

1 **Permissivity of DPP4 Orthologs to MERS-Coronavirus is Governed by Glycosylation and**
2 **Other Complex Determinants**

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31 **ABSTRACT**

32 Middle East respiratory syndrome coronavirus (MERS-CoV) utilizes dipeptidyl peptidase 4
33 (DPP4) as an entry receptor. While bat, camel, and human DPP4 support MERS-CoV infection,
34 several DPP4 orthologs, including mouse, ferret, hamster, and guinea pig, do not. Previous work
35 revealed that glycosylation of mouse DPP4 plays a role in blocking MERS-CoV infection. Here,
36 we test whether glycosylation also acts as a determinant of permissivity for ferret, hamster, and
37 guinea pig DPP4. We find that while glycosylation plays an important role in these orthologs,
38 additional sequence and structural determinants impact their ability to act as functional receptors
39 for MERS-CoV. These results provide insight into DPP4 species-specific differences impacting
40 MERS-CoV host range and better inform our understanding of virus-receptor interactions
41 associated with disease emergence and host susceptibility.

42

43 **IMPORTANCE**

44 MERS-CoV is a recently emerged zoonotic virus that is still circulating in the human population
45 with a ~35% mortality rate. With no available vaccines or therapeutics, the study of MERS-CoV
46 pathogenesis is crucial its control and prevention. However, *in vivo* studies are limited because
47 MERS-CoV cannot infect wildtype mice due to incompatibilities between the virus spike and the
48 mouse host cell receptor, mouse DPP4 (mDPP4). Specifically, mDPP4 has a nonconserved
49 glycosylation site that acts as a barrier to MERS-CoV infection. Thus, one mouse model strategy
50 has been to modify the mouse genome to remove this glycosylation site. Here, we investigate
51 whether glycosylation acts as a barrier to infection for other nonpermissive small animal species,
52 namely ferret, guinea pig, and hamster. Understanding the virus-receptor interactions for these

53 DPP4 orthologs will help in the development of additional animal models while also revealing
54 species-specific differences impacting MERS-CoV host range.

55

56 INTRODUCTION

57 Coronaviruses are a diverse family of viruses that infect a wide range of hosts, including
58 both mammalian and avian species. Phylogenetic studies suggest that over the last 800 years,
59 several zoonotic coronaviruses have expanded their host range into humans, resulting in four
60 antigenically distinct strains that are still circulating in the human population (1-2). Whereas
61 most human coronaviruses cause only mild symptoms in healthy adults, two recent emergence
62 events have resulted in severe disease in humans. Severe acute respiratory syndrome coronavirus
63 (SARS-CoV) emerged from its zoonotic reservoir in 2003 and infected over 8,000 people with a
64 9% mortality rate before being controlled by public health measures (3). Although SARS-CoV
65 is no longer circulating in the human population, several SARS-like coronaviruses persist in bats,
66 can use the human receptor for entry, and are poised for emergence (4-5). In 2012, Middle East
67 respiratory syndrome coronavirus (MERS-CoV) emerged from its zoonotic reservoir and
68 continues to cause human infection. As of July 2017, MERS-CoV has infected 2,037 people with
69 a ~35% mortality rate (6). The exact origins of MERS-CoV remain unclear. However, most data
70 suggest that the virus originated in bats and spread into human populations using camels as an
71 intermediate host species (7-8). Understanding how MERS-CoV and other coronaviruses evolve
72 and spread will allow us to combat MERS-CoV infection while also developing approaches for
73 dealing with future pandemic coronavirus strains.

74 One of the key determinants of viral host range is the interaction between the virus spike
75 protein and the host cell receptor. For MERS-CoV, the host cell receptor is dipeptidyl peptidase

76 IV (DPP4) (9), a ubiquitously expressed cell surface protein that functions in immune
77 homeostasis. Interestingly, whereas MERS-CoV can utilize the bat, camel, and human DPP4
78 molecules for entry (10-12), it is unable to infect cells using the DPP4 molecules from traditional
79 small animal models, including mice, ferrets, guinea pigs, and hamsters (13-17). The inability of
80 MERS-CoV to infect these species *in vivo* and *in vitro* is primarily due to spike-receptor
81 incompatibilities and not due to other species-specific host cell factors (13, 18-19). Because
82 MERS-CoV is unable to infect traditional small animal models, our ability to study MERS-CoV
83 pathogenesis is restricted, as is the ability to evaluate drugs and vaccine efficacy. To overcome
84 this obstacle, several mouse models have recently been developed in order to study MERS-CoV
85 pathogenesis *in vivo*. These include an adeno-associated virus delivery of human DPP4 (hDPP4)
86 (18), overexpression or knock-in of hDPP4 (20-23), and the use of gene editing to generate mice
87 carrying a chimeric mouse DPP4 (mDPP4) gene (24). These mouse models greatly improve our
88 ability to study MERS-CoV infection, motivating us to investigate the potential for alternate
89 species to act as models for MERS-CoV infection. Importantly, the development of vaccines and
90 therapeutics relies on positive results from more than one animal species. For MERS-CoV, the
91 disparate findings reported for nonhuman primate systems, including the rhesus macaque and
92 common marmoset (25-28), emphasize the need for additional animal models.

93 In our previous studies, we found that receptor incompatibilities between MERS-CoV
94 and mDPP4 can be alleviated with just two amino acid substitutions (13). Introducing the
95 mutations A288L and T330R (residues numbered relative to mDPP4) allows mDPP4 to act as a
96 functional receptor for MERS-CoV infection. The A288L mutation helps stabilize a hydrophobic
97 core in the MERS-CoV receptor binding domain (RBD) shown to be important for proper
98 binding to DPP4 (29). Conversely, the T330R mutation knocks out a nonconserved glycosylation

99 site present in mDPP4. This glycosylation site is a substantial barrier to MERS-CoV infection,
100 with mDPP4 only acting as a functional receptor when the glycan is absent (19). Taken together,
101 these two mutations informed the development of the aforementioned chimeric mDPP4 mouse
102 model (24) and improve our understanding of the biochemical mechanisms that govern the
103 interactions between DPP4 and the MERS-CoV RBD.

104 Here we investigate whether glycosylation acts as a broader determinant of permissivity
105 using alternate small animal models including the ferret, hamster, and guinea pig. Overall, we
106 find that while glycosylation of these DPP4 orthologs plays an important role in blocking
107 MERS-CoV infection, additional species-specific determinants contribute to the inability of each
108 of these receptors to support MERS-CoV infection. Further investigation is required to identify
109 these determinants and establish whether they lie at the level of the sequence or structure.
110 Improving our understanding of the interactions between DPP4 and the MERS-CoV RBD can
111 help inform the development of alternate small animal models as well as help reveal how
112 coronaviruses are able to emerge into novel species.

113

114 **RESULTS**

115 Susceptibility to MERS-CoV varies among a number of host species, making detailed
116 analyses of orthologous DPP4 receptors essential for elucidating fundamental mechanisms that
117 govern coronavirus species specificity. DPP4 orthologs are classified as permissive (human, bat,
118 and camel) or non-permissive (mouse, ferret, hamster, and guinea pig) (Figure 1A). Based on
119 mean fluorescent cell count, hDPP4, bDPP4, and cDPP4 all support higher levels of infection
120 compared to nonpermissive orthologs ($p < 0.05$, Student's t-test, Figure 1B). Orthologs
121 categorized as nonpermissive have levels of infection that are not significantly different from

122 when no DPP4 is present (Figure 1B). Our previous work found that mDPP4 could support
123 MERS-CoV infection with just two amino acid substitutions (13) and that knocking out a
124 glycosylation site present on blade IV of mDPP4 was crucial for this result (19). Here, we
125 investigate alternate nonpermissive DPP4 orthologs to determine whether they can act as
126 backbones to support MERS-CoV infection and if so, what determinants are responsible for
127 blocking infection in the wildtype molecules.

128 To assess the potential for fDPP4, haDPP4, and gpDPP4 to act as functional receptors for
129 MERS-CoV, we compared the overall structures of each molecule. As the crystal structures for
130 these orthologs have not yet been solved, we generated homology models using I-TASSER (30).
131 Each structure is predicted to have a highly similar backbone topology to hDPP4 (Figure 2A).
132 The root mean square deviation (RMSD) scores obtained for mDPP4, fDPP4, haDPP4 and
133 gpDPP4 aligned to hDPP4 (PDB code 4L72) are 0.644, 0.616, 0.378, and 0.604, respectively,
134 with a smaller number indicating greater structural homology. This can be compared to amino
135 acid sequence identity values of 85%, 88%, 85%, and 87%, respectively. The high sequence and
136 predicted structural similarities between hDPP4 and these orthologs suggest that they can likely
137 act as backbones to support MERS-CoV infection, consistent with previous DPP4 ortholog work
138 (15, 17).

139 To gain an intuition on specific differences present between permissive and
140 nonpermissive DPP4 orthologs, we can assess their relatedness through phylogenetics.
141 Unfortunately, analysis of the DPP4 gene tree does not reveal a pattern of permissivity on a
142 global sequence scale (i.e., permissive and nonpermissive species do not form distinct
143 monophyletic groups) (31-32). However, it is possible that a signature may exist at a local scale.
144 Our previous work in mDPP4 revealed that glycosylation on blade IV of the DPP4 molecule can

145 act as a barrier to MERS-CoV infection (19). Thus, we investigated whether glycosylation
146 (designated by the motifs NXS or NXT) acts as a broader determinant of DPP4 ortholog
147 permissivity. A total of eight N-linked glycans are known to be present in the extracellular
148 domain of hDPP4 (29). Without solved crystal structures for DPP4 orthologs, it is unknown how
149 many glycans are truly present on the surface of these molecules. However, predictive software
150 (33) estimates 7–11 putative glycosylation sites for each of the DPP4 orthologs analyzed in this
151 study (Table 1). Aligning the sequences of these orthologs species revealed the presence of
152 putative glycosylation sites on blade IV of fDPP4, haDPP4, and gpDPP4 in a region that is
153 crucial for interactions with the MERS-CoV RBD (Figure 2B, Table 1). Interestingly, haDPP4
154 encodes a glycosylation site at the residues aligning to the site identified in our previous mDPP4
155 studies. In contrast, fDPP4 encodes a glycosylation site slightly upstream whereas gpDPP4
156 encodes a glycosylation site slightly downstream from the mDPP4 site (Figure 2B). All three
157 glycosylation sites lie on blade IV, within a region of DPP4 that interacts with the MERS-CoV
158 RBD (Figure 2C). Of note, the downstream gpDPP4 glycosylation site is also present within the
159 permissive bDPP4 receptor used in this study (from *Pipistrellus pipistrellus*), causing us to
160 hypothesize that host restriction might be mediated by differences in the sequence or structure of
161 gpDPP4 that are independent of this glycosylation site.

162 To investigate whether the putative glycosylation sites identified in fDPP4, haDPP4, and
163 gpDPP4 play a role in hindering MERS-CoV infection, we assessed receptor permissivity for
164 glycosylation knockout mutants. Each DPP4 ortholog knockout includes a mutation that changes
165 the N of the glycosylation NXT (or NXS) motif to an alanine, designated by “-gly” (specific
166 mutations are fDPP4 N331A, haDPP4 N332A, and gpDPP4 N548A with residue numbering
167 relative to its own sequence). Results show that removing glycosylation from these three DPP4

168 orthologs, confirmed by a ~2.5 kDa downward shift in protein mobility via Western blot analysis
169 (Figure 3B), did not result in an increase in infection (Figure 3A). In fact, levels of infection
170 supported by all three glycosylation knockout molecules were not significantly different from
171 their respective wildtype DPP4 molecules ($p < 0.05$, Student's t-test, Figure 3C).
172 Immunofluorescence assay (IFA) and flow cytometry confirmed that the DPP4 variants were
173 expressed at the surface of the cell (Figure 4), ruling out the possibility of nonpermissivity
174 resulting from a lack of proper expression of the DPP4 ortholog glycosylation knockouts.

175 Although removing the blade IV glycosylation site on its own is not enough to confer
176 permissivity to fDPP4, haDPP4, and gpDPP4 (Figure 3A), we have previously shown in mDPP4
177 that a second determinant acts in conjunction with the blade IV glycosylation site to impact the
178 interactions between DPP4 and the MERS-CoV RBD (13, 19). Specifically, introducing select
179 human amino acids into the mDPP4 sequence on blade V (A288L) acts to support MERS-CoV
180 infection when combined with the blade IV glycosylation knockout (N328A). In the case of
181 haDPP4, the L288 amino acid identity is conserved between the hamster and human sequences,
182 suggesting that different determinants are responsible for blocking MERS-CoV infection
183 between haDPP4 and mDPP4. This is consistent with prior studies which identified several
184 amino acid differences between hDPP4 and haDPP4 in blade V that were required to support
185 MERS-CoV infection (17). Therefore, we asked whether specific changes in blade V of haDPP4
186 could allow it to act as a functional MERS-CoV receptor when combined with the blade IV
187 glycosylation knockout mutation. We found that three mutations on blade V (E289A, V291M,
188 and T293I, residue numbering relative to haDPP4) conferred MERS-CoV receptor function to
189 haDPP4 when introduced in conjunction with the blade IV glycosylation knockout mutation
190 (Figure 5A). Two of the identified mutations overlap with previous work while a third site

191 knocks out the same blade IV glycosylation site but by mutating a different residue of the
192 glycosylation site motif (Table 2). Testing combinations of these mutations in sets that included
193 less than the four identified here, including testing haDPP4 E289A, V291M, and T293I (denoted
194 haDPP4 (289)) in a glycosylation positive background, did not result in an increase in infection
195 (data not shown). These results demonstrate that the presence of the blade IV glycosylation site
196 plays an important role in regulating the MERS-CoV receptor function of haDPP4. However,
197 species-specific differences in blade V of haDPP4, which differ from those found in mDPP4, are
198 also responsible for haDPP4's inability to act as a functional receptor for MERS-CoV infection.

199 Given our findings with haDPP4, we then went on to assess whether the same principles
200 applied to fDPP4. Specifically, we tested whether additional changes in blade V would act in
201 concert with the blade IV glycosylation knockout mutation to enhance the MERS-CoV receptor
202 function. Previous studies found that swapping fDPP4 amino acids 246 to 503 with hDPP4
203 amino acids 247 to 504 allowed fDPP4 to support MERS-CoV infection, but no single mutations
204 were identified that could recapitulate the susceptible phenotype (15). Because the mDPP4 and
205 haDPP4 data suggest that changes on *both* blades IV and V of DPP4 are likely required to confer
206 infection, we mutated residues on blades V and tried them in combination with the blade IV
207 glycosylation knockout mutation (N331A, residue numbering relative to fDPP4). We found that
208 no set of mutations on blade V conferred permissiveness to MERS-CoV when made only with
209 the blade IV glycosylation knockout mutant (data not shown). Given this results, we expanded
210 our search and made additional mutations on blade IV of fDPP4. By identifying residues not
211 present in permissive DPP4 orthologs, we generated a chimeric fDPP4 that changed 10 residues
212 on blade IV, including the glycosylation knockout mutation (N331A), and 9 residues on blade V
213 to the equivalent human amino acid identities, indicated by their starting residues of 330 and

214 278, respectively, numbering relative to the fDPP4 sequence (Figure 5B). Results show that the
215 combination of these 19 amino acid changes on blades IV and V showed a significant increase in
216 infection ($p < 0.05$, Student's t-test, Figures 5B and 5D). Western blot analysis confirmed high
217 expression of each DPP4 variant. The larger downward shift seen for the fDPP4 (278) receptor is
218 likely due to the large number of amino acid changes made on blade V (Figure 5C).
219 Combinations of individual mutations from these blocks did not result in an increase in infection
220 (data not shown). These data reveal that unlike mDPP4 and haDPP4, additional determinants in
221 blade IV contribute to the ability of fDPP4 to act as a functional MERS-CoV receptor.

222 In addition to haDPP4 and fDPP4, we also investigated the determinants of gpDPP4.
223 Whereas haDPP4 and fDPP4 do not share their glycosylation sites with permissive molecules,
224 gpDPP4 shares its downstream glycosylation site with bDPP4 (Figure 2B). Because of this, we
225 knocked out the blade IV glycosylation site in bDPP4 to determine 1) whether it is truly
226 glycosylated and 2) its impact on the permissivity of bDPP4. Results show that removing
227 glycosylation from bDPP4 caused no statistically significant change in its ability to support
228 MERS-CoV infection (Figures 6A and 6D). Western blot analysis confirmed that the bDPP4
229 glycosylation motif is a true glycosylation site when expressed in human cells, as evidenced by a
230 downward shift in the glycosylation knockout protein band (Figure 6B). Additionally, flow
231 cytometry and IFA confirmed surface expression of each variant tested in this assay (Figures 4B-
232 C, 6C). Low apparent expression of bDPP4 is likely due to inefficient binding of the α -hDPP4
233 antibody and bDPP4, an observation that is supported by previous work (35). Unfortunately, the
234 gpDPP4 plasmid was not stable, and the gene was repeatedly lost during site-directed
235 mutagenesis. This instability prevented further investigation of the determinants responsible for
236 gpDPP4's inability to support MERS-CoV infection. Therefore, future studies are needed to

237 determine whether changes on both blades IV and V or just blade IV of gpDPP4 can confer
238 permissivity when combined with the blade IV glycosylation knockout mutation, as was shown
239 with fDPP4 and haDPP4, respectively.

240

241 **DISCUSSION**

242 The interactions between the virus spike and host cell receptor are crucial for mediating
243 infection and for acting as a barrier to nonpermissive species. However, viruses frequently
244 overcome species barriers to expand their host range. Glycosylation plays an important role in
245 mediating permissivity for many viruses, both in the context of the virus spike protein and of the
246 host cell receptor. For example, glycosylation of the H1N1 influenza virus hemagglutinin protein
247 is highly conserved and has been shown to be crucial for host cell receptor binding specificity
248 (36-37). Conversely, glycosylation of the parvovirus host cell receptor (transferrin receptor type-
249 1) in canines was found to confer resistance to infection by previously circulating feline
250 parvovirus strains (38). In fact, dogs did not become susceptible to parvovirus until a lineage
251 (now canine parvovirus) was able to overcome this glycosylation through specific mutations in
252 the capsid protein (38-40).

253 Glycosylation has previously been identified as a key determinant of coronavirus host
254 range. For example, some lineages of group 1 coronaviruses use aminopeptidase N (APN) as
255 their host cell receptor and APN orthologs have different glycosylation profiles that influence the
256 species-specificity of coronavirus infection. Porcine and feline APN orthologs are glycosylated
257 and can support infection by porcine and feline coronaviruses, respectively (41). However,
258 adding a glycosylation site into human APN near residue 290 can abrogate its ability to support
259 human coronavirus 229E (HCoV-229E) infection (41). Similarly, removing the aligning

260 glycosylation site in mouse APN can allow it to act as a functional receptor for HCoV-229E
261 (42). As another example, SARS-CoV utilizes angiotensin-converting enzyme 2 (ACE2) as its
262 functional receptor. Whereas rat ACE2 is not permissive to SARS-CoV, introduction of a
263 glycosylation site combined with a point mutation allows rat ACE2 to support SARS-CoV
264 infection (43). Taken together, these results highlight glycosylation as a key determinant of
265 receptor species-specificity for numerous coronaviruses.

266 Here we investigate the extent to which glycosylation of DPP4 can act to mediate the
267 host range of MERS-CoV. Our previous work revealed the importance of glycosylation in
268 blocking MERS-CoV infection in the context of mDPP4 (19). Here, we find that glycosylation is
269 not the only determinant that mediates MERS-CoV infection in the nonpermissive orthologs
270 fDPP4, haDPP4, and gpDPP4. This is particularly surprising in the case of haDPP4; this protein
271 not only has a glycosylation site in the same location as mDPP4 (Figure 2B), but has the same
272 amino acid identity as hDPP4 at the secondary blade V residue (288) that was identified to be
273 important for mDPP4. Instead, we found that three amino acid substitutions in blade V of
274 haDPP4 affect the molecule's ability to mediate MERS-CoV infection when coupled with the
275 blade IV glycosylation knockout mutation (Figure 5A). For fDPP4, we found that extensive
276 changes on both blades IV and V were required to support MERS-CoV infection (Figure 5B),
277 indicating that there are likely species-specific determinants that are not shared with mDPP4 and
278 haDPP4. Finally, the blade IV glycosylation site in gpDPP4 is conserved with the permissive
279 bDPP4 ortholog (Figure 2B). We found that removing this glycosylation site in bDPP4 did not
280 affect its ability to support MERS-CoV infection (Figure 6A). This suggests that the impact of
281 the bDPP4 blade IV glycosylation site on receptor permissivity may be species-specific and
282 further research should work to elucidate additional changes that allow gpDPP4 to act as a

283 functional receptor for MERS-CoV. These changes can then be made independently and in
284 combination with the blade IV glycosylation site to determine its impact within the gpDPP4
285 backbone. Overall, we find that glycosylation in DPP4 orthologs is a substantial barrier to
286 MERS-CoV infection, particularly when combined with species-specific changes in blades V
287 and/or IV. The biochemical mechanism of these additional determinants can be investigated in
288 future studies.

289 Comparing the data we have so far on the residues that are important for mediating
290 permissivity in hDPP4, mDPP4, haDPP4, and fDPP4, it is difficult to discern an obvious pattern.
291 The most evident trend is that at least one change is required on *both* blades IV and V of DPP4
292 (Table 2). This indicates that there are two key points of interaction between DPP4 and the
293 MERS-CoV RBD which is consistent with previous structural work examining the binding
294 interface of MERS-CoV RBD and hDPP4 (29, 34, 44). We show that these two points of
295 interaction are also important for allowing the virus to utilize a new species receptor. Further
296 understanding of the host range expansion of MERS-CoV will come with identifying which
297 mutations can confer permissivity to DPP4 receptors of currently nonpermissive species.
298 Additionally, solving the crystal structures of nonpermissive DPP4 orthologs will reveal the
299 specific interactions between these molecules and MERS-CoV and help to elucidate what
300 prevents MERS-CoV from successfully utilizing these molecules as functional receptors. The
301 inability to identify a small number of changes that confer permissivity to DPP4 orthologs
302 suggests that extensive remodeling of the MERS-CoV RBD might be required for the virus to
303 infect these nonpermissive species. Access to the crystal structures of these DPP4 orthologs
304 would enable us to model changes in the MERS-CoV RBD that would allow the virus to
305 successfully infect nonpermissive species, thereby enhancing our ability to study MERS-CoV

306 pathogenesis and provide robust small animal models for evaluating vaccines and therapeutics.
307 As of now, our results indicate that the generation of a transgenic small animal model in these
308 alternate species would require extensive genomic editing due to the number of mutations
309 needed for each DPP4 to support MERS-CoV infection.

310 The importance of glycosylation in blocking MERS-CoV infection may vary between
311 species. To gain a better intuition about the extent of glycosylation among DPP4 orthologs, we
312 constructed a phylogenetic tree of a subset of full-length DPP4 protein sequences (Figure 7). In
313 the tree, shaded colors indicate the general organism group that each species belongs to - blue:
314 reptiles and amphibians; green: avian species; orange: other mammals; red: Chiroptera (bats);
315 purple: ungulates; gray: rodents; pink: primates (Figure 7). The DPP4 gene tree is slightly
316 discordant with the species tree, notably with the horse and African savanna elephant DPP4
317 sequences not clustering with other ungulate (purple) orthologs. Plotted adjacent to the
318 phylogenetic tree are glycosylation sites that are either upstream (column 1), at the same site
319 (column 2), or downstream (column 3) of the glycosylation site that is present in mDPP4
320 (residues 328-330). Permissivity data is indicated in the far right column, with green squares
321 indicating permissive species and red squares indicating nonpermissive species, based on either
322 *in vitro* or *in vivo* data (10-17).

323 The small number of known permissive and nonpermissive species makes it difficult to
324 map potential shifts in permissivity on the phylogenetic tree. Because permissive and
325 nonpermissive species do not cluster together on the DPP4 tree (Figure 7), it is more likely that
326 local differences between each protein is more important than global similarity. Despite our
327 inability to identify a distinct pattern, a few interesting observations do emerge. First, all non-
328 human primates lack glycosylation sites near residue 330 (equivalent to residue 336 in humans)

329 (Figure 7). Current data would postulate that all non-human primates are susceptible to MERS-
330 CoV infection, but testing this hypothesis *in vitro* would help reveal whether any of these
331 orthologs are nonpermissive. If so, a mechanism other than glycosylation at our location of
332 interest would be responsible for blocking MERS-CoV infection. Second, whereas other
333 permissive DPP4 orthologs have glycosylation sites in this region (horse and common pipistrelle
334 DPP4), of note is the lack of a glycosylation site in the nonpermissive domestic pig, sheep, and
335 cattle DPP4 molecules (Figure 7). This suggests that glycosylation in this region is not the
336 primary explanation for why these species do not support MERS-CoV infection. One example
337 could be the incompatibility of host cell proteases which are required for proper cleavage of the
338 MERS-CoV spike prior to cell entry. Previous works has shown that spike cleavage status
339 determines cell tropism (45) and that adaptation to cellular proteases may have played an
340 important role in the cross-species transmission of MERS-CoV from bats to humans (35).
341 Follow-up studies could investigate the mechanism of nonpermissivity in these species
342 specifically and whether or not it lies at the level of the receptor. Third, glycosylation sites in the
343 designated region are prevalent in rodents, other mammals, and avian species (Figure 7). For
344 example, eight other species have putative glycosylation sites aligning to the glycosylation site
345 identified in mDPP4. The majority of these are present in the rodent (gray) group, however two
346 are present within the Chiroptera group (black flying fox and large flying fox) (Figure 7).
347 However, the diversity of glycosylation profiles suggests a lack of strong conservation of the site
348 seen in mDPP4. Further research to determine whether these other orthologs are permissive can
349 help elucidate whether this region plays a broader role in the MERS-CoV infection phenotype. In
350 general, while some trends are seen when looking at the glycosylation profile across many
351 species (e.g. upstream glycosylation sites in the other mammal (orange) group), more data on

352 species permissivity will help determine whether DPP4 phylogenetic relationships can help
353 inform receptor binding dynamics.

354 Here, we demonstrate that glycosylation is an important barrier to MERS-CoV infection,
355 yet other species-specific determinants are also responsible. Removing the glycosylation sites of
356 fDPP4, haDPP4, and gpDPP4 orthologs did not result in an increase in infection. Rather, a set of
357 19 and 4 amino acid substitutions in fDPP4 and haDPP4, respectively, were required before a
358 significant increase in infection could be detected. Future work can focus on revealing the
359 importance of these additional substitutions in mediating MERS-CoV infection. By further
360 elucidating the mechanisms by which DPP4 orthologs block MERS-CoV infection, we can better
361 understand the constraints on coronavirus host range expansion and predict coronavirus
362 emergence into new species in the future. Furthermore, this work can inform the development of
363 better animal models for studying MERS-CoV pathogenesis. Our previous work on mDPP4
364 helped generate a successful transgenic mouse using the CRISPR-Cas9 gene editing technique
365 (24). The success of this model emphasizes the importance of understanding receptor-virus
366 interactions and is a potent example of the direct application of these studies.

367

368 **MATERIALS AND METHODS**

369 **Viruses and cells**

370 MERS-CoV was isolated from a molecular clone as described in Scobey et al. (46).
371 Recombinant MERS-CoV tagged with tomato red fluorescent protein (rMERS-CoV-RFP) was
372 engineered based on the EMC2012 substrain and was shown to infect and replicate similarly
373 compared to wildtype MERS-CoV (46). Recombinant viruses were passaged once (P1) on Vero
374 cells to generate a working stock of rMERS-CoV-RFP. All recombinant viruses were produced

375 and studied under BSL3 conditions, wearing Tyvek suits, apron and booties, PAPR, and double
376 gloved as described in the Laboratory Safety Plan.

377 Measures of rMERS-CoV-RFP infectivity, receptor protein expression levels, and
378 immunofluorescence assays (IFA) were performed in human embryonic kidney (HEK) 293T
379 cells transfected with cDNAs encoding wildtype or mutant DPP4 orthologs. Cells were seeded at
380 1×10^6 cells per well in 6-well plates pretreated with 1 mL of 10 μ g/mL poly-L-lysine (Sigma)
381 in 1X DPBS (Gibco). All cells were grown at 37 °C with 5% CO₂.

382

383 **DPP4 ortholog constructs**

384 DPP4 orthologs were ordered on plasmids (cDPP4, hDPP4, mDPP4) or constructed using
385 Gibson assembly (bDPP4, fDPP4, haDPP4, gpDPP4) (47). DPP4 orthologs were transferred into
386 the 945 Δ RRRE expression vector, a lentiviral vector derived from pTK945, using the NotI and
387 SpeI restriction sites. DPP4 mutants were generated by overlap PCR mutagenesis and verified by
388 Sanger sequencing. All plasmids were stored at -20 °C. Accession numbers for DPP4 sequences
389 used in this study are as follows: bDPP4, KC249974.1; cDPP4, XM_006176808.2; fDPP4,
390 DQ266376.1; gpDPP4, XM_013142395.1; haDPP4, NM_001310571.1; hDPP4, NM_001935.3;
391 mDPP4, NM_010074.3.

392

393 **DPP4 ortholog transfections**

394 DPP4 orthologs were transfected into HEK 293T cells, as these cells express little if any
395 endogenous hDPP4 (13). Transfections followed a 2X BES protocol. For each DPP4 ortholog,
396 15 μ g of the construct was mixed with 15 μ g of pcDNA3.1, 125 μ L of 1 M CaCl₂, and dH₂O up
397 to final volume of 500 μ L. Then, 500 μ L of 2X BES was added to each sample in a dropwise

398 manner, vortexing between drops. Samples were incubated at room temperature for 45 min.
399 During this incubation, media was removed from 6-well plates seeded with 1×10^6 HEK 293T
400 cells/well and 1 mL of fetal bovine serum (FBS)-free media was added to each well. After the 45
401 min incubation, 200 μ L of each transfection reaction was added to individual wells, dropwise
402 and in concentric circles. Plates were incubated at 37 °C for 2 hours before 150 μ L of FBS was
403 added to each well and the cultures incubated overnight at 37 °C. To measure transfection
404 efficiencies, venus protein-tagged 945 plasmid was transfected in parallel with each experiment
405 (with efficiencies typically between ~80-100%).

406

407 **Infection of DPP4-transfected cells by MERS-CoV**

408 At ~20 hours post-transfection, media was removed and each well infected with 200 μ L
409 of rMERS-CoV-RFP at a multiplicity of infection (MOI) of 5 for 45 min at 37 °C. Next, 2 mL of
410 3% FBS media was added to each well and the plates incubated at 37 °C for 24 hours. Cells were
411 visualized 24 hours post-infection in the BSL3 using an Olympus IX51 microscope at a
412 magnification of 10X and wavelength of 541 nm. Each well was imaged using a Hamamatsu
413 ORCA-Flash4.0 LT camera. Successful virus infection is indicated by the presence of red
414 fluorescence.

415

416 **Fluorescent cell count assay**

417 For quantification of levels of MERS-CoV infection, transfected cells were infected as
418 above, except at an MOI of 0.1. After the 45 min incubation period, virus samples were removed
419 and the cells washed twice with 1 mL 1X DPBS. Next, 2 mL of 3% FBS media was added to
420 each well and infections incubated at 37 °C for 72 hours. At 72 hours post-infection, the number

421 of red fluorescent cells was determined. Briefly, three randomly selected microscope fields were
422 counted for each well. The average number of red cells was taken from these three fields and
423 multiplied by a factor of 537 to approximate the number of red cells in the entire well. Infection
424 in the presence of each DPP4 ortholog was measured as described in triplicate, thus 9 fields were
425 measured to determine significance between two DPP4 treatments using a Student's t-test.

426

427 **Protein analysis and Western blotting**

428 Cells were transfected with DPP4 constructs as described above. At 48 hours post-
429 transfection, media was removed from each well and cells were washed once with 2 mL 1X
430 DPBS. Next, 200 μ L of ice cold AV lysis buffer (20 mM Tris-HCl, 150 mM NaCl, 0.5%
431 deoxycholine, 1% Igepal, 0.1% SDS) was added to each well. Cells were incubated at room
432 temperature for 10 min with the lysis buffer. Lysates were then transferred to 1.5 mL
433 microcentrifuge tubes and centrifuged at maximum speed for 2 min. Supernatants were
434 transferred to new tubes and 200 μ L of a 10mM EDTA/0.9% SDS stock added to each tube.
435 Lysates were stored at -80 °C.

436 Protein concentration for each sample was measured using a Bradford assay. Briefly, an
437 aliquot of each sample was diluted 1:5 and 10 μ L added to a 96-well plate in triplicate. Bovine
438 serum albumin (BSA) was used as a standard with 10 μ L of a dilution series added to the 96-well
439 plate in triplicate. To each well, 200 μ L of Coomassie Plus protein assay reagent
440 (ThermoScientific) was added and the plate incubated at room temperature in the dark for 10
441 min. Absorbance readings were measured at a wavelength of 595 nm and the protein
442 concentration of each sample determined by comparison to the BSA dilution standard curve.

443 Proteins were separated using either a 6% (for DPP4) or an 8% (for actin) polyacrylamide
444 gel. For each sample, 15 μ g of the protein lysate was mixed with 6X loading dye and heated to
445 95 °C for 10 min. Samples were loaded into the gel and separated by electrophoresis in 1X
446 running buffer (3.03g Tris HCl, 14.4g glycine, 1g SDS in 1L dH₂O) at 55 mA for ~2 hours.
447 Proteins were transferred onto an Immun-Blot PVDF membrane (BioRad) at 15V for 45 min
448 using 1X dry transfer buffer (5.82g Tris base, 2.92g glycine, 100 mL methanol in 1L dH₂O). The
449 membranes were blocked in 1X phosphate-buffered saline with Tween 20 (PBST) with 5% milk
450 at room temperature for 1 hour with shaking. Membranes were then treated with 1° antibody:
451 goat α -DPP4 (R&D systems) at 1:1000 or goat α -actin (Santa Cruz Biotechnology) at 1:1000 in
452 1X PBST and incubated at 4 °C overnight with shaking. Membranes were washed three times
453 with 1X PBST (10 min per wash) before treatment with 2° antibody. Both DPP4 and actin blots
454 used rabbit α -goat HRP at 1:10,000 in 1X PBST with 5% milk and were incubated at room
455 temperature for 1 hour with shaking. Membranes were washed three times with 1X PBST (10
456 min per wash) and developed using Amersham ECL Western Blotting detection reagents (GE
457 Healthcare). Membranes were incubated at room temperature for 10 min before imaging using
458 Amersham Hyperfilm ECL (GE Healthcare).

459

460 **Immunofluorescence Assay (IFA)**

461 For IFA analyses, transfections were performed in 50 mm glass-bottom dishes seeded
462 with 2×10^6 HEK 293T cells. At 24 hours post-transfection, cells were washed once with 1X
463 DPBS and then fixed with 2 mL 2% paraformaldehyde (PFA) in 1X DPBS for 30 min at room
464 temperature. Cells were washed once with 2 mL of 100 mM glycine in 1X DPBS and incubated
465 in 2 mL of 100 mM glycine in 1X DPBS for 15 min at room temperature. Cells were washed

466 twice with 1X DPBS before blocking. To each plate, 200 μ L of 3% BSA and 10% normal
467 donkey sera in IFA wash (0.05% Tween-20 in 1X DPBS) was added and incubated at room
468 temperature for 1 hour with shaking. Next, cells were incubated with goat α -DPP4 polyclonal
469 antibody (R&D systems) at 1:50 in 3% BSA in IFA wash at room temperature for 1 hour with
470 shaking. Cells were washed three times with IFA wash and then incubated with 2 $^{\circ}$ donkey-anti-
471 goat Alexa Fluor 488 (Life Technologies) at 1:500 for 1 hour at room temperature in the dark
472 with shaking. Cells were washed again three times with IFA wash. To each plate, three drops of
473 ProLong Gold antifade reagent (Invitrogen) were added to the cells before imaging at 20X
474 magnification. Green fluorescence indicates DPP4 staining while blue is DAPI staining for
475 nuclei.

476

477 **Flow cytometry analysis**

478 Transfections were performed as detailed above. At ~20 hours post-transfection, media
479 was removed and cells were washed with 1X DPBS. Cells were removed from plates using non-
480 enzymatic cell dissociation buffer (Gibco). Cells were then resuspended in complete media and
481 centrifuged (all spins at 500 \times g for 5 minutes). Supernatants were removed and cells were
482 washed three times with 1X DPBS. Cells were counted and resuspended at a concentration of 5
483 $\times 10^6$ cells/mL. To each well of a 96-well plate (Corning), 200 μ L of cell suspension was added
484 in duplicate, plates were spun to pellet cells, and the supernatant was discarded. Cells were
485 suspended in 100 μ L Flow Cytometry Staining Buffer (eBiosciences) with 8 μ g/mL goat α -DPP4
486 polyclonal antibody (R&D Systems) and incubated at 4 $^{\circ}$ C for 1 hour. Cells were washed twice
487 with Staining Buffer and then incubated with 1 μ L/well of FITC-conjugated donkey α -goat
488 secondary antibody (Life Technologies) in 100 μ L Staining Buffer for 1 hour at 4 $^{\circ}$ C. Cells were

489 washed twice with Staining Buffer, and resuspended in 150 μ L Staining Buffer. At least 10,000
490 events per well were acquired on the Guava easyCyte HT flow cytometer (Millipore) for each
491 read. The background signal of secondary antibody only (no primary antibody) was used to
492 subtract out the background. Data were analyzed using FlowJo software version 10.3 (Tree Star).

493

494 **Phylogenetic analysis**

495 Amino acid sequences were retrieved from GenBank and aligned using MAFFT (48).
496 The phylogenetic tree was generated using maximum likelihood and 100 bootstrap replicates
497 with the PhyML package. Smart model selection (SMS) was implemented to determine the best
498 model parameters (49). The tree was visualized using EvolView (50). Only bootstrap values ≥ 50
499 are displayed.

500

501 **Statistics**

502 All quantitative data are presented as means \pm one standard deviation. All statistics were
503 performed using the R programming language version 3.1.2.

504

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511

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678

679 **FIGURE LEGENDS**

680

681 **FIG 1.** Permissivity of DPP4 orthologs to MERS-CoV. (A) Seven DPP4 orthologs were tested
682 for their ability to support infection by rMERS-CoV-RFP. DPP4 constructs were transfected into
683 HEK 293T cells and infected at an MOI of 5 ~20 hours post-transfection. Cells were imaged for
684 fluorescence ~24 hpi. hDPP4, human DPP4; cDPP4, camel DPP4; bDPP4, bat DPP4; mDPP4,
685 mouse DPP4; fDPP4, ferret DPP4; haDPP4, hamster DPP4; gpDPP4, guinea pig DPP4. (B)
686 Mean fluorescent cell counts of MERS-CoV infection utilizing various DPP4 orthologs. Cells
687 were infected at an MOI of 0.1 and the number of infected cells counted at ~72 hpi. Each DPP4
688 ortholog was measured in triplicate. Only hDPP4, bDPP4, and cDPP4 have significantly higher
689 levels of infection compared to no DPP4 ($p < 0.05$, Student's t-test, indicated by *). All DPP4

690 orthologs have significantly lower levels of infection compared to hDPP4 ($p < 0.05$, Student's t -
691 test). Levels of infection are not significantly different between bDPP4 and cDPP4. Error bars
692 indicate mean values \pm one standard deviation.

693

694 **FIG 2.** Sequence and structural comparison of nonpermissive DPP4 orthologs. (A) Structural
695 comparison of threaded molecules (30) mDPP4 (orange), fDPP4 (green), haDPP4 (blue), and
696 gpDPP4 (purple) overlaid on hDPP4 (yellow) complexed with the MERS-CoV RBD (red) (PDB
697 code 4L72). (B) Sequence alignment of permissive (human, camel, bat; blue) and nonpermissive
698 (mouse, ferret, hamster, guinea pig; red) DPP4 amino acid sequences. Residue 330 is numbered
699 relative to mDPP4. Boxes represent glycosylation sites that are either unique to nonpermissive
700 species (black) or shared with a permissive species (gray). (C) hDPP4 (yellow) complexed with
701 the MERS-CoV RBD (red) (PDB code 4L72). Residues aligning to the ferret (green), hamster
702 and mouse (blue), and guinea pig (purple) glycosylation sites are highlighted. Dashed line circles
703 indicate the regions of the DPP4 molecule that corresponds to blades IV and V.

704

705 **FIG 3.** DPP4 ortholog glycosylation knockout mutants. (A) Neither wildtype nor glycosylation
706 knockout DPP4 molecules for ferret (fDPP4), hamster (haDPP4), or guinea pig (gpDPP4)
707 support infection by MERS-CoV. (B) Successful removal of glycosylation is supported by a ~2.5
708 kDa downward shift seen via Western blot. Top blot represents DPP4 and the bottom blot
709 represents β -actin as a control. (C) Fluorescent cell counts of MERS-CoV infection utilizing
710 various DPP4 orthologs and their respective glycosylation knockout mutants. Cells were infected
711 at an MOI of 0.1 and number of infected cells counted at 72 hpi. Each DPP4 ortholog was
712 measured in triplicate. Only hDPP4 has significantly higher levels of infection compared to no

713 DPP4 ($p < 0.05$, Student's t-test, indicated by *). The remaining DPP4 orthologs have infection
714 levels that are not significantly different from no DPP4 or each other. Error bars indicate mean
715 values \pm one standard deviation.

716

717 **FIG 4.** DPP4 and mutant variants are expressed on the surface of cells as evidence by
718 immunofluorescence assay (A) and flow cytometry (B, C). (A) Cells were transfected with each
719 DPP4 ortholog, fixed, and probed with primary goat-anti-DPP4 polyclonal antibody (R&D
720 Systems) at 1:50 and secondary donkey- α -goat Alexa Fluor 488 (Life Technologies) at 1:500.
721 Cells were imaged at 20X for DAPI (300 ms exposure) and DPP4 (1.5 s exposure). (B) DPP4
722 expression frequencies (blue outlined histogram) by DPP4 construct after subtraction of
723 background from replicate wells stained with secondary donkey- α -goat IgG (H+L) Alexa Fluor
724 488 antibody only (grey shaded histogram). Percentage values represent the average across two
725 duplicate wells. (C) Geometric mean fluorescence intensity of the DPP4 positive populations for
726 each DPP4 construct.

727

728 **FIG 5.** Many amino acid changes are required to make fDPP4 and haDPP4 permissive to
729 MERS-CoV infection. (A) Removing glycosylation on its own does not confer permissivity to
730 haDPP4. However, combining three amino acid changes on blade V (starting at residue 289)
731 with the glycosylation knockout mutant on blade IV (N332A) results in high levels of MERS-
732 CoV infection. Sequences show the alignment between hDPP4 and haDPP4 with the blue boxes
733 indicating the amino acids that were swapped from hDPP4 into haDPP4. (B) Removing
734 glycosylation on its own does not confer permissivity to fDPP4. However, introducing a set of
735 amino acid changes on blade V (starting at residue 278) and blade IV (starting at residue 330)

736 allows fDPP4 to support MERS-CoV infection (fDPP4 (278)(330)). Sequences show the
737 alignment between hDPP4 and fDPP4 with the blue boxes indicating the amino acids that were
738 swapped from hDPP4 into fDPP4. Note that fDPP4 –gly is a negative control and only includes
739 the single point mutation N331A. (C) Western blot analysis of fDPP4 and haDPP4 and
740 designated variants for DPP4 and β -actin expression. Successful glycosylation knockout is
741 indicated by a downward shift of ~2.5 kDa. (D) Fluorescent cell counts of MERS-CoV infection
742 utilizing DPP4 orthologs. Cells were infected at an MOI of 0.1 and number of red cells counted
743 at 72 hpi. Each DPP4 ortholog was measured in triplicate. hDPP4, fDPP4 (278)(330), and
744 haDPP4 (289),-gly have levels of infection that are significantly greater than no DPP4 ($p < 0.05$,
745 Student's t-test, indicated by *). fDPP4 –gly and haDPP4 –gly infection levels are not
746 significantly different from no DPP4. Error bars indicate mean values \pm one standard deviation.
747

748 **FIG 6.** Bat and guinea pig DPP4 share the same glycosylation site downstream of the site
749 identified to be important in mDPP4 (Figure 2B). (A) Removing the glycosylation site from
750 bDPP4 shows no decrease in infection while removing glycosylation from gpDPP4 resulted in
751 no increase in infection. (B) Western blot analysis of bDPP4 and gpDPP4 and their respective
752 glycosylation knockout mutants for DPP4 and β -actin expression. Successful glycosylation
753 knockout is indicated by a downward shift of ~2.5 kDa. (C) Fluorescent cell counts of MERS-
754 CoV infection utilizing various DPP4 orthologs. Cells were infected at an MOI of 0.1 and
755 number of infected cells counted at 72 hpi. Each DPP4 ortholog was measured in triplicate.
756 hDPP4, bDPP4, and bDPP4 – gly have levels of infection that are significantly higher than no
757 DPP4 ($p < 0.05$, Student's t-test, indicated by *). gpDPP4 and gpDPP4 –gly infection levels are
758 not significantly different from no DPP4. (D) DPP4 and mutant variants are expressed on the

759 surface of cells, visible by immunofluorescence. Cells were transfected with each DPP4
 760 ortholog, fixed, and probed with primary goat-anti-DPP4 polyclonal antibody (R&D Systems) at
 761 1:50 and secondary donkey-anti-goat Alexa Fluor 488 (Life Technologies) at 1:500. Cells were
 762 imaged at 20X for DAPI (300 ms exposure) and DPP4 (1.5 s exposure). Error bars indicate mean
 763 values \pm one standard deviation.

764

765 **FIG 7.** DPP4 protein phylogenetic tree based on amino acid sequences. Shaded colors indicate
 766 the group each species falls in. Blue: reptiles and amphibians; Green: avian species; Orange:
 767 other mammals; Red: Chiroptera (bats); Purple: ungulates; Gray: rodents; Pink: primates.
 768 Colored circles to the right of the species names indicate whether the sequence has a
 769 glycosylation site upstream (first column), at the same site (second column), or downstream
 770 (third column) of the NXT glycosylation site in mDPP4 (residues 332-334). Numbers inside the
 771 circle designate how many amino acids upstream (or downstream) the N of the NXT or NXS
 772 glycosylation site is. For the second column, a circle indicates that there is a glycosylation site
 773 aligning to the site present in mDPP4. Squares in the rightmost column indicate permissive
 774 (green) or non-permissive (red) species, as determined from either *in vivo* or *in vitro* studies.

775

776 **TABLES**

hDPP4		85	92	150		219	229		281	321					520
bDPP4		82	89	147		216	226	272		315		332	393	432	490
mDPP4		83	90	144			223			315	328				514
fDPP4		84	91		178		228		280	320			437		519
haDPP4		83	90	148			227		275	319	332				518
gpDPP4	32	295	302	360			439		491	531		548			730

777

778 **Table 1:** Known and putative N-linked glycans in DPP4 orthologs

779 Numbers indicate the residues known (hDPP4) or predicted (all other DPP4 orthologs) (33) to be
 780 N-linked glycosylated for each DPP4 molecule. All residues are numbered relative to the given
 781 DPP4 molecule, with columns representing residues that align. Out of the eight N-linked glycans
 782 in hDPP4, only four are conserved across all orthologs. Bold font indicates sites that align to
 783 within two residues of a contact residue in hDPP4 (see 29).

784

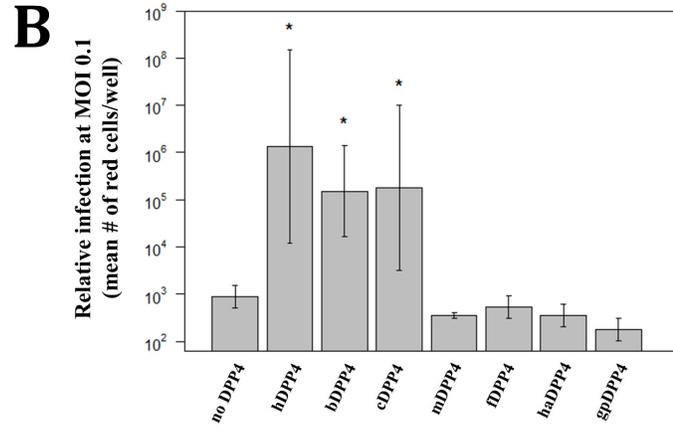
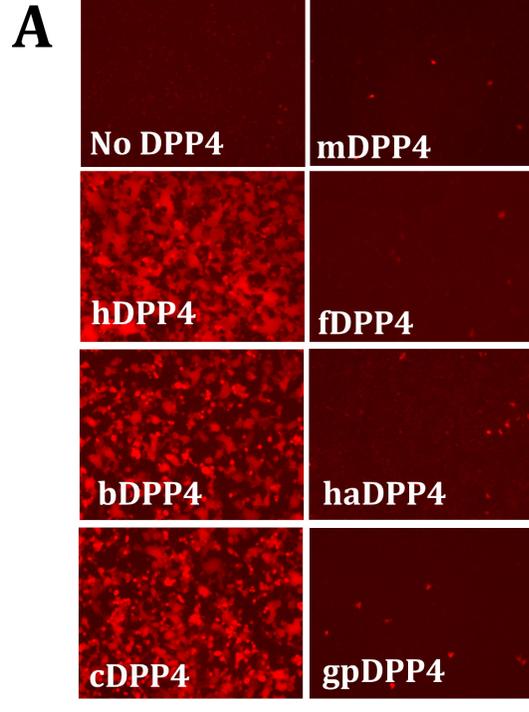
Ortholog	Important residues										Source
Human DPP4	267			294	295			336			(34)
Mouse DPP4				294				336*			(13, 24)
Hamster DPP4		291			295			336*	341	346	(16, 17)
			293		295	297	334*				This study
Ferret DPP4	279 - 295						331-341				This study
	246 - 505										(15)

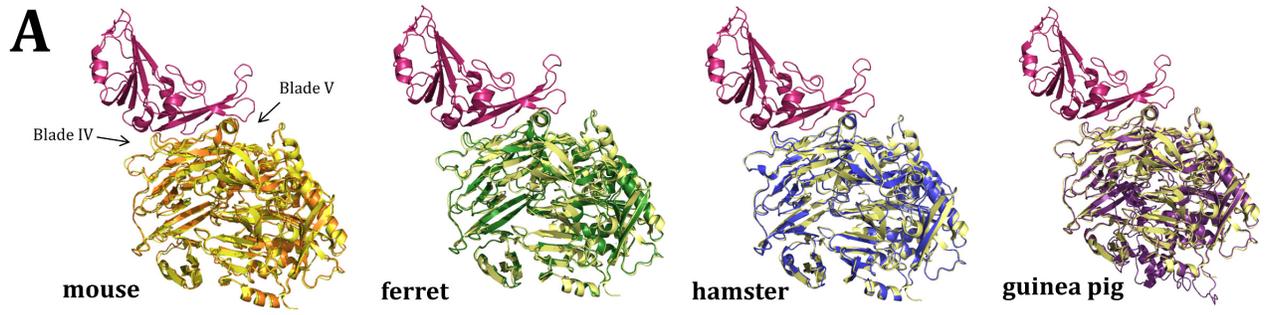
785

786 **Table 2:** Residues identified to be important for MERS-CoV permissivity

787 Experimental studies and the residues that have been identified as important for mediating
 788 permissivity to MERS-CoV among various DPP4 orthologs *in vitro*. All residues are relative to
 789 the aligning residue in hDPP4. Residues are either on blade IV (blue shading) or on blade V
 790 (gray shading). * indicates residues that knock out a glycosylation site in the mouse or hamster
 791 DPP4 molecules.

792





B

	330																	
human	C	D	Y	D	E	S	S	G	R	W	N	C	L	V	A	R	Q	H
camel	C	D	Y	D	E	S	T	G	R	W	A	S	S	V	G	R	Q	H
bat	C	D	Y	D	A	P	N	S	K	W	N	C	S	V	P	R	Q	H
mouse	C	D	Y	D	K	I	N	L	T	W	N	C	P	S	E	Q	Q	H
ferret	C	D	Y	N	N	D	T	N	S	W	R	K	P	E	A	Q	E	H
hamster	C	D	Y	N	K	T	N	L	T	W	N	C	P	L	A	Q	Q	H
guinea pig	C	D	Y	D	K	S	R	L	G	W	N	C	S	L	K	N	E	H

□ Glycosylation site

