sub>6</sub> tag. Porcine epidemic diarrhea virus (PEDV) S1 was used  
684 as the positive control; PdCoV S1-CTD, SARS-CoV S1-CTD, and BSA were used as  
685 negative controls. Plate without mucin was used as an additional negative control.

686 Statistic analyses were performed using two-tailed t-test. Error bars indicate S.E.M.

687 (n=5). \*\*\*  $P < 0.001$ .

688

689 **Figure 6. Structure and function of PdCoV S1-CTD.** (A) Structure of PdCoV S1-  
690 CTD. The putative RBM loops are indicated by the question mark. (B) Structure of  $\alpha$ -  
691 genus HCoV-NL63 S1-CTD (PDB ID: 3KBH). (C) Structure of  $\beta$ -genus SARS-CoV S1-  
692 CTD (PDB ID: 2AJF). (D) Flow cytometry assay for the binding of PdCoV S1-CTD to  
693 the surface of mammalian cells. Cell-bound PdCoV S1-CTD was detected using  
694 antibodies recognizing its C-terminal Fc tag. Fc or cells only were used as negative  
695 controls. Statistic analyses were performed using two-tailed t-test. Error bars indicate  
696 S.E.M. (n=4). \*\*\*  $P < 0.001$ . (E) Dot-blot receptor-binding assay for PdCoV S1-CTD.  
697 Here the receptor (either APN or ACE2) was first dotted onto a membrane. Subsequently,  
698 PdCoV S1-CTD was dotted and incubated with the receptor. Receptor-bound S1-CTD  
699 was detected using antibodies recognizing its C-terminal His<sub>6</sub> tag. TGEV and SARS-CoV  
700 S1-CTDs were used as positive controls. PBS buffer was used as a negative control.

701

702 **Figure 7. Structure and function of PdCoV S2.** (A) Structure of the pre-fusion  
703 monomeric PdCoV S2 only including CH-C, HR-N and FP. Arrow indicates the direction  
704 in which HR-N would need to extend to reach the post-fusion conformation. Question  
705 mark indicates part of CH-C that likely is part of HR-N. Residue ranges for each of the  
706 structural elements are labeled. (B) S1-CTD and SD1 from a different subunit stack with  
707 HR-N and FP, respectively, preventing them from switching to their post-fusion  
708 conformation. Scissor indicates the proteolysis sites to the N-terminus of FP. (C)

709 Structures of influenza HA2 in the pre-fusion and post-fusion conformations (PDB IDs:  
710 2YPG and 1QU1). Arrow indicates the direction in which HR-N would need to extend to  
711 reach the post-fusion conformation. Scissor indicates the proteolysis sites to the N-  
712 terminus of FP.

713

714 **Figure 8. Glycosylation sites on the surface of PdCoV spike.** (A) Distribution of N-  
715 linked glycosylation sites on the one-dimensional structure of PdCoV spike.  $\Psi$  indicates  
716 N-linked glycosylate site. Those on the top indicate glycans observed in the structure.  
717 Those at the bottom indicate predicted, but not observed, glycosylate sites. Predicted  
718 glycosylation sites in the N-terminal region and HR-C were not included because these  
719 two regions were not traced in the structure. (B) Distribution of N-linked glycosylation  
720 sites on the three-dimensional structure of PdCoV spike. Observed glycans are in dark  
721 blue. Predicted, but not observed, glycosylation sites are in light blue. (C) Distribution of  
722 N-linked glycosylation sites in monomeric S1. Question marks indicate the putative  
723 sugar-binding site in S1-NTD and putative RBMs in S1-CTD, respectively.

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